

Building Stronger
California Communities

CAPER 2009-10

Consolidated Annual Performance Evaluation Report
on federally-funded community development programs
operated by the State of California:

Community Development Block Grant (CDBG)
Home Investment Partnerships Program (HOME)
Emergency Shelter Grants (ESG)
Housing Opportunities for Persons with AIDS (HOPWA)
Lead Based Paint Hazard Control Program (LHCP)

With summary reports on the CDBG-Recovery Program (CDBG-R),
the Disaster Recovery Initiative (DRI), the Neighborhood Stabilization Program (NSP), and
the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

State of California
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Introduction and Summary of Accomplishments

This Consolidated Annual Performance and Evaluation Report (CAPER) reports on the State of California's Consolidated Annual Plan for the use of certain federal funds in 2009-10. Throughout this document, "2009-10" means the State fiscal year from July 1, 2009, through June 30, 2010. "FFY 2009" means the federal fiscal year, from October 1, 2009 through September 30, 2010. This report covers the use of federal block grant funds awarded by five long-duration programs, administered by three State agencies, in non-entitlement cities and counties for housing and community development activities. It also includes summary reports on four short-term programs for economic stimulus and disaster relief (see below under Resources Made Available).

This CAPER was available for public review and comment from August 30 through September 14, 2010. Public hearings were held in Redding on September 1 and in Riverside and Sacramento on September 9 (see the public notice in Appendix G for times and addresses). The hearings provided opportunities for interested parties to make oral comments or pose questions regarding the program operations covered in this CAPER. XXX written, oral or email comments were received, and are given with the Department of Housing and Community Development (HCD or the Department) responses beginning on page 4.

Resources Made Available

The State Consolidated Plan and this CAPER cover the use of federal funds from the U.S. Department of Housing and Urban Development (HUD), administered by California State agencies during 2009-10 through the programs listed in Table 1 on page 2. The **Community Development Block Grant program (CDBG)**, the **HOME Investment Partnerships program (HOME)**, and **Emergency Shelter Grant program (ESG)** are administered by HCD. The **Housing Opportunities for Persons with AIDS program (HOPWA)** is administered by the Department of Public Health (DPH). The **Lead Hazard Control Program (LHCP)** is administered by the Department of Community Services and Development (CSD).

This CAPER does not report on California's current or planned participation in federal economic stimulus programs created by the Housing and Economic Recovery Act of 2008 (HERA) and the American Recovery and Reinvestment Act of 2009 (ARRA). These programs report separately to HUD according to their respective specific requirements.

However, this CAPER does include summary reports, for information only, on HCD's implementation of four of these short-term federal programs. Three are economic stimulus programs created by the Housing and Economic Recovery Act of 2008 (HERA) and the American Recovery and Reinvestment Act of 2009 (ARRA): the **Neighborhood Stabilization Program (NSP)**, administered by HCD's CDBG program), the **CDBG-Recovery program (CDBG-R)**, also administered by CDBG), and the **Homelessness Prevention and Rapid Re-Housing Program (HPRP)**, administered by the ESG program). The fourth is the **Disaster Recovery Initiative program (DRI)**, administered by CDBG), which will distribute federal funds for recovery from damages suffered in California wildfires in 2008. See the Contents page for the location of these reports.

For the fourth successive year, HOME committed to grantees portions of its next fiscal year federal funding (for this CAPER, 2010-11). This action is intended to allow earlier planning and preparation in order to accelerate use of the funds. HOME's 2009-10 funds were committed in prior years, and reported on in prior CAPERs.

For the third year, the CDBG program continued to fund a number of contracts that received multi-year awards in prior years, where future funds were committed and the activities are being funded from this year's 2009-10 allocation. CDBG continued to fund a number of contracts that in prior years received awards of future funds, so that activities are being funded from 2009-10 allocations. CDBG also made additional awards under the Economic Development Block Grant (EDBG) Enterprise program, Over-the-Counter program, and Planning and Technical Assistance (PTA) grants with the remaining 2009-10 allocation, plus funds that were disencumbered or returned to the program. CDBG did not make new multi-year awards in 2009-10, and is currently assessing the results of the multi-year fast forwarding funding concept.

HOPWA continues to allocate funds annually on a non-competitive formula basis which includes unspent or recaptured funds from earlier years.

The LHCP Round XIII grant, covering the period November 1, 2006 through October 31, 2009, has ended. LHCP is now administering HUD's Round XV \$3 million grant from the American Recovery and Reinvestment Act of 2009 (ARRA). This grant covers the period April 15, 2009 through April 14, 2012. This CAPER's performance data will still focus on Round XIII.

Table 1 shows the pre-commitment in 2009-10 of some 2010-11 HOME funds, and the re-awarding by several programs of recaptured earlier-year funds in 2009-10. The total of 2009-10 and earlier funds awarded in 2009-10 is less than the total allocated by HUD for FFY 2009 because some 2009-10 funds were pre-committed in earlier years:

Table 1
Federal Funds Allocations and Awards by Program, 2009-10

Program	FFY 2009 funds allocated by HUD	2009-10 and earlier funds awarded in 2009-10	2010-11 funds awarded in 2009-10	Total Awards in 2009-10
CDBG	\$ 39,706,909	\$ 32,837,446	\$ 0	\$ 32,837,446
HOME	\$ 64,634,156	\$ 32,624,166	\$ 31,842,769	\$ 64,466,935
ESG	\$ 6,824,880	\$ 6,524,201	\$ 0	\$ 6,524,201
HOPWA	\$ 3,346,033	\$ 3,771,944	\$ 0	\$ 3,771,944
LHCP ¹	\$ 3,000,000	\$ 3,000,000	\$ 0	\$ 3,000,000
Totals	\$117,511,978	\$78,757,757	\$31,842,769	\$110,600,526

¹ The Lead Hazard Control Program received an additional 36-month \$3 million HUD grant in April 2009 under Round XV, to cover the period April 15, 2009 to April 14, 2012. Round XIII ended October 31, 2009.

Federal and State Low-Income Housing Tax Credits (LIHTC)² are often used with projects funded by these programs. In calendar 2009, the Tax Credit Allocation Committee (TCAC) in the State Treasurer's Office awarded nearly \$91.1 million in competitive nine-percent (9 percent) federal credits to 79 proposed housing projects, along with \$72.5 million in State credits to 19 competitive 9 percent projects, and \$6.7 million in State credits to 3 projects receiving four-percent (4 percent) tax credits with tax-exempt bond funds. A federal tax credit is in effect for ten years, which means the eventual total value of federal credits awarded in California in 2009 is \$911 million. The \$79.2 million total for State tax credits covers a four-year period of effect.

In addition, during 2009-10 HCD awarded \$x.x billion of the \$x.x billion in housing bond funds approved by voters in Proposition 46 of 2002 (see Appendix C for listing of these programs), and \$x.x billion of the \$x.xx 85 billion in bond funds approved by Proposition 1C in November 2006 (described in **Other Actions**). In total, Proposition 46 and Proposition 1C funds awarded through June 30, 2010 are expected to create, rehabilitate, incentivize or reward approximately 93,000 housing units and nearly 10,000 shelter spaces.

Program Goals

The State of California Consolidated Plan for 2010-2015 identifies four over-arching goals for the State's use of these federal community development funds:

Goal 1: Meet the housing needs of low-income renter households, including providing homeownership opportunities for first-time homebuyers.

Goal 2: Meet the housing needs of low-income homeowner households.

Goal 3: Meet the housing, supportive housing and accessibility needs of the homeless and other special needs groups, including the prevention of homelessness.

Goal 4: Mitigate impediments to fair housing.

In the following program-specific sections, each program reports its accomplishments related to these overall goals. Other community development accomplishments by State of California agencies and programs are also discussed in the program-specific sections, and in the **Other Actions Taken** section.

Geographic Distribution of Awards

Appendix B1 of this report tabulates the awards of federal community development funds in 2009-10 by jurisdiction, county and region, for each of the five programs covered.

Appendix B2 provides the same information for the accelerated commitment of future

² The LIHTC program is not a HUD-administered program and is not reported in detail in this CAPER.

HOME funds expected to be allocated by HUD for FFY 2010. These accelerated awards are made to give recipients better assurance of continued funding for multi-year projects, and to facilitate earlier expenditure of the funds.

Outcome Performance Measurement

In accordance with the Final Rule (FR-4970-N-02) published by HUD on March 7, 2006 on the Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs, the State has collected information on activities and indicators as outlined in the 2010-2015 Consolidated Plan and the associated Annual Plans for 2009-10 and 2010-11. Details on performance measurement outcomes of each program are included in the individual program sections beginning on page 7.

Responses to Public Comments

The following xxx written comments were received during the draft CAPER public review period. They have been edited for length. Responses follow each comment:

Households Assisted

Table 2 summarizes the numbers reported by grantees of households and homeless individuals and families assisted with housing and supportive services by the CDBG, HOME, ESG and HOPWA programs during 2009-10, by household type, tenure and income categories.

Table 2 Summary of Households Assisted, 2009-10						
Priority Need Category		CDBG*	HOME	ESG	HOPWA	Total
Renter	0-30% of MHI	xxx	333	xxx	xxx	xxx
	31-50% of MHI	xxx	287	xxx	xxx	xxx
	51-80% of MHI	xxx	69	xxx	xxx	xxx
	Unoccupied	xxx		xxx	xxx	xxx
	Subtotal	xxx	689	xxx	xxx	xxx
Owner	0-30% of MHI	xxx	22	xxx	xxx	xxx
	31-50% of MHI	xxx	95	xxx	xxx	xxx
	51-80% of MHI	xxx	256	xxx	xxx	xxx
	+80% of MHI	xxx	0	xxx	xxx	xxx
	Subtotal	xxx	373	xxx	xxx	xxx
Homeless	Individuals	xxx	0	xxx	xxx	xxx
	Families	xxx	0	xxx	xxx	xxx
	Subtotal	xxx	0	xxx	xxx	xxx
Non-Homeless Special Needs**	Households	xxx	1062	xxx	xxx	xxx
Section 215***			1062			
Totals		xxx	1062	xxx	xxx	xxx

*These figures represent CDBG housing activities and do not include public works activities.

Table 3
Ethnic Distribution of Households Assisted, 2009-10

	CDBG*		HOME		ESG**		HOPWA***	
	Non-Hispanic	Hispanic	Non-Hispanic	Hispanic	Non-Hispanic	Hispanic	Non-Hispanic	Hispanic
White	xxx	xxx	528	263	xxx	xxx	xxx	xxx
Black or African American	xxx	xxx	31	0	xxx	xxx	xxx	xxx
Asian	xxx	xxx	37	2	xxx	xxx	xxx	xxx
American Indian or Alaska Native	xxx	xxx	7	7	xxx	xxx	xxx	xxx
Native Hawaiian or other Pacific Islander	xxx	xxx	3	0	xxx	xxx	xxx	xxx
American Indian/Alaska Native & White	xxx	xxx	2	1	xxx	xxx	xxx	xxx
Asian & White	xxx	xxx	11	0	xxx	xxx	xxx	xxx
Black or African American & White	xxx	xxx	1	1	xxx	xxx	xxx	xxx
American Indian/Alaska Native & African American	xxx	xxx	2	0	xxx	xxx	xxx	xxx
Other/Multi-Racial	xxx	xxx	51	115	xxx	xxx	xxx	xxx
TOTAL	xxx	xxx	673	389	xxx	xxx	xxx	xxx

*Includes individuals and households which were beneficiaries of all CDBG-eligible services, programs and projects.

** Annual number served (residential and non-residential services)

***Includes all beneficiaries in each household served

**Community Development
Block Grant (CDBG) Program**

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Community Development Block Grant (CDBG) Program

Method of Investment of Available Resources

CDBG funds are distributed by the Department primarily through a competitive process, to local governments in California which do not receive formula CDBG grants directly from HUD (i.e., non-entitlement cities and counties).

CDBG funding criteria are contained in State regulations. CDBG General Allocation competitive funding criteria include:

- Level of poverty
- Benefit to low-income households/persons (the Targeted Income Group (TIG))
- Need for the activity
- Prior performance
- Capacity/readiness
- Leverage
- State objectives

CDBG Economic Development Enterprise Fund Allocation funding criteria include:

- Need (poverty, unemployment, and adverse economic events)
- Local program capacity (performance, design, experience and support)
- Program effectiveness (leverage and planning)

The CDBG Planning and Technical Assistance Allocation and the Economic Development Over-the-Counter (OTC) Component are both administered on a first-come, first-served basis.

Use of Funds

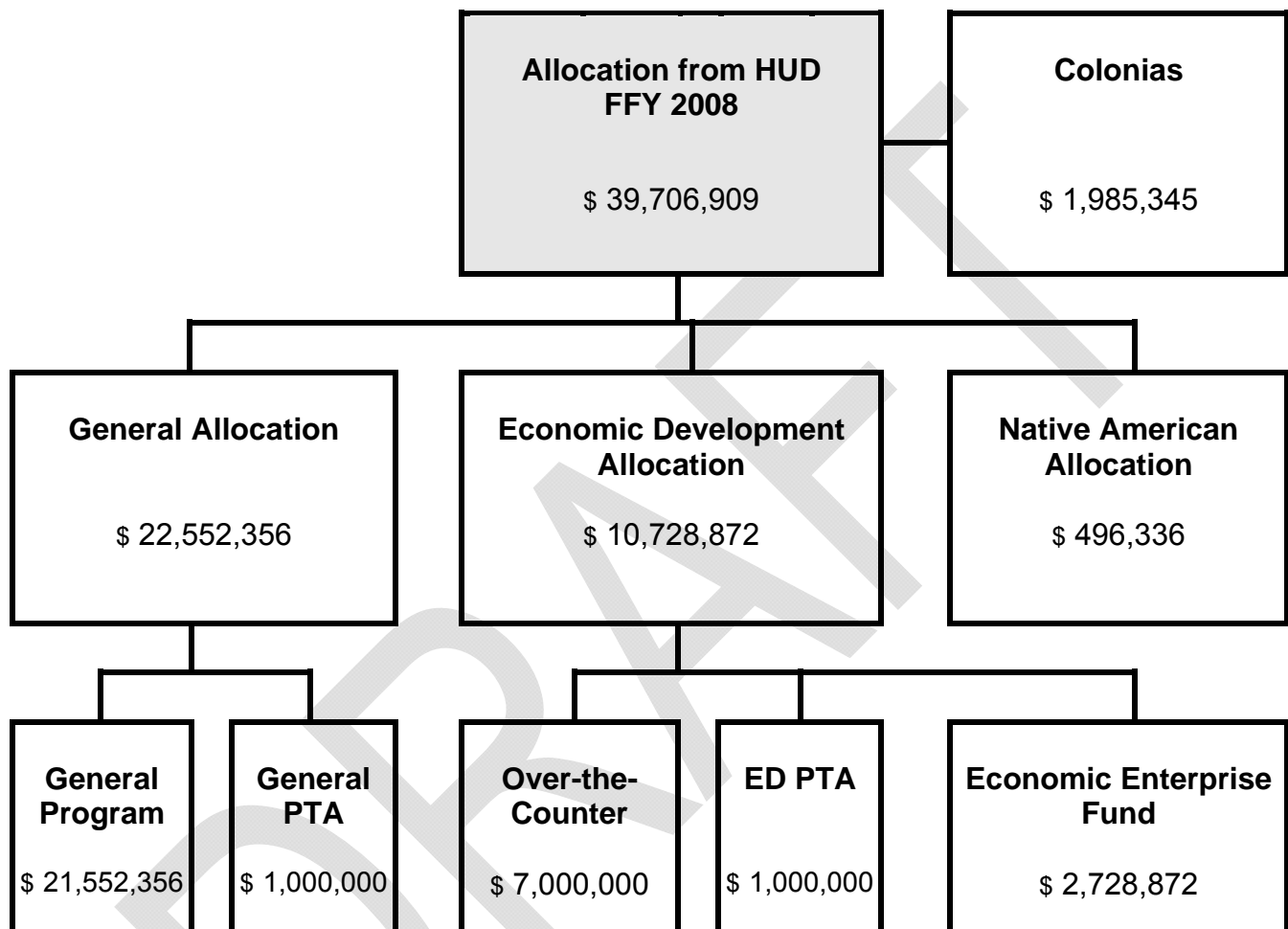
Federal law (Section 104(b) of the Housing and Community Development Act of 1974, as amended) requires States to certify that CDBG dollars will be spent to give maximum feasible priority to benefit lower-income persons, prevent or eliminate slums and blight, and meet other community development needs having a particular urgency.

Section 104(b)(3) requires this to be achieved by ensuring that each funded activity meets one of three related national objectives: Benefiting Low- and Moderate-Income Persons, Preventing or Eliminating Slums and Blight, and Meeting Urgent Needs. The statute also requires each grant recipient to ensure that at least 70 percent of its expenditures over a particular time period are used for activities qualifying under the first of those national objectives (Benefiting Low- and Moderate-Income Persons).

State law and regulations establish additional program objectives. Under Health and Safety Code Section 50827, all non-economic development funds serving an area-wide benefit must benefit at least 51 percent low- and moderate-income persons, and programs providing individual assistance must benefit 100 percent low- and moderate-income.

Actual awards may vary from the set-asides due to the re-use of disencumbered or initially unsubscribed funds in a category. The initial set-asides of the State's allocation from HUD are shown below (exclusive of State administration and technical assistance).

Table 4
CDBG Program Allocations, 2009-10



Summary of Accomplishments

▪ Awards Summary

CDBG awarded a total of \$40,524,115 in 2009-10, including \$35,762,909 in federal allocation for 2009-10 plus disencumbered and returned funds. Following are the distributions made to the various State CDBG programs:

General	\$ 22,684,887
Colonias	\$ 4,108,740
Economic Development Enterprise Fund	\$ 8,650,000
Economic Development Over-the-Counter	\$ 2,687,488
Planning & Technical Assistance	\$ 2,393,000

TOTAL **\$ 40,524,115**

Of the \$ 40,524,115 awarded, \$ 7,686,669 represents pre-commitments made in prior years, funds from the 2009-10 allocation. The remaining \$32,837,446 was funded from the 2009-10 allocation, plus monies returned to the Department. A total of 121 contracts were funded. This generated 213 individual activities that were established in HUD's Integrated Disbursement and Information System (IDIS). HCD records in IDIS the funding of projects and programs, and the administrative support and activity delivery costs for each award.

Awards by Allocation

▪ General Component

Under the General Component, 26 contracts with 74 activities were funded using \$14,998,218 of the 2009-10 allocation. HCD also provided 26 local jurisdictions with \$1,060,000 for administrative support. Table 5 summarizes General Allocation activities in the category of Public Facilities & Improvements, Table 6 in Public Services, and Table 7 in Housing. In Tables 5 through 12 the numbers following activity names are HUD matrix numbers used in IDIS records.

Table 5
CDBG General Allocation: Public Facilities and Public Improvements
Activities and Awards

Activity & Matrix Code	Activities w/ 2009-10 funds awarded in 2009-10	Amount awarded in 2009-10	Activities w/ 2009-10 funds awarded in 2008-09*	Amount Awarded in 2008- 09*	Total amount funded in 2009-10
Non-Specific Public Facilities or Improvements that are ADA Compliant (03)	4	\$874,913	0	0	\$874,913
Handicapped Center (03B)	0	0	1	\$11,250	\$11,250
Homeless Facilities (03C)	1	\$740,000	3	\$1,278,431	\$2,018,431
Neighborhood Facilities (03E)	0	0	1	\$64,657	\$64,657
Parks and Recreation Facilities (03F)	1	\$57,000	0	0	\$57,000

Activity & Matrix Code	Activities w/ 2009-10 funds awarded in 2009-10	Amount awarded in 2009-10	Activities w/ 2009-10 funds awarded in 2008-09*	Amount Awarded in 2008- 09*	Total amount funded in 2009-10
Water & Sewer Improvements (03J)	5	\$2,545,087	5	\$1,848,191	\$4,393,278
Street Improvement Activities (03K)	1	\$37,000	5	\$1,565,838	\$1,602,838
Sidewalk Improvements (03L)	1	\$55,500	1	\$30,521	\$86,021
Fire Equipment/Fire Stations (03O)	0	0	1	\$50,000	\$50,000
Health Facilities (03P)	1	\$360,000	0	0	\$360,000
Operating Costs of Homeless/Aids Facility (03T)	1	\$110,000	2	\$665,000	\$775,000
Total	15	\$4,779,500	19	\$5,513,888	\$10,293,388

*These 2009-10 funds were committed in 2008-09 as multiyear accelerated awards. These awards are not included in 2009-10 awards totals elsewhere in this CAPER.

The largest shares in this category were for Water & Sewer Improvements (03J), followed by Homeless Facilities (03C) and Street Improvements (03K).

Table 6
CDBG General Allocation: Public Services Activities and Awards

Activity & Matrix Code	Activities w/ 2009-10 funds awarded in 2009-10	Amount awarded in 2009-10	Activities w/ 2009-10 funds awarded in 2008/09*	Amount awarded in 2008- 09*	Total amount funded in 2009-10
Public Services (05)	6	\$801,718	1	\$39,200	\$840,918
Senior Services (05A)	2	\$144,500	1	\$27,440	\$171,940
Youth Services (05D)	1	\$30,000	0	0	\$30,000
Substance Abuse Services (05F)	1	\$320,000	0	0	\$320,000
Battered and Abused Spouses (05G)	2	\$140,000	0	0	\$140,000
Child Care Services (05L)	0	0	1	\$189,151	\$189,151
Employee Training Services (05H)	1	\$40,000	0	0	\$40,000
Abused & Neglected Children Services (05N)	0	0	1	\$93,426	\$93,426

Housing Counseling (05U)	0	0	1	\$102,700	\$102,700
Total	13	\$1,476,218	5	\$451,917	\$1,928,135

*These 2009-10 funds were committed in 2008-09 as multiyear accelerated awards. These awards are not included in 2009-10 awards totals elsewhere in this CAPER.

Public Service activities represented eight percent of the General Allocation. This is well below the 15 percent maximum for the category. The largest shares of Public Services funding went towards general Public Services programs (05), Substance Abuse services, (05F) and Child Care (05L).

Table 7
CDBG General Allocation: Housing Activities and Awards

Activity & Matrix Code	Activities w/ 2009-10 funds awarded in 2009-10	Amount awarded in 2009-10	Activities w/ 2009-10 funds awarded in 2008/09*	Amount Awarded in 2008- 09*	Total amount funded in 2009-10
Direct Homeownership Assistance Programs (13)	12	\$3,113,000	4	\$724,065	\$3,837,065
Residential Rehabilitation – Single-Unit (14A)	14	\$3,319,470	4	\$446,417	\$3,765,887
Housing Rehabilitation – Multi-Family (14B)	1	\$325,600	0	0	\$325,600
Rehabilitation Administration (14H)	15	\$789,430	3	\$90,488	\$879,918
Code Enforcement (15)	4	\$135,000	0	0	\$135,000
General Administration (21A)	26	\$1,060,000	12	\$459,894	\$1,519,894
Total	72	\$8,742,500	23	\$1,720,864	\$10,463,364

*These 2009-10 funds were committed in 2008-09 as multiyear accelerated awards. These awards are not included in 2009-10 awards totals elsewhere in this CAPER.

Housing was the largest General Allocation activity category. No Housing Construction activities were funded in 2009-10. The 18 Single-Unit Residential programs (14A), with Rehabilitation Administrative costs included, totaled \$3,765,887 (36 percent of total funding). The 16 Direct Homeownership Assistance programs (13) also made up a substantial portion, accounting for \$ 3,837,065 (37 percent) of housing funds.

To complete the roster of CDBG General awards, \$ 1,519,894 was made available for Program Administration (21A). CDBG can fund local administrative expenses up to 7.5 percent of the grant.

- Colonias

A Department Colonias specialist works with grantees to move their projects forward in a timely fashion. Under the Colonias Component, 7 contracts were funded using \$4,108,740 of the 2009-10 allocation. HCD also provided the seven local jurisdictions with \$183,950 for administrative support. The next Colonias awards will be available in 2012. NOFA for these awards will be released in early 2012.

- Native American

No new Native American awards were made in 2009-10 because of the cumulative awards of \$2,000,000 in 2007-08. A program representative is working with 2010 grantees to ensure compliance with the program. HCD's CDBG Native American allocation staff also worked with eligible jurisdictions to identify non-federally-recognized Indian communities and terminated Rancherias. These jurisdictions can apply on behalf of their Indian communities.

Economic Development

The Economic Development (ED) allocation includes the Enterprise Fund and the Over-the-Counter (OTC) program. The Enterprise Fund Allocation typically releases a NOFA and application in the fall of each year with a specific deadline for receiving proposals. The OTC NOFA and application are released at the beginning of each funding cycle and applications are reviewed and approved on a first come, first served basis.

- Enterprise Fund

Enterprise Fund awards are based on published criteria measuring unemployment, public benefit, leverage, and capacity. Because the public benefit and leverage of micro-enterprise activities are substantially different from those of business assistance activities, like activities are rated against each other.

Enterprise Fund awarded \$ 8,650,000 in grants for Business Assistance and Micro-Enterprise programs in 2009-10, for the following types of activities:

Business Assistance Programs

- Assist start-up, expansion or preservation of businesses in the jurisdiction
- Fund public infrastructure/off-site improvements necessary to accommodate the start-up, expansion or preservation of a business.

Micro-Enterprise Assistance Programs

- Provide technical assistance, training and support to eligible micro-enterprises or persons developing micro-enterprises
- Finance eligible micro-enterprises, or persons developing micro-enterprises.
- Finance façade improvement at eligible micro-enterprises

Funding for the two programs came from HUD's 2009-10 allocation plus disencumbered and returned funds.

Table 8
CDBG Economic Development Enterprise Fund
Activities and Awards

Activity	Funded Activities	Percentage Funded
Business Assistance (18A)	15	\$3,474,700
Micro-Enterprise Assistance (18C)	18	\$4,551,550
Administration (21A)	29	\$ 623,750
Total	62	\$8,650,000

▪ Over-the-Counter (OTC)

The OTC allocation is larger than the Enterprise Fund, and OTC awards can be as high as \$2,500,000 per applicant per year, or \$5,000,000 for two-year grants. Because of these large amounts, HCD has a special loan committee to review and recommend applications. Jurisdictions can use OTC funding to make loans for start-up, expansion or preservation of businesses. The grants can also be used to construct necessary off-site infrastructure improvements to accommodate a new business.

In 2009-10, the OTC program received four applications requesting a total of \$6,187,488. Two projects were funded (see Table 12). One application was incomplete and had to be returned for additional work, and one was canceled because the business decided not to proceed with the proposed project.

Table 9
CDBG Economic Development
Over-the-Counter Activities and Awards

Activity	Funded Activities	Percentage Funded
Business Assistance (18A)	1	\$ 173,426
Commercial/Industrial Infrastructure Development (17B)	1	\$2,372,500
Administration (21A)	2	\$ 141,562
Total	4	\$2,687,488

▪ Planning and Technical Assistance (PTA)

The PTA allocation received 54 General and 26 Economic Development (ED) applications. Of these, 20 General applicants were awarded \$ 1,000,000 and 26 ED applicants were awarded \$1,393,000 for a total of \$2,393,000. This represents six percent of the 2009-10 HUD CDBG allocation. Requested amounts exceeded the available PTA funds by \$1,593,914.

These PTA General grants are expected to produce 16 studies, reports and funding applications over the next 12 to 24 months. General PTA awards included proposals to complete 12 public improvement assessments and plans and two housing condition and income surveys.

ED PTA awards are expected to produce 16 studies, reports, and funding applications over the next 12 to 24 months. The awards included six incubator feasibility and marketing strategy studies, two industrial park planning studies, and ten business revitalization, business opportunity and marketing studies.

- **Disaster Recovery Initiative Program (DRI)**

The DRI Program is at the start of its funding timeline. DRI is designed to be a “last resort” funding source for damages suffered from the 2008 wildfires that devastated parts of California. Two Presidential disaster declarations were issued, allowing 14 counties and two Native American Tribes to apply for funding. The State’s allocation is approximately \$39.5 million, of which \$38.3 million will be granted through an Over The Counter (OTC) application process. The balance will go for General Administrative costs of the State CDBG Program to implement and administer DRI.

The OTC process began accepting applications on Tuesday, August 31, 2010. Awards will be announced in October and November, 2010.

- **CDBG-Recovery Program (CDBG-R)**

The objective of ARRA funding is to help stimulate the economy in the non-entitlement communities of the State by funding CDBG eligible activities that are consistent with the overall purpose of ARRA.

The Recovery Act appropriated \$1 billion of CDBG funding and distributed \$980 million to grantees that received CDBG funding in FY 2008. HCD was awarded \$10,652,033.

A total of \$9,902,602 was awarded under the General and Economic Development Allocations as described below. Priority was given to projects that were ready to proceed, have all funding committed, and will be able to expend CDBG-R funding by September 30, 2012.

CDBG-R General Allocation: \$6,769,685 was used to fund 10 CDBG – General Allocation eligible projects that fell below the funding threshold of the 2008/09 CDBG General Allocation distribution process, and which met the ARRA objectives of stimulating the economy through measures that modernize infrastructure, improve energy efficiency, and/or expand educational opportunities and access to health care. All activities funded with the General Allocation will benefit low and moderate income persons. Other considerations for selecting 2008 applications for CDBG-R funding were readiness to proceed and the commitment of all other funding needed for the activities. The maximum CDBG-R award amount under the General Allocation is \$1 million.

CDBG-R Economic Development Allocation: \$3,132,917 was awarded to 2 Economic Development (ED) applicants which had already submitted 2009/2010 applications to the CDBG Program under the Over-the-Counter component. Both projects were reviewed for compliance with CDBG underwriting requirements and CDBG-R Program criteria. The maximum CDBG-R award amount for the ED Allocation is \$2.5 million.

- **Neighborhood Stabilization Program (NSP)**

The Housing Economic and Recovery Act of 2008 (HERA) provided \$3.9 billion nationwide for the Neighborhood Stabilization Program (NSP), to provide funds to state and local governments to purchase abandoned and foreclosed homes and residential property. This money was to rejuvenate neighborhoods and communities that were hardest hit by the foreclosure crisis.

The federal Department of Housing and Urban Development (HUD) awarded the State of California \$145,071,506 in NSP funds. The Department released a NOFA for Tier 1 and 2 allocations in April, 2009. Workshops were held state-wide, applications were received and as result, a total of 51 contracts were executed, totaling \$92,748,612.

In accordance with HERA Section 2301(f)(3)(A)(i) and (ii), not less than 25 percent of NSP1 funds shall be used for the purchase and redevelopment of abandoned or foreclosed upon homes or residential properties that will be used to house individuals or families whose incomes do not exceed 50 percent of Area Median Income (AMI).

The Department released a NOFA for Tier 3 funding, allocating funds to multifamily rental housing projects specifically targeting households with incomes at or below 50 percent Area Median Income as beneficiaries. Five awards were announced in October, 2009, totaling \$33,160,472.

NSP funded projects are located in the following counties and cities:

Table 10: Neighborhood Stabilization Program (NSP): Award Locations

<u>County</u>	<u>Areas Served</u>
Alameda	Hayward
Contra Costa	County wide
Fresno	Clovis
Kern	Delano
Kings	County wide
Los Angeles	County wide
Madera	County wide
Merced	County wide
Monterey	County wide
Orange	County wide
Placer	County wide
Riverside	County wide
Sacramento	Citrus Heights, Rancho Cordova
San Bernardino	County wide
San Diego	Escondido, Oceanside

Shasta
Solano
Stanislaus
Sutter
Tulare
Ventura
Yolo
Yuba

County side
County wide
Turlock
Yuba City
The City of Tulare
County wide
West Sacramento
County wide

Program Income

As of August 20, 2010, Annual Program Income Reports had been received for the reporting period of July 1, 2009 through June 30, 2010. Jurisdictions reported a total of \$ XXX of program income collected for this program year. In accordance with their respective approved Program Income Reuse Plans, these funds were deposited into their Program Income Revolving Loan Accounts. Some of the funds were obligated to activities under open CDBG contracts.

These jurisdictions reported expending \$ XXX through the Housing Rehabilitation Revolving Loan Accounts; \$ XXX through the Acquisition/Direct Homeownership Revolving Loan Accounts; and \$ XXX through the Economic Development Revolving Loan Accounts. These expenditures were primarily for loans to continue the activities that generated the program income.

Leveraged Resources

Proposals to use CDBG funds with other leveraged funds can improve scoring in the competitive application process. Local contributions typically consist of in-kind staff services such as grant administration, redevelopment agency funds, gas tax funds, public works funds, permit and other fee waivers. Private contributions can include mortgage loans, grants from private agencies, in-kind staff time, sweat equity from rehabilitation projects, and discounts on services from title, pest and appraisal companies. Local governments are encouraged to provide local resources and obtain as much private support as possible to make their applications more competitive, and to report State or federal funds used in the proposed activities.

Table 12 shows local public and private leverage, as well as required “cash match” for planning and technical assistance grants, that was committed along with CDBG awards made during the reporting year. This table does not include leverage commitments made in prior multi-year grant awards.

Table 11

**Funds Leveraged by CDBG Allocations and
Committed by Grantees for 2009-10 Funded Activities**

Program Allocation	Leveraged and Match Funds
General/Native American/Colonias Allocations	\$7,662,235
ED Enterprise Fund	\$5,066,885
ED Over the Counter (OTC)	\$39,600
General Planning and Technical Assistance (Match)	\$59,929
ED Planning and Technical Assistance (Match)	\$217,012
	\$13,045,661
Total	

Table 11 shows expenditures from other fund sources in conjunction with CDBG grants, reported in grantees' semi-annual Financial and Accomplishment Reports (FARs). This table includes expenditures reported from multi-year contracts.

**Table 12
Other Funding Sources by CDBG Allocation, Actual Expenditures, 2009-10**

CDBG Allocation	Other Federal	State	Local	Private	Total
General Allocation	\$0	\$0	\$5,649,530	\$20,248,376	\$25,897,906
General Planning and Technical Assistance	\$0	\$0	\$328,017	\$9,450	\$337,467
ED Enterprise Fund	\$0	\$0	\$352,932	\$363,509	\$716,441
ED Over-The-Counter	\$0	\$0	\$0	\$401,316	\$401,316
ED Planning and Technical Assistance	\$0	\$0	\$182,486	\$0	\$182,486
Total – All Allocations	\$0	\$0	\$6,512,965	\$21,022,651	\$27,535,616

Compliance and Monitoring

Over the past three years the CDBG General, Native American, and Colonias programs adopted a risk assessment tool as part of grant monitoring, based on a modified IFC Kaiser-developed model. The goal is to identify grantees potentially at high risk of, or actually encountering, difficulties in local project or program implementation. The risk assessment tool allows staff to focus limited resources on grantees that need the most assistance. Time saved will be used to provide more guidance at the beginning of the grant so that activities can start earlier and CDBG funds can be expended more quickly.

The CDBG ED unit also adopted a risk assessment tool this year. ED grants are also monitored through report reviews and loan package reviews. Report and loan package reviews evaluate grantee performance and compliance with underwriting and due

diligence requirements. At on-site visits, open grant activities and activities funded with local program income are monitored for compliance with ED-specific requirements and for State and federal overlay requirements for environmental review, labor standards, procurement and equal opportunity. Verification is required for all activities completed during the term of the contract, and to show that the job requirements and CDBG National Objectives are met.

Each PTA grant receives desk monitoring prior to grant closeout. Grantees document citizen participation, equal opportunity and procurement, in addition to the final written report or study submitted by the end of the grant term.

Grantee expenditure rates, shown in FARs for open activities and local program income activities, are examined annually as part of the Department's compliance process. If a grantee has a low rate of expenditure, holds excess program income, or is not reporting as required, a letter informs the grantee that no further applications will be accepted until discrepancies are addressed. This status is referred to as "hold-out."

Program Outreach

CDBG provides outreach in a variety of ways. Program staff participates on panels and at statewide housing conferences such as Housing California. CDBG also sponsors a biannual California Development and Redevelopment Conference. CDBG holds application workshops, meets regularly with an advisory committee to gather public input, and uses the Department's website and an e-mail distribution list to broadcast information about CDBG NOFAs, Management Memorandums and other program updates.

- **CDBG Advisory Committee**

The CDBG Advisory Committee is comprised of local officials and consultants who use the State's CDBG program. Meetings are held three to four times a year to help HCD develop and evaluate ideas about program design and implementation. This year's first meeting was held at HCD headquarters in Sacramento on May 6, 2010.

- **General NOFA Application Workshops**

CDBG staff conducted four NOFA Workshops from April 22 to May 19, 2010. Over 75 applications were received from local jurisdictions to participate in the 2010-11 awards. Awards will be announced in October 2010.

General NOFA Application Workshops:	Coachella	4-22-2010
	Sacramento	5-5-2010
	Redding	5-12-2010
	Visalia	5-19-2010

- Disaster Recovery Initiative (DRI) Roundtables and NOFA Workshops

The DRI Program conducted Roundtable discussions in Northern and Southern California, as well as two webcasts, to get input regarding the unmet needs of the communities devastated by the 2008 wildfires, prior to releasing the DRI NOFA.

DRI Roundtables	Webcasts	3-15&16-2010
	Oroville	3-17-2010
	Glendora	3-25-2010
DRI NOFA Application Workshops	Salinas	5-25-2010
	Oroville	5-27-2010
	Glendora	6-9-2010
	Webcast	6-28-2010

- Economic Development NOFA Application Workshops

Workshops were held for application preparation for the Enterprise Fund component of the CDBG-ED program.

Enterprise Application Workshops	Coachella	6-16-2009
	Sacramento	6-19-2009
	Lindsay	6-23-2009
	Capitola	6-24-2009
	Anderson	6-29-2009

- California Finance Coordinating Committee (CFCC) Funding Fairs

HCD CDBG is a member of the CFCC, made up of State and federal agencies that fund public works and public facility projects throughout the State. To market these programs, the Committee conducted Funding Fairs at five locations. CDBG staff gave training and direct technical assistance to agencies seeking CDBG funds.

CFCC Funding Fairs	Coachella	2-02-2010
	Fillmore	2-04-2010
	Fresno	3-9-2010
	Redding	4-14-2010
	Sacramento	5-06-2010

Assessment of Response to Primary Goals in 2009-10 Annual Plan

Goal 1: Meet the housing needs of low-income renter households, including providing homeownership opportunities for first-time homebuyers.

CDBG Objective: CDBG will encourage grantees to apply for homebuyer assistance programs. The program will also strive to increase the number of low income rental

housing projects using CDBG funds.

CDBG Accomplishments: CDBG continues to encourage grantees to use CDBG funds for infrastructure improvements and rehabilitation of rental housing projects, and for first time homebuyer programs. Under the General component, \$3,837,065 funded 16 direct homeownership assistance programs. The program requests local jurisdictions evaluate their use of program income for housing, and use existing monies in their housing revolving loan accounts (RLAs). Many jurisdictions have established RLAs to assist first-time homebuyers. HCD reviews the size of local deposits and requests jurisdictions to commit and draw down program income from these accounts before drawing down new funds. CDBG encourages jurisdictions to apply for homebuyer activities when NOFAs are announced that include first-time buyer programs and rental housing projects.

Goal 2: Meet the housing needs of low-income homeowner households.

CDBG Objective: Make CDBG funds available to more low-income homeowners for required health and safety repairs.

CDBG Accomplishment: CDBG funds low and very low income households through homeowner rehabilitation programs. Programs must be offered on an area-wide basis. Under the CDBG General component, there were 18 single-unit rehabilitation programs funded with \$3,765,887.

Goal 3: Meet the housing and supportive housing and accessibility needs of the homeless and other special needs groups, including the prevention of homelessness.

CDBG Objective: Make CDBG funds available for the acquisition, construction or rehabilitation of facilities that meet the housing needs of the homeless and other special needs groups. Encourage proposals to address the needs of farmworkers and those with worst-case housing needs.

CDBG Accomplishment: CDBG made five awards for public facilities that serve the community and special needs groups. Two facilities (in Humboldt and El Dorado Counties) are designated homeless shelters, one is a Veterans Hall in Shasta County, one is a transitional housing center for veterans in Eureka, and one is a transitional housing facility in Monterey County.

Goal 4: Mitigate Impediments to Fair Housing.

See **Furthering Fair Housing** section below.

Program Self-Evaluation

The Department is satisfied with the outcome of the 2009-10 funding cycle. The State certifies that implementation of the Consolidated Plan has been accomplished.

- Multi-Year Funding Awards

The CDBG program did not make any multi-year awards this year and is assessing the results of the multi-year fast-forwarding funding concept, which provides grantees a reservation of funding over a number of years. One challenge posed by the program is the tracking of multiple funding sources over multiple years with each contract having three to four activities. There were 121 contracts with over 213 activities funded this year, not including new awards made or activities in varying stages of completion. The Department is still assessing the administrative demands and rewards of the concept.

▪ CDBG Expenditure Rate

The Department continues to implement steps to increase expenditure rate, including:

- Focusing technical assistance on poorly performing ED grantees;
- Applying a readiness rating and ranking factor for all General Allocation activities;
- Disencumbering funds from General Allocation and ED grantees for non-expenditure;
- Disencumbering funds from General Allocation and ED grantees for non-compliance with special conditions in their Standard Agreements requiring activity completion within 90 days;
- Barring poor grant administrators from applying for additional funding until their performance problems are resolved; and
- Using hold-outs to restrict non-compliant grantees from further applications until performance problems are resolved.

▪ Performance Measurements

CDBG continues to implement HUD's performance measurement system and has achieved the following:

- HCD has revised the Grantee Performance Report (GPR) form to include all performance measure indicators required by the new IDIS screens. A management memorandum was sent to local jurisdictions instructing them to use the updated form for this 2009-10 report period. A management memorandum, with instructions and the form, was e-mailed to all jurisdictions and can be found on the Department's website at <http://www.hcd.ca.gov/fa/cdbg/mmemo>.
- In light of the performance measure information requested, the Department has reviewed its existing reporting documents and applications, and continues to collect and enter the necessary information in both IDIS and the Department's Consolidated Automated Program Enterprise System (CAPES) database.
- The Department closed 41 contracts during 2009-10, to significantly reduce the number of open IDIS activities.

▪ Economic Development

When the Annual Plan for FY 2009-10 was written, demand for Enterprise Fund (EF) funding was low. Expenditure rates for open EF grants were low. Grantees indicated

that the abundance of available private resources, such as home equity loans, for small business owners and the perceived red-tape associated with government assistance contributed to the reduced demand and low expenditure rate.

ED staff increased marketing, training, and outreach which resulted in greater demand for all components of the ED program. The ED workshops (listed below) held at the CDBG Conference in September 2008 also contributed to the growing demand for ED funding.

Economic Development workshops at CDBG Conference:

- Developing Public Infrastructure and Business Development Projects Using Over-the-Counter Funds
- How to Run and Manage Your Revolving Loan Fund
- Speed Funding for Economic Development Projects
- Commercial Façade Improvement Programs Using CDBG
- CDBG and California Farmers Markets' – a Viable Enterprise
- Starting an Incubator Using CDBG Funds

Feedback from jurisdictions indicated that demand for EF funding would improve in FY 2009-10 – partly due to the program's efforts and partly due to what was happening in the private credit market for small businesses. In anticipation of continued demand, the maximum request for grant funds remained \$300,000. This decision was validated as the program received 29 applications in FY 2009-10. It is anticipated that smaller grants distributed among more jurisdictions will result in a higher expenditure rate.

Response to CDBG Program Goals in the 2009-10 Annual Plan

To achieve the four primary goals, staff and management set the following program goals for 2009-10:

CDBG Goal 1: Increase the State expenditure rate by reviewing and streamlining the distribution of funds, starting with the contract development phase, and the timely expenditure of funds by grantees.

CDBG Accomplishment: Over the course of the last year, the CDBG Program found a number of ways to increase the State expenditure rate. General and Fiscal Staff have worked together to incorporate a number of time saving procedures in an effort to streamline the funding process for the grantees. Financial reporting has become more accurate due to increased technical assistance, and use of the CAPES database has allowed Fiscal reps to verify accuracy more effectively. The Funding Request forms have been getting reviewed quicker, allowing the Accounting Department to cut the checks to our grantees faster. The Contract Development phase of the process has also been streamlined, by having routing sheets move the review process quickly toward creating the Standard Agreements for grant awards. Also, a higher percentage of grantees took advantage of the opportunity to begin clearing Special Conditions prior to executing the Standard Agreement this last year, which also shortened the time between award and draw-down of funds.

CDBG Goal 2: Increase monitoring and technical assistance efforts by conducting more site visits to help ensure compliance with federal overlay requirements and provide guidance on how to maximize the use of CDBG Economic Development resources.

CDBG Accomplishment: The program increased monitoring activities during the year. A risk assessment was conducted to determine which grants would be monitored. Factors in the risk assessment include complexity of grant activity, audit findings, and CDBG experience of program operators. The number of site visits conducted increased over the previous year for both General and Economic Development grants. Site visits included program compliance reviews and technical assistance.

CDBG Goal 3: Review and update CDBG program regulations to improve administrative procedures. Changes are needed to reflect current business practices and improve program performance.

CDBG Accomplishment: The CDBG program is constantly conducting outreach to its customers, the grantees and staffs of cities and counties throughout the State. In addition to providing technical assistance to the jurisdictions, CDBG Representatives forward questions, problems and suggestions to management, who implement program changes as required to better reflect current business practices. The CDBG General Component's Advisory Committee also forwarded a number of suggestions, one of which led directly to a Management Memo amending Program Income (PI) guidelines. The amendment includes a waiver which can help jurisdictions spend down high PI balances and avoid being put on the Holdout List (which would bar them from applying for funding). Other changes include a new chapter in the CDBG Grant Management Manual on Income Certification, so jurisdictions can more effectively qualify their beneficiaries, as well as keep desk and monitoring review times to a minimum. The HCD Federal Programs Branch will offer the Biennial CDBG/HOME Conference on September 15 – 17, which will provide grantees numerous training opportunities to improve administrative and program performance.

CDBG Goal 4: Review and streamline internal processes, including rating and ranking, to ensure a competitive advantage for jurisdictions with demonstrated need, capacity, and a high level of readiness to perform.

CDBG Accomplishment: The rating and ranking process for the General Application was reviewed and continues to include point categories for Need and Capacity/Readiness. The State Objective points for infrastructure projects were increased in the current allocation to give jurisdictions with a demonstrated need for public improvements a competitive advantage in the scoring process. The Economic Development Enterprise Fund grant application rating factors were reviewed and analyzed, but changes to the rating factors will require regulation changes. Proposed rating factor changes will be discussed with the Policy Advisory Committee and other stakeholders before being finalized.

Furthering Fair Housing

CDBG requires all jurisdictions to carry out housing and community development activities in a manner that furthers fair housing. Each grantee is required to have a designated staff-person who can help citizens file fair housing complaints.

CDBG encourages all jurisdictions to insert fair housing language in public notices, post fair housing posters in jurisdiction offices, place fair housing symbols on marketing materials and declare April to be Fair Housing Month. The Fair Housing activity (Matrix Code 05J) allows local jurisdictions to pay for counseling services that prevent housing discrimination. The Department has included this activity in its revised Grantee Performance Report (GPR) and will be able to track local jurisdictions conducting this activity in 2009-10 and beyond. HCD's CDBG program has a designated specialist who disseminates information on fair housing issues and acts as lead in fair housing activities. Staff recently developed a survey to help inform fellow HCD staff and other interested parties about fair housing issues.

Compliance with Applicable Civil Rights Laws

CDBG collects data on the characteristics of beneficiaries from each grantee through annual and final GPRs, and assesses a grantee's civil rights performance as follows:

1. Requires grantees to provide demographic comparisons between the local areas being served by CDBG activities and the actual applicants for and beneficiaries of the assistance. No findings of discrimination have been made.
2. Requires larger grantees that use CDBG funds for program staff to provide demographic comparisons between the jurisdiction as a whole and its employees.
3. Reviews local equal opportunity employment policies and any pending discrimination complaints.
4. Details fair housing requirements in the CDBG application forms, Training Manual and Grant Management Manual. The grantee must survey households applying for services, use posters and brochures to advertise, and establish and publicize the process of filing a fair housing complaint.
5. Reviews local procurement procedures for steps taken to solicit women and minority contractors, and reviews all contracts to ensure that relevant equal opportunity requirements are included.

The table below summarizes grantee use of women- and minority-owned businesses:

Table 13
Minority- and Women-Owned Contractors Employed

Firm Owned Wholly Or Substantially By:	Value Of Contract(s)
Minority Group Members	\$XXX
Women	\$XXX
Other	\$XXX

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**Home Investment
Partnerships Program**

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Home Investment Partnerships Program (HOME)

Method of Investment of Available Resources

HOME funds are distributed by HCD through a competitive process to cities and counties in California that are not HUD Participating Jurisdictions (PJs), members of a HOME Consortium, or part of an Urban County agreement with a PJ. HOME funds are also available to nonprofits certified as Community Housing Development Organizations (CHDOs) that operate in HOME-eligible jurisdictions.

HOME announces its funding offerings through NOFAs. Funds are distributed to projects, which are HOME-eligible activities with an identified site and borrower at the time of application, and programs, which are HOME activities without identified sites or borrowers at the time of application. Eligible activities include:

- Rental new construction
- Rental rehabilitation and/or acquisition
- Tenant-based rental assistance (TBRA)
- First-time homebuyer (FTHB) down payment assistance
- First-time homebuyer new construction (subdivisions and infill)
- First-time homebuyer acquisition/rehabilitation/conversion projects
- Owner occupied rehabilitation assistance

Criteria governing awards in 2009-10 are contained in the State HOME regulations:

All Activities

- Capacity
 - Prior performance
 - Prior experience
- Community need of homeowners and renters. Factors in bold were used in 2009-10 because reliable data for these factors was available for all HOME-eligible jurisdictions.
 - **Poverty**
 - Overpayment for housing by low-income households
 - **Vacancy rates**
 - **Age of housing stock (pre-1970)**
 - Substandard housing units
 - **Overcrowding**
 - Risk of conversion to market rate
 - **Ratio of median home sales price to median household income**
- Program or project feasibility

Program and First-Time Homebuyer (FTHB) Activities

- Guidelines in compliance with State and federal requirements
- Demonstrated market
- Financial feasibility

Rental Projects

- Financial feasibility
- Greatest percentage of assisted units
- Readiness of activity to be implemented (rental and FTHB projects)
 - Project development plan
 - Status of local government approvals
 - Design progress
 - Financing commitments
- Additional points are awarded for the following, for all activities except where noted:
 - Jurisdictions whose formula allocations have been reallocated by HUD to the State HOME Program
 - State Housing element compliance
 - Application proposes activities in a rural area
 - State objectives identified in the Annual Plan – In the 2009-10 funding round, up to a total of 150 points were awarded for achieving one or more of the following State Objectives:
 1. Up to 50 points to rental project applicants who committed to provide rents on the HOME units at or below 50 percent of area median income (AMI).
 2. Up to 100 points to rental project applicants who had 100 percent of their non-HOME permanent financing committed by the HOME application deadline.
 3. Up to 50 points for rental projects proposing to target special needs populations.
 4. Up to 50 points for rental and first-time homebuyer (FTHB) projects which help the Department to maintain a geographic balance of HOME project awards made in 2009-10.
 5. Up to 50 points for rental and FTHB projects that were part of a Regional Economic Recovery Workplan.
 6. Up to 50 points for rental and FTHB applicants whose development team completed a past HOME project no later than 30 months following the award letter date for that project.
 7. Up to 75 points for program activity applications from jurisdictions that applied for but did not receive HOME program activity funding in 2008.

8. Up to 25 points for applicants that commit to incorporate State of California Green Building Energy Efficiency features in new construction or rehabilitation activities.

Use of Funds

HUD allocated \$64,634,156 in HOME funds to HCD for 2009-10. HCD retained \$4,847,561 for State administration of the program.

In 2008-09 \$27,200,000 of the 2009-10 allocation was committed early in an effort to accelerate expenditures. Similarly, the State pre-committed \$31,842,769 from 2010-11 HOME funds in 2009-10, which were awarded in November 2009. The actual awards included:

**Table 14
HOME Awards in 2009-10**

Fund Source	Awarded
2010-11 HOME funds	\$31,842,769
2009-10 HOME funds	\$30,970,000
Prior year HOME funds	\$ 1,654,166
Total Awards, 2009-10	\$64,466,935

During 2009-10, HOME awarded \$31,842,769 in 2010-11 funds and \$32,624,166 in 2009-10 and prior year funds.

**Table 15
Number, Recipients and Uses of HOME Awards**

Recipients	Local Assistance	Administration	Total Funds	No. of Awards
State Recipients:	\$52,312,164	\$1,419,000	\$53,731,164	47
CHDOs:	\$10,315,771	\$420,000	\$10,735,771	5
TOTAL	\$62,627,935	\$1,839,000	\$64,466,935	52

The 2009-10 HOME NOFA was released on June 1, 2009 for a total of \$60 million, with a closing date of August 14. Most conditional reservations of funds were issued in November 2009 for programs and January 2010 for projects.

Approximately 47 percent of funds awarded were for assistance to homeowners and 53 percent for assistance to renters. The distribution of awards was as follows:

A total of \$155,897,079 was requested for rental projects, program activities and FTHB projects. A total of \$64,466,935 was awarded to 52 applicants. \$35,606,935 was awarded to 17 rental project applicants; \$26,760,000 was awarded to 54 program activities, and \$2,100,000 was awarded to one FTHB project applicant.

Table 16
HOME Awards by Activity Type

Type of Activity Funded	Funds Awarded	Number of Activities	Percent of Funds
First-Time Homebuyer Acquisition	\$16,533,499	29	26%
First-Time Homebuyer New Construction	\$ 2,900,000	2	4.5
Owner-Occupied Rehabilitation	\$ 8,423,192	21	13
Rental Rehabilitation	0	0	0
Rental New Construction	\$35,606,935	17	55
Tenant Based Rental Assistance	\$ 983,309	3	1.5
Total	\$64,466,935	72	100%

These activities are projected to assist 944 households (532 lower-income renter households and 412 lower-income homeowner households). Tenant relocation assistance is discussed in Appendix A. 2009-10 awards did not fund any rental rehabilitation programs.

California administers the largest State HOME allocation in the nation and has one of the largest and most diverse housing markets. Land, materials, and labor costs have been among the highest in the nation, and have been among the hardest hit by the decline in housing and credit markets. The ongoing need for affordable housing and increasing costs complicate the housing financing and development process. Federal and State tax credits and tax-exempt bonds provide the largest source of funding for affordable housing in the State, but to obtain these funds, applicants must have all of their HOME financing ("soft money") committed first.

Summary of Accomplishments

During 2009-10, the HOME program:

- Provided affordable renter and homeowner housing units. (See Table 19 below.)
- Maintained filing of Project Completion Reports (PCRs) in a timely manner, including eliminating vacant units from past PCRs
- Continued to accelerate awards so that we awarded 50 percent of our 2009-10 HUD allocation in 2008-09, in addition to funds from disencumbered contracts. We also awarded 50 percent of our 2010-11 funds in 2009-10 (See Table 13 above.)
- Waived the match for all activities in 2009-10. Contractors must still report their HOME-eligible match, but the State has enough banked match to meet the federal match requirement. This reduces administrative and financial burdens on HOME contractors.
- Improved our evaluation of project feasibility for rental and FTHB projects given problems brought about by the current economic downturn, such as declining land values and tax-credit equity pay-ins.

- Provided greater flexibility in funding levels for rental projects to alleviate some financing gaps created by decreases in the value of tax credits
- In June, 2009, conducted NOFA and Application training workshops for projects and program activities. The rental new construction project workshop was held in Rancho Cordova, and program workshops were held in Weaverville, Hemet, Sacramento, and Madera. A NOFA and Application training was held by conference call in June 2009 for FTHB projects.
- HOME staff and grantees attended several HUD-sponsored HOME trainings during the year, including Building HOME, the HOME Specialist Administration Training, NEPA, and Davis Bacon training. HOME also sponsored a series of trainings with HUD and the Rural Community Assistance Corporation (RCAC) on rental housing development
- Continued our partnership with RCAC to provide HUD-funded technical assistance to existing CHDOs in rural communities, with emphasis on capacity building and new housing development.
- Developed a series of Income Determination trainings in partnership with RCAC that are specific to the State HOME and CDBG Programs, including our own Part 5 Income Determination Manual.
- Certified our first Native American-targeted CHDO, and began working with them on their first HOME project.
- Expanded our rental project monitoring efforts to include contract close-out monitoring.
- Began dedicated ongoing long-term monitoring of FTHB project and OOR activities.

Community Housing Development Organizations (CHDOs)

As of June 30, 2010, there were 19 HCD-certified CHDOs, and the HOME program works with additional nonprofit corporations on an ongoing basis to help them qualify for certification.

HOME federal regulations require that at least 15 percent of each HUD FFY award be allocated to CHDOs. The 2009-10 total HUD allocation of \$64,634,156 provides a 15 percent CHDO set-aside of \$9,695,124. During the reporting period, \$10,735,771 was awarded to five CHDOs, amounting to 16.6 percent of the total amount awarded.

Non-Responding Jurisdictions

HOME sent Annual Performance Report (APR) forms to all State Recipients and CHDOs that had eligible reporting activities during 2009-10. Several jurisdictions which have not reported to HCD are now either PJs or ineligible jurisdictions as members of a Consortium or Urban County, and therefore cannot apply for State HOME funds in the future. This does not, however, absolve those jurisdictions from State-required reporting for previous years. Non-responding jurisdictions are:

State Recipients: To be updated with Annual Performance Report (APR) data.

Participating Jurisdictions: To be updated with APR data.

CHDO's: To be updated with APR data.

Program Income and Leveraged Resources

- **Program Income (PI)**

Total PI collected by HCD for 2009-10 was \$XXX. Of the total, \$XXX was encumbered and disbursed in existing contracts during 2009-10 with \$XXX to be encumbered and disbursed in 2010-11.

PI and recaptured funds collected by State Recipients in 2009-10 totaled \$XXX (\$XXX in PI and \$XXX in recaptured funds). These were used to assist XXX units, XXX rental units, XXX owner-occupied).

Of the households occupying these units, XXX had incomes of 30 percent or less of median income; XXX had incomes ranging from 30 to 50 percent of median income; XXX had incomes of from 50 to 60 percent of median income; XXX had incomes ranging from 60 to 80 percent of median income. Additional details about units funded with program income appear in Table 16.

- **Leverage**

During 2009-10, HOME funds were leveraged with \$299,209,145 from other sources. This is a 15 percent increase over the amount contributed in 2008-09 of \$260,944,166, and means that \$4.64 was leveraged for every HOME dollar, a three percent increase over last year's ratio of \$4.50 per HOME dollar.

In the general HOME program, points are no longer given for leverage of other funds, because this has been found to discourage smaller projects that use more HOME funding and are more affordable, and to encourage larger projects with lower affordability, often using 9 percent tax credits, which slows the expenditure of HOME funds.

However, the recording of match necessary for financing is still required so HCD can continue to provide match activity waivers.

- **Match**

For 2009-10, HOME provided a match activity waiver for all activities because of excess or "banked" match that we already have. However, we still require all grantees to report match so that we can continue to bank it for future years.

Table 17
HOME 2009-10: Beneficiaries Assisted with Program Income

Size of Household	1 Person	2 Person	3 Person	4 Person	5 Person	6 Person	7 Person	8 or More	Vacant	Total
	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX

Type of Household	Single non-Elderly	Elderly	Related/ Single Parent	Related/ 2 Parent	Other	Vacant	Total
	XX	XX	XX	XX	XX	XX	XX

No. of Bedrooms	0 Bdrm	1 Bdrm	2 Bdrm	3 Bdrm	4 Bdrm	5 or More	Total
	XX	XX	XX	XX	XX	XX	XX

Race/Ethnicity of Head of Household	White	Black	Asian	American Indian/ Alaska	Native Hawaiian/ Pacific	Asian & White	Black & White	Am.Ind. Alsk/ Blk	Other	Vacant	Total
Non Hispanic	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX
Hispanic	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX
Vacant	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX
Total	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX

Occupancy	Rental Units	Owner Units	Vacant	Total
	XX	XX	XX	XX

Percent of Area Median Income	0 – 30 %	30-50%	50-60%	61-80%	Vacant	Total
	XX	XX	XX	XX	XX	XX

State Recipient Project

Hillview Ridge Apartments

Location: Oroville, CA

2006 HOME Rental New Construction Project

Completed in the fall of 2009 by the City of Oroville, in partnership with Pacific West Builders.

Hillview Ridge Apartments is a 72-unit rental new construction project targeted to families making up to 30 percent, 50 percent, or 55 percent of area median income. The project sits on 5.4 acres and includes 24 two-bedroom units, 40 three-bedroom units, and 8 four-bedroom units. The project amenities include a 2,500 square foot community center, a computer learning center, exercise room, swimming pool and spa, a 2,500 square foot play area, as well as plenty of open space with barbecue grills and picnic tables for family gatherings. The project has four units accessible for physically disabled persons and 2 units designed for persons with sensory impairments.

Total development cost was approximately \$19 million. HOME provided \$1.9 million for construction and permanent financing. Other financing included tax credit equity of approximately \$14 million, a private bank loan of approximately \$2.1 million, and deferred developer fee of approximately \$818,000,

Monthly tenant incomes range from \$921 – 2771. Monthly tenant rents range from \$340 - \$793.

Monitoring

Close-out Monitoring

Contract closeout monitoring is performed for all HOME-eligible activities.

HOME determines contract closeout monitoring priorities based on the following criteria:

1. New HOME recipient.
2. HOME recipient who has never been monitored; or who has not been monitored in the past five years.
3. HOME recipient with new contracts who also have older active contracts
4. HOME recipient with significant issues such as:
 - a) Submitting a Setup/Project Completion Report with the rental unit occupancy not meeting the Department's requirements for minimum number of person's per household based on number of bedrooms per unit;
 - b) Submitting a Set-up Package documents that do not meet the Department's standards;
 - c) Failure to submit required monthly or quarterly reports, or the reports that are submitted are incomplete or identify problems with the project;
 - d) Labor compliance issues;
 - e) Submitting a Setup/Project Completion Report with the individual recipient over income limits;
 - f) Submitting a Setup/Project Completion Report with the amount of HOME funds above the 221 (d) (3) limit;
5. HOME recipient that had/has significant A-133 Audit Issues;
6. HOME recipient with significant staff turn-over, or that has discontinued use of an administrative subcontractor and has decided to do the work "in-house";
7. HOME recipient requests a monitoring visit.'

For 2009-10, HOME monitored 13 program activity contracts (FTHB downpayment assistance, OOR, or TBRA), ten State Recipient rental project contracts (close-out monitoring), and four projects specifically for Davis Bacon compliance. Since the Department, rather than the local jurisdiction, is the lender for CHDO project loans, close-out monitoring is done on every CHDO loan as part of our regular loan servicing work for these loans. HOME is on schedule to meet our overall closeout monitoring goal of 40 monitorings in calendar year 2010.

Homeowner Activities Long-Term Monitoring

In 2009-10, the Department created a new position for HOME to enable us to begin ongoing FTHB and OOR long-term monitoring efforts. As a result, in the past year, verification of principal residency began on 618 CHDO FTHB loans. In addition, a sampling of 15 HOME State Recipients is being monitored regarding their FTHB and OOR loan servicing plans.

Rental Project Long-Term Monitoring

Staffing: During 2009-10 the long term monitoring unit had 6½ staff.

Types of Monitoring: For loans to Community Housing Development Organizations (CHDOs), the Note and Deed of Trust are held by the Department, and the regulatory agreement also lists the Department as the lender on the HOME loan; therefore, HOME's CHDO long-term monitoring unit reviews proposed annual operating budgets for all of the rental projects in its portfolio, assesses compliance with HOME rents, and reviews and approves annual year-end reports, which are used to calculate interest payments due on the HOME loan and to calculate distributions that the borrower is entitled to. The unit also reviews deferred developer fee payments, asset management fees and partnership management fees that can be paid to the borrower. CHDO staff also reviews and approves (if appropriate) requests for replacement reserve withdrawals and operating reserve withdrawals, and processes requests for borrowers to obtain new loans to conduct needed renovations at projects. Lastly, the CHDO staff performs site inspections of projects, evaluates the compliance of the property with housing quality standards (HQS) and reviews tenant files for compliance with HOME income and rent restrictions. One staff member collects documentation and processes certification requests for Community Housing Development Organizations (CHDOs).

Both the CHDO and State Recipient units conduct long-term monitoring office reviews and field visits for rental projects. However, for State Recipient HOME applicants, the Note and Deed of Trust are held by the State Recipient; therefore, the local jurisdiction is responsible for the day to day monitoring of these projects, and for these loans. The department principally monitors the performance of the State Recipient in carrying out these functions, as discussed below.

a. Office Review

CHDOs: The required CHDO project Annual Report consists of a Management Questionnaire, an Annual Affirmative Marketing/Fair Housing Report, and a five-page Annual Report submitted by the borrower for each rental project. HOME requires these within ninety days after the end of the project's fiscal year, and reminds borrowers by mail of this requirement. All 82 projects are required to submit the Annual Report, and also the proposed annual operating budget which is due 60 days prior to the start of the project's fiscal year.

State Recipients: An office review consists of an Annual Monitoring Report (questionnaire); a Project Compliance Report; a copy of the project's utility allowance

schedule (form HUD-52667); a copy of the State Recipient's last long term monitoring Summary Letter and Clearance Letter to the project's owner/manager, and a copy of the project's Annual Affirmative Marketing Analysis Report. Smaller projects containing 1 to 4 units are not required to submit an Annual Affirmative Marketing Analysis Report.

State Recipient projects were separated into three groups based on HUD's minimum monitoring schedule of: (a) annually for projects with 26+ units, (b) biennially for projects with 5 to 25 units, and (c) every three years for projects with 1 to 4 units. The three large groups were further separated into smaller divisions based on location for a total of seven subsets, as shown in Table 17. During 2009-10, HOME monitoring staff sent these State Recipients letters requesting monitoring documentation for each of the 198 State Recipient HOME-assisted rental projects. A long-term monitoring package from each State Recipient was due within 45 days from the date of the "Request for Annual Monitoring Documentation:"

Table 18
Monitoring Compliance Reports -- HOME State Recipient Projects
(Contracts Completed 1992-2005)

<u>Date Sent</u>	<u>Date Due</u>	<u># Projects</u>	<u>Project Size</u>
			1 – 4 units
<i>August 15, 2009</i>	October 1, 2009	19	"
<i>September 15, 2009</i>	November 1, 2009	17	"
			26+ units
<i>January 15, 2010</i>	March 1, 2010	46	"
<i>February 15, 2010</i>	April 1, 2010	38	"
<i>March 15, 2010</i>	May 1, 2010	35	"
			5 – 25 units
<i>April 15, 2010</i>	June 1, 2010	21	"
<i>May 15, 2010</i>	July 1, 2010	22	"
		Total 198	

HOME plans to send an additional 30 letters between August 15 and September 15, 2010 to State Recipients with 1 – 4 unit rental projects, requesting them to report on their on-going monitoring processes.

<u>Mail Date</u>	<u>Due Date</u>	<u># Letters</u>	<u>Project Size</u>
<i>August 15, 2010</i>	October 1, 2010	16	1 – 4 units
<i>September 15, 2010</i>	November 1, 2010	14	
		Total 30	

A completed questionnaire, Project Compliance Report, utility allowance schedule, copy of the State Recipient's Summary letter and Clearance letter will be due for each project within 45 days from the date of the "Request for Annual Monitoring Report Documentation."

Report Analysis and Risk Assessment

HOME's review of each State Recipient's Annual Monitoring Report package helps to determine whether a site visit will be scheduled by HOME monitoring staff. Similarly, review of each CHDO Annual Report and Management Questionnaire helps determine which projects should be visited each year.

State Recipient - Project Compliance Report – This report is completed annually by the owner or managing agent and submitted to the State Recipient monitor who reviews it for compliance with HOME rent, occupancy, recertification, and income requirements. The monitor executes and dates the report and submits a copy to HOME. HOME samples reports for compliance, and sends a letter to the State Recipient detailing any non-compliance issues. State Recipients are required to respond within 45 days and receive a clearance letter from HOME monitoring staff to confirm correction of compliance issues.

Risk Assessment Questionnaire – Long-term monitoring staff also review State Recipient and CHDO questionnaires and prepare a risk assessment for each rental project. High or low risk is determined based on the following factors:

- Previous long-term monitoring results
- Timeliness and accuracy of required reports to HOME
- Project-specific factors such as size and lead-based paint compliance
- Performance based on whether the owner or property manager conducted inspections and annual re-certifications, used appropriate HOME rents and HUD income limits, and whether there were changes in on-site management or property ownership
- Whether there appeared to be an understanding of program objectives
- Whether replacement and operating reserves of CHDO projects were adequately maintained.

Due to the large number of HOME-assisted State Recipient and CHDO rental projects, report analysis takes place throughout the year.

Table 19
HOME State Recipient Risk Assessments

7/1/09 – 6/30 /10 Risk Assessment Status	State Recipient Projects
Assessment Completed – Deemed High Risk	0
Assessment Completed – Deemed Low Risk	3
Subtotal	3
Received Documents – Assessment Not Yet Done	155
Documents Not Received/Incomplete Package Received	40
Subtotal	195
Total Projects	198
Percentage of Risk Assessments Completed	2%*

* It is anticipated that 100 percent of risk assessments will be completed by December 31, 2010.

b. Field Visits

CHDOs: During the required period of affordability, HOME is responsible to HUD for on-site monitoring of CHDO rental projects and for continued compliance with federal and State regulations.

State Recipients: HCD reviews State Recipient overall performance and adherence to program requirements, and provides technical assistance.

Scope of Review: During a long-term monitoring visit to a CHDO or State Recipient rental project, HOME staff collects data, inspects the exterior of the entire property and the interiors of selected units, reviews the tenant files for a sample of the units, and documents information on checklists that reflect HOME requirements. This information serves as a basis for the monitoring report.

For CHDO projects, HOME conducts on-site monitoring in accordance with the Final Rule. For State Recipient rental projects, HOME uses the following criteria to determine eligibility for a field visit:

1. Contractors who received a high-risk rating
2. Contractors who have not received a field visit within the last three years
3. Rental projects with 26 or more units, requiring annual review
4. HOME Manager requests a visit

From July 1, 2009 through June 30, 2010, long-term monitoring staff completed site visits for 10 State Recipient and 53 CHDO rental projects. To date, the CHDO long-term monitoring unit has visited all the projects in its portfolio at least once, and has completed all required site visits for 2009-10 pursuant to federal HOME regulations.

By the end of 2010, HOME long term monitoring staff plans to conduct on-site visits of all CHDO projects that required site visits this year and any State Recipient rental projects categorized as high risk based on the on-going risk assessment process. State budget constraints, however, may require that some or all of these State Recipient projects be desk-monitored instead of field-monitored.

Program Outreach

HOME continues outreach to its customers in a variety of ways.

HOME managers and staff conduct individual project meetings with projects funded under the current NOFA. These meetings are held in lieu of large contract management trainings so that each meeting can have a project-specific focus and tailored technical assistance can be provided. Topics covered include discussion of a project's responsibilities in the following areas:

- NEPA
- Federal and State prevailing wage requirements
- EO/Affirmative Marketing
- HOME reporting requirements
- Importance of HCD Loan and Grant Committee Project Report as a binding document
- Current project status and project changes after application submission
- Document submittal and processing, including meeting HOME deadlines
- Disbursement of HOME funds
- Coordination with other lenders and permanent loan closing (CHDOs)
- Long-term monitoring

HCD continues to use e-mail and the internet to distribute its NOFAs, application materials, and other program updates. HOME also communicates annually with its policy Advisory Committee, composed of HOME-eligible jurisdictions, CHDOs, and housing consultants.

In September 2010, HOME and CDBG will convene a three-day statewide conference in Sacramento in partnership with RCAC to provide a forum for training and discussion of a variety of topics of concern to both programs, including but not limited to: program administration, rental and FTHB housing development, economic development, fair housing issues, and other federal overlays.

(See the "Summary of Accomplishments" section for more information on outreach through training workshops.)

Furthering Fair Housing

Commitment to Fair Housing and Equal Opportunity

A commitment to fair housing and equal opportunity in employment and business contracting is required of all jurisdictions and CHDOs that receive HOME funding. HOME provides training in Fair Housing and Equal Opportunity requirements. There are separate chapters on these issues in our Contract Management Manual, and we discuss Affirmative Marketing and community-wide marketing extensively in our individual project meetings. HOME also has a Fair Housing/EEO Specialist for technical assistance.

HOME Standard Agreements include, but are not limited to, requirements that:

- All projects with 5 or more units comply with affirmative marketing requirements.
- Each contractor must assure that no qualified persons shall be excluded from participation or employment, or denied the benefits of HOME-assisted housing, and shall not be subject to discrimination based on race, color, national origin, sex, age, handicap, familial status, religion or belief.
- HOME-assisted housing must comply with 24 C.F.R. Part 8, concerning accessibility to the disabled.
- Construction and rehabilitation associated with HOME projects must comply with Section 3 of the Housing and Urban Development Act of 1968 in providing employment and contracting opportunities to low-income residents of the community in which the project is being developed.

The following is required of contractors:

- Contractors who receive HOME funds for a rental project must submit a certification from the project architect that the project plans and specifications comply with Section 504 of the Rehabilitation Act of 1973 and the federal Fair Housing Act.
- Contractors who receive HOME funds for any project containing five or more units must submit their affirmative marketing procedures.
- All contractors must submit evidence that they have solicited minority- and women-owned businesses before they enter into any HOME-funded contracts.

HOME monitors contractor performance periodically during the affordability period. HOME examines the following:

- Demographic information on the jurisdiction, applications for assistance, waiting lists, and actual beneficiaries to determine if there is general parity between the demographic characteristics of the community and the beneficiaries of HOME funds
- Local processes for hiring, firing, and promoting in departments administering HOME funds, and the demographic characteristics of employees in those departments
- Local procurement procedures for the steps taken to recruit women and minority contractors

- Affirmative marketing procedures
- Whether all contracts contain appropriate equal opportunity language.

To be competitive for HOME funding, virtually all city and county applicants must have a housing element that has been determined by HCD to be in substantial compliance with State housing element law. Housing element law requires cities and counties, among other things, to have a fair housing program to disseminate information and receive and refer complaints concerning housing discrimination. This helps assure that local jurisdictions are committed to fair housing. The jurisdiction must, at a minimum, obtain and display posters in public places utilized by large numbers of low-income persons, obtain brochures from the regional office of DFEH, and establish and publicize the process of distributing such information to persons within the jurisdiction who might be victims of discrimination.

HCD collects data on the characteristics of beneficiaries from each contractor through the APR. The ethnic distribution of HOME-assisted households is shown in Table 3.

Minority Outreach

HCD collects information and reports to HUD on the participation of minority and women-owned businesses (M/WBE). The level of M/WBE participation varies based on the amount and type of the HOME-assisted activity during a reporting period, and how contractors acquire goods and services. During 2009-10, xxx businesses with contracts totaling \$XXX participated in the State-administered HOME Program. Of the total, xx minority-owned businesses with contracts totaling \$XXX participated in the State-administered HOME Program.

In addition, XXX women-owned businesses were awarded contracts totaling \$XXX. Of the total XXX contractors that participated in the HOME program, XXX percent were women-owned businesses and XXX percent were minority-owned businesses.

To ensure compliance with fair housing, HCD has continued to promote equal opportunity through NOFA training workshops and other technical assistance trainings. We also continue to monitor performance in this area and provide additional training and technical assistance as appropriate.

As part of its Annual Performance Report, Home surveys its State Recipients and CHDOs regarding fair housing activities they are undertaking, impediments to fair housing, and additional training needs in these areas. Over XXX responses were received for FY 2009-10.

Assessment of Responses to Goals and Objectives in 2009-10 Annual Plan

Goal 1: Meet the housing needs of low-income renter households

Objective 1: Continue monitoring to ensure affordable housing units remain available and in good condition for income-qualified households, in order to encourage healthy environments for tenants and healthy neighborhoods.

Accomplishment: In 2009-10, the Department increased the number of long-term monitoring visits for the HOME rental project loans it services (CHDO loans) by more than 30 percent. See the “Monitoring” and “Long-Term Monitoring” sections of this report for more information on HOME monitoring activities.

Objective 2: Expand monitoring and asset management efforts to help projects remain economically sustainable for the entire federal HOME affordability period

Accomplishment: In 2009-10 HOME completed site visits of all of its CHDO projects to determine the condition of the properties and assess whether additional funds may be needed after expiration of the federal affordability period. See the “Monitoring” and “Long-Term Monitoring” sections of this report for more information on HOME monitoring activities.

Objective 3: Consider revisions to underwriting policies which take project risk into account.

Accomplishment HOME is bound by the Department’s Uniform Multifamily Underwriting Regulations (UMRs), which mitigate financial risk in several respects by regulating such things as debt coverage ratios and minimum operating and replacement reserve amounts. However, HOME also has the ability to waive UMR requirements, such as caps on cash flow, on a case-by case basis to help ensure longer-term project feasibility.

Objective 4: Continue using State Objective Bonus Points to encourage lower rents than the standard Low and High HOME rents.

Accomplishment: HOME continues to offer application rating points to rental projects with rents at 50 percent AMI or below for the HOME period of affordability. In the 2009-10 funding round, nearly all of our rental project applicants received some bonus points for proposing some portion of their rents at 50 percent AMI or below.

Objective 5: Advocate for permanent State and federal funding sources to support ongoing, needed development of affordable rental housing.

Accomplishment: HOME continues to support Department efforts to secure a state permanent source of financing for affordable housing. HOME staff also assisted the Department in planning for federal Housing Trust Fund dollars in 2009-10.

Goal 2: Meet the housing needs of low-income homeowners

Objective 1: Continue to permit reconstruction of severely dilapidated units.

Accomplishment: HOME continues to fund owner-occupied reconstruction (OOR) activities. We have a formal process for determining if a project qualifies as either reconstruction or rehabilitation similar to that of the CDBG Program.

Objective 2: Advocate for a permanent State and federal funding source to support ongoing, needed development of affordable ownership housing.

Accomplishment: See Goal 1, Objective/Accomplishment 5 above.

Goal 3: Meet the housing, supportive housing and accessibility needs of the homeless and other special needs groups, including prevention of homelessness

Objective 1: Waive Uniform Multifamily Regulation (UMR) requirements when a project is jointly funded with HOME and HUD Section 202 funds.

Accomplishment: We continue this practice. In 2009-10, we received two HUD 202 applications

Objective 2: Offer additional funds and/or bonus points for rental projects providing deeper affordability.

Accomplishment: In addition to offering State Objective Rent bonus points, as discussed in Goal 1 above, we continue to offer an additional \$1 million dollars to rental projects proposing rents at 40 percent AMI or below. The \$1 million reduces the amount of private debt needed in the project, thereby allowing lower rents. In 2009-10, one project applied for Deep Targeting funds.

Objective 3: Encourage applications for projects targeting special needs populations.

Accomplishment: In 2009-10, we offered State Objective bonus points to rental projects proposing to target special needs populations through the use of HUD 202, HUD 811, HUD Supportive Housing Program, State Mental Health Services Act (MHSA) funds, or State Multifamily Housing Program (MHP) funds, where at least 70 percent of the units will be reserved for Special Needs Populations. Three projects received these “special needs” bonus points, including two HUD 202 projects, and one MHSA project.

HOME Goal 4: Improve HOME’s HUD SNAPShots rankings.

Objective 1: Continue to provide additional application rating points in ways which facilitate expeditious use of HOME funds.

Accomplishment: HOME has implemented several measures to promote expeditious use of HOME funds, including awarding additional rating points to rental projects that have all their non-HOME permanent financing committed at the time of application, and to projects and programs with development teams with good HOME performance records. In addition, we continue to place heavy emphasis on “readiness” factors, such as obtaining needed local government approvals, having relocation plans and environmental remediation plans in place, and demonstrating a market for the project. HOME also continues to work closely with other lenders on rehabilitation projects to agree on project terms so that these loans may be closed faster and funds expended

sooner. For program activities, we also continue to implement our 50 percent expenditure rule which requires recipients of HOME funds for first-time homebuyer, OOR and tenant-based rental assistance programs to spend at least 50 percent of their existing HOME funds before they can apply for additional funds for these activities.

Objective 2: Continue work on a reporting and data collection system that tracks the monthly progress of projects, and provide additional technical assistance to slow-moving projects.

Accomplishment: HOME continues to receive monthly reports on project progress, and HOME managers meet quarterly to discuss the status of projects that are under development, and ways in which we might help facilitate their progress. These meetings also influence changes made in subsequent NOFAs to encourage expeditious use of HOME funds.

Objective 3: Continue streamlining application rating processes so that awards can be made sooner.

Accomplishment: In 2009-10, HOME decreased the number of reports it examines in awarding applicant performance scores to expedite completion of this rating factor. In the upcoming funding round HOME will be centralizing review of all of its rental project market studies and Phase I/II reports in order to improve the evaluation of these documents.

Objective 4: Continue to set up rental projects in IDIS earlier as a way to improve commitment rates.

Accomplishment: HOME continues to set-up projects earlier in IDIS. However, this practice is being reviewed in light of recent HUD guidance on commitment and disbursement requirements.

Program Evaluation

HUD Performance Measures

The State HOME Program began collecting HUD Performance Measurement data in May, 2006, five months earlier than required. For all activities, HOME chose “Providing Decent Affordable Housing” as its primary objective and “Improving Affordability” as its primary outcome. HOME has been collecting performance measurement data from State Recipients and CHDOs through its set-up and Project Completion Reports.

Table 20
HOME Performance Outcomes, 2009-10

Objective	Providing Decent Affordable Housing	
	Units	HOME Funds
Improving Affordability	1,062	\$XXX
# of Total Units Brought Up to Property Standards	1,062	\$XXX
# Occupied by Households <= 80% AMI	1,062	\$XXX

2009- 10 NOFA Demand

See “Use of Funds,” above.

2009-10 Contract Management Trainings

See “Program Outreach,” above.

Improvements in Program Implementation

See “Summary of Accomplishments,” above.

**Emergency Shelter
Grants (ESG)**

ESG

DRAFT

Emergency Shelter Grants (ESG)

Method of Investment of Available Resources

Federal funds from ESG (referred to as the Federal Emergency Shelter Grant program, FESG, in State documents) are distributed by HCD in one or two-year grants through a competitive application process. Eligible applicants are local governments and nonprofit corporations located in jurisdictions which either do not receive direct HUD ESG grants, or do not participate in urban county agreements with counties that receive direct HUD grants. In general, all rural areas are eligible. In urban areas, eligible jurisdictions are generally smaller cities. For example, in Los Angeles County, the City of Rosemead is eligible, while the City of Los Angeles is not.

Funding criteria are contained in the 2009-10 NOFA which was issued in April 2009 pursuant to State regulations approved in 2004. The following point criteria are used to rate applications and make awards:

- Applicant Capability (300 points)
- Need for Funds (100 points)
- Impact and Effectiveness of the Client Housing (250 points)
- Cost Efficiency (100 points)
- State Objectives: (35 points) Serving the “chronically homeless” as defined by HUD

The maximum score is 785 points.

ESG encourages applicants to operate programs with these characteristics:

- Comprehensive and intensive support services aimed at moving clients to permanent housing
- Careful planning of activities and expenses consistent with program requirements
- Strong local need for ESG funds
- Relatively low operation and administrative cost per shelter bed
- Timely reporting, including coordination with HUD’s local continuum of care planning process
- Innovative program elements, including use of volunteers (e.g., to pick excess local crops to feed homeless clients and/or sell with profits donated to shelter; to mentor homeless children; and to provide holiday and birthday celebrations for homeless clients)
- Documented program outcomes and participation in HMIS
- Accessible program services (transportation; limited English speaking assistance)
- Serving the “chronically homeless” as defined by HUD
- Homelessness prevention activities.

There is no additional preference for the type of program. As HUD’s Continuum of Care strategy illustrates, local communities should make their own decisions regarding the projects most suited to the needs of the homeless in their communities. Thus, the ESG program will fund:

- Emergency, voucher, transitional, and supportive services programs
- Youth, single adult, family and domestic violence programs
- Small, medium and large shelters
- Summer/Winter weather seasonal programs and year-round shelters
- Largely volunteer staffing, with core staff programs
- Non-urban and urban projects
- Day centers

State ESG regulations became effective in 2004. They are intended to be consistent with federal ESG regulations, and with the regulations of the State-funded Emergency Housing and Assistance Program, Capital Development (EHAPCD), which also funds capital development projects of homeless emergency shelters and Transitional Housing programs with supporting services. The regulations have made the program more accessible and usable for customers, and allow administrative cost savings through the convergence and streamlining of federal ESG grants and State EHAP-CD grants.

In response to the most recent ESG customer survey, more services are being provided on-line through the HCD website. Grantees may access current program information, application and reporting forms and guides. ESG provides technical assistance to applicants via workshops, and publishes questions and answers about the ESG application on the Department's website. In 2009-10, ESG staff reviewed and prepared to implement new IDIS reporting under the new IDIS web-based system developed by HUD. ESG has given sub-grantees revised Annual Performance Report (APR) forms and instructions to assure that performance measurement outcomes are captured and reported. The ESG Grants Management Manual was updated in 2009-10 and a workshop for current grantees was held in 2009.

Use of Funds

The State ESG Program was allocated \$6,824,880 by HUD in 2009-10. From this, 36 contracts totaling \$6,524,201 were awarded to 25 units of local government and nonprofit organizations for specific projects. No supplemental awards were made for 2009-10.

ESG assists emergency shelter and transitional shelter providers with operating funds, and also provides funds for Homelessness Prevention activities, including eviction prevention, security deposits and first month's rent, housing counseling and legal representation. ESG-funded projects have a supportive services component to ensure clients' ability to move to permanent housing.

Projects assisted in 2009-10 included emergency shelters and transitional housing serving homeless individuals and/or families, battered women, homeless youth, and the chronically homeless. The building types assisted included grantee-owned buildings, leased and rented structures, scattered-site residences, motels, churches, Summer/Winter weather seasonal shelters, and Day Centers.

The breakdown of 2009-10 awards was slightly different from the previous year. Homelessness Prevention services remained the same. Operations decreased from 58 percent to 50 percent, Essential Services increased from 31 percent to 38 percent,

Shelter Supervisory Administration increased from 5 percent to 6 percent, and Grant Administration was unchanged at 1 percent (see Table 21).

The ESG Program provided assistance to XXX homeless individuals (XXX with residential services and XXX with non-residential services), and XXX homeless families, predominately through emergency shelters.

Table 21
Distribution of ESG Funds by Activity

ESG Funded Activity	Percentage of Total Awards
Operations	50 %
Essential Services (counseling and case management)	38 %
Homelessness Prevention (eviction prevention, rental and utility assistance)	5 %
Shelter Staff Administration (supervisory staff cost for shelter operation)	6%
Grant Administration	1%

Table 22
Geographic Distribution of 2009-10 ESG Awards

<u>Southern California</u> (Los Angeles, San Diego, Imperial and Santa Barbara Counties)	27 %
<u>San Francisco Bay Area</u> (Sonoma, Alameda, Contra Costa, Marin, Napa, Santa Cruz and Monterey Counties)	41 %
<u>Central California</u> (Tuolumne, Merced, Tulare, Butte, Kings and Amador Counties)	9 %
<u>Northern California</u> (Yolo, Yuba, Amador, Mendocino, Nevada, Plumas, Glenn, Humboldt and El Dorado Counties)	23 %

Table 23
ESG Program Beneficiaries by Ethnicity

Race	Ethnicity	
	Non-Hispanic	Hispanic
White	x	x
Black or African American		
Asian		
American Indian or Alaska Native		
Native Hawaiian or other Pacific Islander		
American Indian/Alaska Native & White		
Asian & White		
Black or African American & White		
American Indian/Alaska Native & African American		
Other/Multi-Racial		
Total		

Summary of Accomplishments

ESG Objective: In 2009-10, the State will distribute ESG funds as described in Appendix A of the 2009-10 Annual Plan.

ESG Target:

- Fund local governments and nonprofit organizations that operate emergency shelters and transitional housing to provide safe, sanitary shelter and services to homeless persons.
- Prevent homelessness and enable homeless families and individuals to move toward self-sufficiency by providing a first step in a continuum of care.
- Issue, at a minimum, 39 grants during 2009-10 to accomplish the above.

ESG Accomplishment: ESG issued 36 grants in 2009-10. With the exception of two one-year grants, all were for a two-year period.

ESG Objective: Ensure that ESG grantees are in compliance with program requirements.

ESG Target:

- Revise and continue to use the grantee Risk Assessment Tool to measure risk associated with all grantees from the 2008 and 2009 funding cycles, and to determine which grantees require on-site monitoring.
- In 2009-10, monitor the highest risk grantees, and conduct desk audits of the medium risk grantees.
- Develop a tracking system for grantee reporting and notify by mail or e-mail grantees that are not reporting in a timely manner. Grantee reporting will continue to be a factor that could affect future funding.

ESG Accomplishment: ESG has identified grantee risks using its Risk Assessment Tool. Staff has also developed a system to track grantee reporting and notify grantees who are not reporting in a timely manner. In 2008-09, 6 of 41 grantees were identified as medium risk grantees and 35 had low risk scores. Five projects received site monitoring visits and two received desk audits. No contract rated 'high risk.'

ESG has developed desk audit guidelines to assess project risks, and to determine which projects receive site monitoring visits or desk audits. The ESG Grants Management Manual was completed in 2006-07 and is annually reviewed and updated. ESG staff conducted a Grants Management Workshop for all ESG grantees with active contracts.

ESG Objective: In 2009-10, HCD will meet the federal funding match requirements with State funds.

ESG Target: Grantees are required to provide the matching funds required by HUD. In the 2009-10 funding cycle the State will use funds provided by State programs to meet the federal match requirement of grantees. Funds from the State Emergency Housing and Assistance Program (EHAP-Capital Development, or EHAP-CD), are used when possible for match.

ESG Accomplishment: Funds from the State EHAP-CD program were used to meet the federal match requirement in 2009-10.

ESG Objective: Measure program outcomes by the number of persons/families served.

ESG Target: In the 2009-10 ESG application, require applicants to estimate program outcomes in the form of the number of persons/families served.

ESG Accomplishment: The 2009-10 application requested outcomes by the number of persons/families served. The actual outcomes were gathered in the Annual Performance Report, as requested under the new HUD Performance Measurement Outcomes for ESG. The total numbers are reported in Table 2. The Annual Performance Report (APR) report form and instructions were revised to comply with the HUD outcome measurement guidelines, and the data is reported in IDIS.

Leveraged Resources

ESG funding leveraged approximately \$XXX million of other funding, including other federal, local government, private donations, fees, and other funding, as follows:

Table 24
ESG Sources of Leverage

	Percentage of Total Leverage
Other Federal	x
Local Government	
Private	
Fees	
Other	
Total	

Monitoring

ESG developed and implemented a report tracking system to ensure submittal of required reports by grantees. Grantees are held accountable for past program reporting by a rating criterion in the funding application that evaluates past program performance. An early warning letter is sent to all grantees notifying them of reporting requirements and the APR due date.

The 2009-10 ESG application will continue to capture and assess estimated program outcomes. This information will be used to measure the performance of future grantees by comparing the estimated program outcomes with the actual program outcomes reported in the Annual Performance Report. The 2009-10 monitoring schedule anticipates a visit to one 'high risk' project, and desk audits for three 'medium-risk' programs. A desk audit procedure was completed in 2009-10 to make monitoring more effective and timely.

Program Outreach

One ESG application workshop was held in Northern California during the reporting period to help applicants understand program requirements and prepare their ESG applications. Grant management training was held in October 2009 to clarify program requirements for applicants who received ESG awards. ESG has experienced an improvement in reporting and cost reimbursement reports as a result of the Grants Management Training.

Staff participated in workshops and conferences on homelessness prevention in the San Francisco Bay Area, Central Valley, and Northern California.

Response to State Objectives

The State Consolidated Plan for 2005-2010 identifies the following four priorities for use of program funds:

1. Meet the housing needs of low-income renter households, including providing homeownership opportunities for first-time homebuyers.
2. Meet the housing needs of low-income homeowner households.
3. Meet the housing and supportive housing and accessibility needs of the homeless and other special needs groups, including prevention of homelessness.
4. Mitigate impediments to fair housing.

The principal objective for ESG was No. 3. Activities in support of this objective are the same as those shown in the five-year strategy for this objective. ESG funds were used to improve housing conditions for homeless persons and to prevent homelessness.

Program Self-Evaluation

ESG continues to meet the Consolidated Plan objective to meet housing and supportive housing needs of the homeless, including prevention of homelessness, by obtaining waivers from HUD to continue the suspension of the 30 percent limit for essential services, and the extension of the homeless prevention obligation and expenditure deadline to coincide with other ESG-eligible activities.

Individual clients benefit from counseling, employment assistance, housing assistance, and other services, and are either transitioned back into mainstream society or referred to programs which meet their special needs. This assistance may help more difficult populations such as drug addicts or mentally ill individuals to return to mainstream society. Others, for various reasons, may require lifetime assistance.

In support of the State's objective of assisting the chronically homeless, ESG continues to award additional points to applicants that can demonstrate assistance to the "chronically homeless" as defined by HUD.

Beyond the direct benefits to homeless individuals and families, California communities as a whole benefited in 2009-10 because the State homeless programs, including ESG, continued to promote and provide "operating funds" for service providers in their communities. Federal ESG funds helped service providers with operating support, and State Emergency Housing and Assistance Program Capital Development (EHAPCD) funds supported capital improvement projects. This state effort has prompted elected officials to address the needs of the homeless and those at risk, and generated monetary and in-kind support from local business owners, private foundations, nonprofit and faith-based organizations.

In preparation for new federal regulations under the HEARTH Act of 2009, staff will draw from its HPRP experience to assess regulatory ramifications and design an effective Emergency Solutions Grants (ESG) program to begin in October 2011. ESG and HPRP-type activities will be combined in the new program.

Homelessness Prevention and Rapid Re-Housing Program (HPRP)

In 2009-10, the Department implemented the HPRP Program under ARRA and in accordance with the HUD Notice (federal regulations). A total of 31 contracts were executed for a total of \$42,688,202.

The “availability window” of the HPRP award to the Department is September 11, 2009 through September 10, 2012. HUD regulations require that 60 percent of HPRP funds be spent by September 10, 2011, and 100 percent by September 10, 2012.

HPRP funded projects are located in the following 24 counties and 30 cities:

**Table 25
HPRP Award Locations**

<u>County</u>	<u>Project City</u>	<u>Areas Served</u>
Alameda	Livermore, Union City, San Leandro	Livermore, Union City, San Leandro, Pleasanton
Amador	Jackson	Calaveras, Amador, all of Tuolumne and Amador Counties
Contra Costa	Martinez	Antioch, Concord, Pittsburg, Walnut Creek
Del Norte	Crescent City	All of Del Norte County
Glenn	Willows	All of Colusa, Glenn, Trinity, Tehama counties
Humboldt	Eureka	Arcata, Fortuna, Rio Dell, Garberville, Redway, Eureka and unincorporated Humboldt County
Imperial	El Centro	Calexico, and unincorporated Imperial County
Kings	Hanford	Hanford, Lemoore, Corcoran, Avenal and unincorporated Kings County
Lake	Middletown	Lakeport, Clearlake, and unincorporated Lake County
Los Angeles	Bellflower, Lakewood, and Paramount	Bellflower, Lakewood, and Paramount
Mendocino	Ukiah	All of Mendocino County
Monterey	Salinas	Carmel, Bradley, Lockwood, Parkfield, San Ardo, San Lucas, King, Pebble Beach, Marina, Pacific Grove, Monterey, Carmel Valley, Big Sur, Jolon, Gonzales, Greenfield, King, Marina, Sand City, Seaside, Soledad, and unincorporated Monterey County
Napa	Napa	Napa, American Canyon, Yountville, Calistoga, St. Helena, and unincorporated Napa County
Nevada	Grass Valley	Truckee, and the unincorporated Nevada County
Placer	Roseville	Roseville, Lincoln, Rocklin, and unincorporated Placer County
Plumas Santa	Quincy Santa Barbara	All of Sierra, Lassen and Plumas Counties Santa Barbara

Barbara Santa Cruz	Watsonville, Santa Cruz	Watsonville, Santa Cruz, and unincorporated areas of Santa Cruz County
San Diego	Encinitas, Chula Vista	Encinitas, Carlsbad, San Marcos, Vista, National City
Santa Clara	San Jose	Palo Alto, Milpitas, Gilroy, Mountain View, Cupertino
San Mateo	San Mateo	San Mateo, Redwood City, South San Francisco
Stanislaus Tulare	Metro Tulare	Turlock Dinuba, Exeter, Framersville, Lindsay, Potterville, Woodlake, Visalia, and the unincorporated area of Tulare County
Yolo	Woodland	Davis, Knights Landing, West Sacramento, Winters, Woodland, Madison, Esparto, Capay, Brooks, Guinda, Rumsey, and the unincorporated areas of Yolo County

DRAFT

**Housing Opportunities
For Persons with AIDS**

**H
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A**

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Housing Opportunities for Persons with AIDS (HOPWA)

This section conforms to HOPWA CAPER form 40110-d (expiration date 12/31/2010), and CAPER/IDIS Beneficiary Verification Worksheets (dated October 2009). This is believed to fulfill HOPWA statutory and regulatory program reporting requirements. A table of grantee, sponsor and sub-recipients, with all necessary elements to comply with the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282) is also provided.

Narrative information follows these tables, in the Grantee and Community Overview on page 86. Where Xs appear in the text or tables, data was unavailable as of the date of the draft CAPER, but will be submitted to HUD with the final CAPER.

Part 1: Grantee Executive Summary

1. Grantee Information

Table 26
California Department of Public Health Office of AIDS (OA)

HUD Grant Number CA-H09-F999		Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> ExtYr	
Grantee Name State of California		Parent Company if applicable	
Type of HOPWA Grant <input type="checkbox"/> Competitive <input checked="" type="checkbox"/> Formula			
Business Address		1616 Capitol Avenue, Suite 616, MS 7700	
City, State, Zip, County	Sacramento	CA	95814 Sacramento
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-320-4993	
DUN & Bradstreet Number (DUNS):		799-150-615	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No
*Congressional District of Address		5 th	
*Congressional District of Primary Service Area(s)		N/A	
*Zip Code of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		N/A	
Organization's Website Address www.cdph.ca.gov/programs/AIDS		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	
Have you prepared any evaluation report? <i>If so, please indicate its location on an Internet site (url) or attach copy.</i> n/a		Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	

* Service delivery area information only needed for program activities being directly carried out by the grantee

2. Project Sponsor Information

Table 27
HOPWA Project Sponsors

1.

Project Sponsor Agency Name AIDS Housing Santa Barbara		Parent Company Name, <i>if applicable</i>			
Name and Title of Contact at Project Sponsor Agency		Randall Sunday, Executive Director			
Email Address		Sunday@sarahhousesb.org			
Business Address		P.O. Box 20031			
City, County, State, Zip,		Santa Barbara	CA	93120	Santa Barbara
Phone Number (with area code)		805-882-1192		Fax Number (with area code) 805-965-2252	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		77-022415			
DUN & Bradstreet Number (DUNS):		05-951-9855		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Congressional District of Business Location of Sponsor		23 rd			
Congressional District(s) of Primary Service Area(s)		22 nd 23 rd , and 24th			
Zip Code(s) of Primary Service Area(s)		93101-93111, 931013, 93003, 93067, 93004, 91360			
City(ies) and County(ies) of Primary Service Area(s)		All Cities within Santa Barbara County		Santa Barbara County	
Total HOPWA contract amount for this Organization		\$70,000			
Organization's Website Address www.sarahhousesb.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					
Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>					

2

Project Sponsor Agency Name Casa Esperanza Homeless Center		Parent Company Name, <i>if applicable</i>			
Name and Title of Contact at Project Sponsor Agency		Imelda Loza, Executive Director			
Email Address		imeldaloza@casa-esperanza.org			
Business Address		P. O Box 4248, 816 Cacique Street			
City, County, State, Zip,		Santa Barbara	CA	93140	Santa Barbara
Phone Number (with area code)		(805) 884-8481		Fax Number (with area code) 805-965-3871	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		77-0502754			
DUN & Bradstreet Number (DUNS):				Central Contractor Registration (CCR): Is the sponsor's CCR status currently active?	

		(See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No
Congressional District of Business Location of Sponsor	23 rd	
Congressional District(s) of Primary Service Area(s)	23 rd	
Zip Code(s) of Primary Service Area(s)	93103	
City(ies) and County(ies) of Primary Service Area(s)	Santa Barbara	Santa Barbara County
Total HOPWA contract amount for this Organization	\$30,000	
Organization's Website Address Not Available	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		

3

Project Sponsor Agency Name Caring Choices		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Barbara Hanna, Executive Director		
Email Address	bhanna@caring-choices.org		
Business Address	1398 Ridgewood Drive		
City, County, State, Zip,	Chico	Butte	CA 95973
Phone Number (with area code)	530-899-3873	Fax Number (with area code) 530-899-3749	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	68-0337307		
DUN & Bradstreet Number (DUNS):	14-053-6462	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	2		
Congressional District(s) of Primary Service Area(s)	2		
Zip Code(s) of Primary Service Area(s)	95926/28/32/73 95963/65/66 95901/91 96001/02/03 96080/91 96024/41/48/93/52/93 95969		
City(ies) and County(ies) of Primary Service Area(s)	All cities within Butte, Colusa, Glenn, Shasta, Trinity, Tehama, Yuba and Sutter Counties	Butte, Colusa, Glenn, Shasta, Trinity, Tehama, Yuba, and Sutter counties	
Total HOPWA contract amount for this Organization	\$142,500		
Organization's Website Address www.caring-choices.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

4

Project Sponsor Agency Name Community Housing Opportunities Corporation		Parent Company Name, if applicable
Name and Title of Contact at Project	Marlowe Prinzing, Executive Director	

Sponsor Agency	
Email Address	mprinzing@chochousing.org
Business Address	1490 Drew Avenue
City, County, State, Zip,	Davis Yolo CA 95616
Phone Number (with area code)	530-757-4444 Fax Number (with area code) 530-757-4454
Employer Identification Number (EIN) or Tax Identification Number (TIN)	68-0038964
DUN & Bradstreet Number (DUNS):	15-355-9794 Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No
Congressional District of Business Location of Sponsor	10 th
Congressional District(s) of Primary Service Area(s)	10 th
Zip Code(s) of Primary Service Area(s)	94533
City(ies) and County(ies) of Primary Service Area(s)	City of Fairfield Solano County
Total HOPWA contract amount for this Organization	\$11,143
Organization's Website Address	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No www.chochousing.org
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	

5

Project Sponsor Agency Name Community Care Management Corporation		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Marti McCarthy, Project Director		
Email Address	cchapdirector@live.com		
Business Address	14644 Lakeshore Drive, Suite B		
City, County, State, Zip,	Clearlake	Lake	CA 95422
Phone Number (with area code)	707-995-1606	Fax Number (with area code) 707-995-0309	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	68-0046074		
DUN & Bradstreet Number (DUNS):	18-764-5668	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Congressional District of Business Location of Sponsor	1 st District		
Congressional District(s) of Primary Service Area(s)	1 st District		
Zip Code(s) of Primary Service Area(s)	95422		
City(ies) and County(ies) of Primary Service Area(s)	All City in Lake County	Lake County	
Total HOPWA contract amount for this Organization	\$36,302		

Organization's Website Address www.communitycare707.com/cchap	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	

6

Project Sponsor Agency Name Face to Face/Sonoma AIDS Support Network		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Rick Dean, Executive Director	
Email Address		rdean@f2f.org	
Business Address		873 Second Street	
City, County, State, Zip,		Santa Rosa	Sonoma CA 95404
Phone Number (with area code)		707-544-1581	Fax Number (with area code) 707-544-1586
Employer Identification Number (EIN) or Tax Identification Number (TIN)		68-011-1737	
DUN & Bradstreet Number (DUNS):		68-005-2664	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Congressional District of Business Location of Sponsor		6	
Congressional District(s) of Primary Service Area(s)		1, 6	
Zip Code(s) of Primary Service Area(s)		94928-95492	
City(ies) and County(ies) of Primary Service Area(s)		All cities within the county of Sonoma	Sonoma County
Total HOPWA contract amount for this Organization		\$129,510	
Organization's Website Address www.f2f.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

7

Project Sponsor Agency Name Food for Thought, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Ron Karp, Executive Director	
Email Address		ReKarp@aol.com	
Business Address		6550 Railroad Avenue	
City, County, State, Zip,		Forestville	Sonoma CA 95436
Phone Number (with area code)		707-887-1647	Fax Number (with area code) 707-887-1440
Employer Identification Number (EIN) or Tax Identification Number (TIN)		68-0181095	
DUN & Bradstreet Number (DUNS):		NA	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active?

		(See pg 2 of instructions) NA <input type="checkbox"/> Yes <input type="checkbox"/> No
Congressional District of Business Location of Sponsor	6	
Congressional District(s) of Primary Service Area(s)	2,6	
Zip Code(s) of Primary Service Area(s)	95436	
City(ies) and County(ies) of Primary Service Area(s)	Forestville, Santa Rosa, Guernville	Sonoma County
Total HOPWA contract amount for this Organization	\$253,843	
Organization's Website Address Fftfoodbank.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		

8

Project Sponsor Agency Name Fresno County – Public Health, Community Health Division		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Stephanie Garcia, Supervising Communicable Disease Specialist			
Email Address	Stephanie.garcia@co.fresno.ca.us			
Business Address	1221 Fulton Mall			
City, County, State, Zip,	Fresno	Fresno	CA	93721
Phone Number (with area code)	559-445-3434		Fax Number (with area code) 559-445-3459	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000512			
DUN & Bradstreet Number (DUNS):	55-619-7655		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	19 th			
Congressional District(s) of Primary Service Area(s)	18 th , 19 th , 20 th , 21 st			
Zip Code(s) of Primary Service Area(s)	93701 – 93706, 93710 93711 93720 93722 93727 93650 93657 93611 93625 93646 93622 93654 93631 93660 93630 93640 93234 93210			
City(ies) and County(ies) of Primary Service Area(s)	All cities County of Fresno		County of Fresno	
Total HOPWA contract amount for this Organization	\$306,350			
Organization's Website Address www.fedph.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

9

Project Sponsor Agency Name Queen of the Valley Medical Center- Care Network		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Jill Moss, Manager		

Email Address	Jill.moss@stjoe.org			
Business Address	3448 Villa Lane, Suite 102			
City, County, State, Zip,	Napa	Napa	CA	94558
Phone Number (with area code)	707-251-2000		Fax Number (with area code) 707-257-2000	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-1243669			
DUN & Bradstreet Number (DUNs):	71-696-868		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Congressional District of Business Location of Sponsor	1 st			
Congressional District(s) of Primary Service Area(s)	1 st			
Zip Code(s) of Primary Service Area(s)	94558, 94559, 94581			
City(ies) and County(ies) of Primary Service Area(s)	Napa		Napa County	
Total HOPWA contract amount for this Organization	\$44,682			
Organization's Website Address	www.thequeen.org/view/CommunityOutreach/care_network		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

10

Project Sponsor Agency Name	Humboldt County Public Health/North Coast AIDS Project				Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Mike Goldsby, Senior Program Manager				
Email Address	mgoldsby@co.humboldt.ca.us				
Business Address	908 7 th Street				
City, County, State, Zip,	Eureka	Humboldt	CA	95501	
Phone Number (with area code)	707-441-5074		Fax Number (with area code) 707-441-5465		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000514				
DUN & Bradstreet Number (DUNs):	08-156-2514		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Congressional District of Business Location of Sponsor	1				
Congressional District(s) of Primary Service Area(s)	1				
Zip Code(s) of Primary Service Area(s)	95501 95521 95525 95542 95519 95555 95570 95540				
City(ies) and County(ies) of Primary Service Area(s)	Eureka and all cities within Humboldt and Del Norte counties		All of Humboldt and Del Norte Counties		
Total HOPWA contract amount for this Organization	\$67,315				

Organization's Website Address www.co.humboldt.ca.us/health	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	

11

Project Sponsor Agency Name Imperial Valley Housing Authority		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	aroark@ivha.org		
Email Address	1401 D Street		
Business Address	Brawley		
City, County, State, Zip,	Brawley	Imperial	CA 92227
Phone Number (with area code)	760-351-7000 X 115	Fax Number (with area code) 760-344-9712	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	95-60093977		
DUN & Bradstreet Number (DUNS):	19-878-6795	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	51		
Congressional District(s) of Primary Service Area(s)	51		
Zip Code(s) of Primary Service Area(s)	92227		
City(ies) and County(ies) of Primary Service Area(s)	All cities within the county		Imperial County
Total HOPWA contract amount for this Organization	\$56,869		
Organization's Website Address Not available	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

12

Project Sponsor Agency Name John XXIII AIDS Ministry/Central Coast HIV AIDS Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Tom Melville, Executive Director		
Email Address	tom@cchas.org		
Business Address	P. O. Box 1931		
City, County, State, Zip,	Monterey	Monterey	CA 93942
Phone Number (with area code)	831-442-3959	Fax Number (with area code) 831-442-3985	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	77-0192226		
DUN & Bradstreet Number (DUNS):	12741943	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	17		
Zip Code(s) of Primary Service Area(s)	93940 93906 93955		
City(ies) and County(ies) of Primary Service Area(s)	Monterey, Salinas, Seaside, Marina	All of Monterey County	
Total HOPWA contract amount for this Organization	\$207,741		
Organization's Website Address www.johnxxiii.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

13

Project Sponsor Agency Name Kern County – Public Health	Parent Company Name, if applicable			
Name and Title of Contact at Project Sponsor Agency	Denise Smith, Director of Disease Control			
Email Address	smithde@co.kern.ca.us			
Business Address	1800 Mt. Vernon Ave.			
City, County, State, Zip,	Bakersfield	Kern	CA	93306
Phone Number (with area code)	661-868-0402		Fax Number (with area code) 661-868-0171	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	95-6000925			
DUN & Bradstreet Number (DUNS):	063-811-350		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	22			
Congressional District(s) of Primary Service Area(s)	20,22			
Zip Code(s) of Primary Service Area(s)	93301 – 93309, 93280 93263 93555 93251 93206 93312 93276 93224 93525 93243 93560 93523 93501 93505 93561 93518 93283 93255 93205 93238 93225 93287 93215 93226 93240 93261 93250			
City(ies) and County(ies) of Primary Service Area(s)	All cities within Kern County		Kern County	
Total HOPWA contract amount for this Organization	\$516,817			
Organization's Website Address www.co.kern.ca.us/health	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

14

Project Sponsor Agency Name Kings County – Public Health	Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Leanne Brown – Deputy Director of Nursing & Community Services
Email Address	Leanne.Brown@co.kings.ca.us

Business Address	330 Campus Drive			
City, County, State, Zip,	Hanford	Kings	CA	93230
Phone Number (with area code)	559-582-3211		Fax Number (with area code) 559-589-0482	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000814			
DUN & Bradstreet Number (DUNs):	074675075		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	20			
Congressional District(s) of Primary Service Area(s)	20			
Zip Code(s) of Primary Service Area(s)	93204 93212 93230 93245 93246 93282 93202 93232 93239 93266			
City(ies) and County(ies) of Primary Service Area(s)	Armon-Avenal, Corcoran, Hanford, Kettleman City, Lemoore, Stratford,		All of Kings County	
Total HOPWA contract amount for this Organization	\$52,425			
Organization's Website Address www.coofkings.ca.us			Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

15

Project Sponsor Agency Name Madera County – Public Health	Parent Company Name, if applicable			
Name and Title of Contact at Project Sponsor Agency	Anne Harris, Project Coordinator			
Email Address	aharris@madera-county.com			
Business Address	14215 Road 28			
City, County, State, Zip,	Madera	Madera	CA	93638
Phone Number (with area code)	559-675-7893		Fax Number (with area code) 559-675-4943	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000518			
DUN & Bradstreet Number (DUNs):	4939377		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	19			
Congressional District(s) of Primary Service Area(s)	19			
Zip Code(s) of Primary Service Area(s)	93637 93638 93636 93644 93614			
City(ies) and County(ies) of Primary Service Area(s)	Madera, Chowchilla, Oakhurst, North Fork, Coarsegold, Awahnee		All of Madera County	
Total HOPWA contract amount for this Organization	\$52,516			
Organization's Website Address Not available			Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	
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Project Sponsor Agency Name		Parent Company Name, if applicable			
Mendocino County AIDS Volunteer Network					
Name and Title of Contact at Project Sponsor Agency		Libby Guthrie, Executive Director			
Email Address		libby@mcavn.org			
Business Address		147 Clara Avenue			
City, County, State, Zip,		Ukiah	Mendocino	CA	95482
Phone Number (with area code)		707-462-1932		Fax Number (with area code) 707-462-2070	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		68-0159027			
DUN & Bradstreet Number (DUNS):		827661083		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor		1			
Congressional District(s) of Primary Service Area(s)		1			
Zip Code(s) of Primary Service Area(s)		95482 95490 95437			
City(ies) and County(ies) of Primary Service Area(s)		Ukiah, Fort Bragg, Mendocino		All of Mendocino County	
Total HOPWA contract amount for this Organization		\$43,025			
Organization's Website Address		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No www.mcavn.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>					

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Project Sponsor Agency Name		Parent Company Name, if applicable			
Merced County Community Action Agency					
Name and Title of Contact at Project Sponsor Agency		Su Briggs, Deputy Director of Programs			
Email Address		sbriggs@mercedcaa.org			
Business Address		P. O. Box 2085			
City, County, State, Zip,		Merced	Merced	CA	95344
Phone Number (with area code)		209-723-4565		Fax Number (with area code) 209-723-9525	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		94-2183288			
DUN & Bradstreet Number (DUNS):		132793340		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Congressional District of Business Location of Sponsor	18		
Congressional District(s) of Primary Service Area(s)	18		
Zip Code(s) of Primary Service Area(s)	95340		
City(ies) and County(ies) of Primary Service Area(s)	Merced	All of Merced County	
Total HOPWA contract amount for this Organization	\$37,383		
Organization's Website Address www.mercedcaa.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		

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Project Sponsor Agency Name Nevada County – Public Health	Parent Company Name, if applicable			
Name and Title of Contact at Project Sponsor Agency	Kim Honeywell, AIDS Coordinator			
Email Address	Kim.honeywell@co.nevada.ca.us			
Business Address	500 Crown Point Circle, Suite 110			
City, County, State, Zip,	Grass Valley	Nevada	CA	95945
Phone Number (with area code)	530-265-1731	Fax Number (with area code) 530-271-0876		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000526			
DUN & Bradstreet Number (DUNS):	010979029	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Congressional District of Business Location of Sponsor	4			
Congressional District(s) of Primary Service Area(s)	4			
Zip Code(s) of Primary Service Area(s)	95949 95945 95946 95959 96161			
City(ies) and County(ies) of Primary Service Area(s)	Grass Valley, Nevada City, Penn Valley, Truckee		All of Nevada County	
Total HOPWA contract amount for this Organization	\$33,334			
Organization's Website Address Mynevadacounty.com	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>			

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Project Sponsor Agency Name Pacific Pride Foundation	Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	David Selberg
Email Address	dba@pacificpridefoundation.org
Business Address	126 E. Haley Street, Suite A-11

City, County, State, Zip,	Santa Barbara	Santa Barbara	CA	93101
Phone Number (with area code)	805-963-3636		Fax Number (with area code) 805-963-9086	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	95-3133613			
DUN & Bradstreet Number (DUNS):	189239940		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	23			
Congressional District(s) of Primary Service Area(s)	23,24			
Zip Code(s) of Primary Service Area(s)	93101-93463			
City(ies) and County(ies) of Primary Service Area(s)	Santa Barbara, Santa Maria, Goleta, Lompoc, Solvang, Buellton, Carpinteria, Guadalupe		All of Santa Barbara County	
Total HOPWA contract amount for this Organization	\$68,987			
Organization's Website Address www.pacificpridefoundation.org			Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

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Project Sponsor Agency Name Planned Parenthood – Shasta Diablo	Parent Company Name, if applicable			
Name and Title of Contact at Project Sponsor Agency	Monica Creer, Housing Manager			
Email Address	mcreer@ppshastadiablo.org			
Business Address	990 Broadway			
City, County, State, Zip,	Vallejo	Solano	CA	94590
Phone Number (with area code)	707-561-7792		Fax Number (with area code) Not available	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-1575233			
DUN & Bradstreet Number (DUNS):	051779304		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Congressional District of Business Location of Sponsor	7 th			
Congressional District(s) of Primary Service Area(s)	1 st , 3 rd , 6 th , 7 th			
Zip Code(s) of Primary Service Area(s)	94559 94590 94533 94510 95620 95687 95688 95696			
City(ies) and County(ies) of Primary Service Area(s)	Vallejo and all other cities within the county		Solano County	
Total HOPWA contract amount for this Organization	\$361,566			
Organization's Website Address www.ppsahasadiablo.org			Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	
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Project Sponsor Agency Name Plumas County – Public Health		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Karla Burnworth, Project Director		
Email Address	karlaburnworth@countyofplumas.com		
Business Address	270 County Hospital Rd., Suite 206		
City, County, State, Zip,	Quincy	Plumas	CA 95971
Phone Number (with area code)	530-283-6357	Fax Number (with area code)	530-283-6425
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000528		
DUN & Bradstreet Number (DUNS):	140-204-228	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	95971, 96101, 93130, 96118, 96067		
City(ies) and County(ies) of Primary Service Area(s)	Portola	Plumas, Lassen, Modoc, Sierra, Siskiyou	
Total HOPWA contract amount for this Organization	\$33,484		
Organization's Website Address	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No www.countyofplumas.com/publichealth		
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

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Project Sponsor Agency Name San Joaquin County – Public Health		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Geneva Bell-Sanford, MSW, Program Coordinator		
Email Address	gsanford@sicphs.com		
Business Address	1601 Hazelton Avenue		
City, County, State, Zip,	Stockton	San Joaquin	CA 95201
Phone Number (with area code)	209-468-3861	Fax Number (with area code)	209-468-3485
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000531		
DUN & Bradstreet Number (DUNS):	087-226-056	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		

Zip Code(s) of Primary Service Area(s)	All zip codes within the county		
City(ies) and County(ies) of Primary Service Area(s)	Stockton and all cities within the County of San Joaquin	San Joaquin County	
Total HOPWA contract amount for this Organization	\$272,894		
Organization's Website Address www.sjcphs.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

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Project Sponsor Agency Name San Luis Obispo County AIDS Support Network		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	David Kilburn, Coordinator of Finance		
Email Address	dkilburn@asn.org		
Business Address	P. O. Box 12158		
City, County, State, Zip,	San Luis Obispo	San Luis Obispo	CA 93406
Phone Number (with area code)	805-781-3660	Fax Number (with area code) 805-781-3664	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	77-0205717		
DUN & Bradstreet Number (DUNS):	828-159-475	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	23		
Congressional District(s) of Primary Service Area(s)	22, 23		
Zip Code(s) of Primary Service Area(s)	93401		
City(ies) and County(ies) of Primary Service Area(s)	All cities within the County of San Luis Obispo	Entire County of San Luis Obispo	
Total HOPWA contract amount for this Organization	\$151,503		
Organization's Website Address www.asn.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

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Project Sponsor Agency Name Santa Cruz AIDS Project		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Merle Smith, Executive Director		
Email Address	merles@scapsite.org		
Business Address	P. O. Box 557		
City, County, State, Zip,	Santa Cruz	Santa Cruz	CA 95061
Phone Number (with area code)	831-461-1847	Fax Number (with area code) 831-427-0398	

Employer Identification Number (EIN) or Tax Identification Number (TIN)	191-683-929		
DUN & Bradstreet Number (DUNS):		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14, 15, 17		
Zip Code(s) of Primary Service Area(s)	All zip codes within the county of Santa Cruz		
City(ies) and County(ies) of Primary Service Area(s)	Santa Cruz City, Scotts Valley, Capitola, Watsonville	Entire County of Santa Cruz	
Total HOPWA contract amount for this Organization	\$137,948		
Organization's Website Address www.scapsite.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>			

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Project Sponsor Agency Name Community Action Board		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Paul Brindel, Project Director		
Email Address	paul@cabinc.org		
Business Address	501 Soquel Ave., Suite E		
City, County, State, Zip,	Santa Cruz	Santa Cruz	CA 95062
Phone Number (with area code)	831-457-1741	Fax Number (with area code) 831-457-0617	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2526780		
DUN & Bradstreet Number (DUNS):	N/A	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14, 17, 15		
Zip Code(s) of Primary Service Area(s)	95062		
City(ies) and County(ies) of Primary Service Area(s)	City of Santa Cruz, Scotts Valley, Capitola, Watsonville	Entire county of Santa Cruz	
Total HOPWA contract amount for this Organization	\$12,619		
Organization's Website Address www.cabinc.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

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Project Sponsor Agency Name Sierra Health Resources		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Jerry Cadotte, Executive Director		
Email Address		jerry@sierrahope.org		
Business Address		P. O. Box 159		
City, County, State, Zip,		Angels Camp	Calaveras	CA 95222
Phone Number (with area code)		209-736-6792	Fax Number (with area code) 209-736-6836	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		77-025-8235		
DUN & Bradstreet Number (DUNS):		36093248	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor		3		
Congressional District(s) of Primary Service Area(s)		3, 19, 25		
Zip Code(s) of Primary Service Area(s)		All zip codes in Amador, Calaveras, Tuolumne, Inyo, Mono, and Alpine counties		
City(ies) and County(ies) of Primary Service Area(s)		Entire counties of Amador, Calaveras, Tuolumne, Inyo, Mono, and Alpine		
Total HOPWA contract amount for this Organization		\$38,984		
Organization's Website Address www.sierrahope.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>				

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Project Sponsor Agency Name Stanislaus Community Assistance Project		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Denise Gibbs, Executive Director		
Email Address		Dgibbs1@scap4.org		
Business Address		2209 Coffee Road, Suite A		
City, County, State, Zip,		Modesto	Stanislaus	CA 95355
Phone Number (with area code)		209-572-2437	Fax Number (with area code) 209-572-1641	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		77-0178507		
DUN & Bradstreet Number (DUNS):		037876401	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor		18		
Congressional District(s) of Primary Service Area(s)		18,19		
Zip Code(s) of Primary Service Area(s)		95350 95351 95355 95356 95367		
City(ies) and County(ies) of Primary Service Area(s)		All cities in county Entire county of Stanislaus		
Total HOPWA contract amount for this		\$183,338		

Organization			
Organization's Website Address www.scap4.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

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Project Sponsor Agency Name Family Services of Tulare County		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Karen Cooper, Executive Director		
Email Address	Karen.cooper@fstc.net		
Business Address	815 Oak Street		
City, County, State, Zip,	Visalia	Tulare	CA 93291
Phone Number (with area code)	559-741-7310	Fax Number (with area code) 559-732-6404	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2897970		
DUN & Bradstreet Number (DUNS):	167638667	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor	21		
Congressional District(s) of Primary Service Area(s)	21		
Zip Code(s) of Primary Service Area(s)	93291 93277 93292 93274 93257 93618 93286		
City(ies) and County(ies) of Primary Service Area(s)	All cities within Tulare County	Entire County of Tulare	
Total HOPWA contract amount for this Organization	\$72,031		
Organization's Website Address www.fstc.net		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			

29

Project Sponsor Agency Name Ventura County – Public Health		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Craig Webb, Program Administrator		
Email Address	Craig.webb@ventura.org		
Business Address	3147 Loma Vista Road		
City, County, State, Zip,	Ventura	Ventura	CA 93003
Phone Number (with area code)	805-652-3310	Fax Number (with area code) 805-652-6298	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	95-6000944		
DUN & Bradstreet Number (DUNS):	066691122	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions)	

		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Congressional District of Business Location of Sponsor	23	
Congressional District(s) of Primary Service Area(s)	23,24	
Zip Code(s) of Primary Service Area(s)	91319-91320 91358-91362, 91377, 9301-93007, 93009-93012, 93015-93016, 93020-93024, 93030-93036, 93040-93044, 93060-93066, 93094, 93099	
City(ies) and County(ies) of Primary Service Area(s)	All cities in county	Entire County of Ventura
Total HOPWA contract amount for this Organization	\$260,335	
Organization's Website Address www.vchca.org/ph/DiseaseControl/aids	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		

3. Subrecipient Information

Table 28
HOPWA Subrecipients of the Grantee

1

Subrecipient Name Napa County		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency		Peter W. Turner, Supervising Health Education Specialist	
Email Address		pturner@solanocounty.com	
Business Address		355 Tuolumne St, MS 20-210	
City, County, State, Zip		Vallejo	Solano CA 94590
Phone Number (included area code)		707-553-5557	Fax Number (include area code) 707-553-5037
Employer Identification Number (EIN) or Tax Identification Number (TIN)		94-6000525	
DUN & Bradstreet Number (DUNS):		170675649	Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address		1	
Congressional District of Primary Service Area		1	
Zip Code(s) of Primary Service Area(s)		94558 94559 94581	
City (ies) and County (ies) of Primary Service Area(s)		All cities in county of Napa	Entire county of Napa
Total HOPWA Subcontract Amount for this Organization		\$48,046	

2

Subrecipient Name San Luis Obispo County – Public Health		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency		Kathleen Karle, Program Manager	
Email Address		kkarle@co.slo.ca.us	
Business Address		P. O Box 1489	
City, County, State, Zip		San Luis Obispo	San Luis Obispo CA 93406

Phone Number (included area code)	805-781-4200	Fax Number (include area code)	805-781-5144
Employer Identification Number (EIN) or Tax Identification Number (TIN)	95-6000939		
DUN & Bradstreet Number (DUNS):	059227611	Central Contractor Registration (CCR): <i>if applicable</i> . Is the subrecipient's CCR status currently active? (See pg 2 of instructions)	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	22		
Congressional District of Primary Service Area	22		
Zip Code(s) of Primary Service Area(s)	93401		
City (ies) and County (ies) of Primary Service Area(s)	All cities in county	Entire county of San Luis Obispo	
Total HOPWA Subcontract Amount for this Organization	\$162,906		

3

Subrecipient Name County of Santa Barbara – Public Health		Parent Company Name, <i>if applicable</i>	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Dan Reid, Assistant Deputy Director		
Email Address	Dan.reid@sbcphd.org		
Business Address	300 N. San Antonio Rd.		
City, County, State, Zip	Santa Barbara	Santa Barbara	CA 93110
Phone Number (included area code)	805-681-5173	Fax Number (include area code)	805-681-5436
Employer Identification Number (EIN) or Tax Identification Number (TIN)	95-6002833		
DUN & Bradstreet Number (DUNS):	131851250	Central Contractor Registration (CCR): <i>if applicable</i> . Is the subrecipient's CCR status currently active? (See pg 2 of instructions)	
		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	23		
Congressional District of Primary Service Area	23,24		
Zip Code(s) of Primary Service Area(s)	93427 93013 93117 93434 93436 93101 93103 93105 93108 93111 92454 93455 93459 93460		
City (ies) and County (ies) of Primary Service Area(s)	Santa Barbara, Santa Maria, Goleta, Lompoc, Solvang, Buellton, Carpinteria, Guadalupe	Entire Santa Barbara County	

4

Subrecipient Name Santa Cruz County – Public Health		Parent Company Name, <i>if applicable</i>	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Leslie Goodfriend, Sr. Health Services Manager		
Email Address	Leslie.goodfriend@health.co.santa-cruz.ca.us		
Business Address	1070 Emeline Avenue		
City, County, State, Zip	Santa Cruz	Santa Cruz	Ca 95062
Phone Number (included area code)	831-454-4313	Fax Number (include area code)	805-454-5048
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000534		

DUN & Bradstreet Number (DUNS):	16-869-1095	Central Contractor Registration (CCR): <i>if applicable</i> . Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
North American Industry Classification System (NAICS) Code		
Congressional District of Business Address	14	
Congressional District of Primary Service Area	14, 17	
Zip Code(s) of Primary Service Area(s)	95060 95076 95062 95010 95003 95017 95005 95064 95018 95066 95041 95065 95073 95019	
City (ies) and County (ies) of Primary Service Area(s)	Santa Cruz, Scotts Valley, Capitola, Watsonville	Santa Cruz County
Total HOPWA Subcontract Amount for this Organization	\$137,948	

5

Subrecipient Name County of Solano – Health and Social Services		Parent Company Name, <i>if applicable</i>	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Peter W. Turner, Supervising Health Education Specialist		
Email Address	pturner@solanocounty.com		
Business Address	355 Tuolumne St., MS 20-210		
City, County, State, Zip	Vallejo	Solano	CA 94590
Phone Number (included area code)	707-553-5557	Fax Number (include area code) 707-553-5037	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-600538		
DUN & Bradstreet Number (DUNS):	054675376	Central Contractor Registration (CCR): <i>if applicable</i> . Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	7		
Congressional District of Primary Service Area	1, 3, 6, 7		
Zip Code(s) of Primary Service Area(s)	94559 94590 94533 94510 95620 95687 95688 95696		
City (ies) and County (ies) of Primary Service Area(s)	Vallejo, Fairfield, Vacaville	County of Solano	
Total HOPWA Subcontract Amount for this Organization	\$388,781		

6

Subrecipient Name County of Sonoma – Dept of Health Services		Parent Company Name, <i>if applicable</i>	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Kelly Elder, Program Manager,		
Email Address	Kelder@sonoma-county.org		
Business Address	490 Mendocino Avenue, Suite 202		
City, County, State, Zip	Santa Rosa	Sonoma	CA 95401
Phone Number (included area code)	707-565-6622	Fax Number (include area code) 707-565-6619	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000539		
DUN & Bradstreet Number (DUNS):	168988681	Central Contractor Registration (CCR): <i>if applicable</i> . Is the subrecipient's CCR status currently active? <input type="checkbox"/> Yes <input type="checkbox"/> No	
North American Industry Classification System (NAICS) Code			
Congressional District of Business			

Address	6	
Congressional District of Primary Service Area	2, 6	
Zip Code(s) of Primary Service Area(s)	95401	
City (ies) and County (ies) of Primary Service Area(s)	Santa Rosa	Entire Sonoma County
Total HOPWA Subcontract Amount for this Organization	\$422,960	

7

Subrecipient Name		Parent Company Name, if applicable		
Tulare County – Public Health				
Name and Title of Contact at Contractor/ Sub-contractor Agency		Pat Sabatier, RN, PHNII, Project Director		
Email Address		psabatier@tularehhsa.org		
Business Address		1150 South K		
City, County, State, Zip		Tulare	Tulare	CA 93274
Phone Number (included area code)		559-687-6823		Fax Number (include area code) 559-685-4835
Employer Identification Number (EIN) or Tax Identification Number (TIN)		94-6000545		
DUN & Bradstreet Number (DUNS):		192631146		Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No
North American Industry Classification System (NAICS) Code				
Congressional District of Business Address		21		
Congressional District of Primary Service Area		21		
Zip Code(s) of Primary Service Area(s)		93277 93291 93292 93274 93257 93618 93286		
City (ies) and County (ies) of Primary Service Area(s)		Entire Tulare County		
Total HOPWA Subcontract Amount for this Organization		\$76,842		

Table 29
HOPWA Subrecipients of Project Sponsors

1

Subrecipient Name		Parent Company Name, if applicable		
Clinica Sierra Vista – Kern Lifeline (subrecipient of Kern Co Public Health)				
Name and Title of Contact at Contractor/ Sub-contractor Agency		Juan Garcia, Program Director		
Email Address		garciaju@clincasierravista.org		
Business Address		1430 Truxtun Ave., 4 th Floor		
City, County, State, Zip		Bakersfield	Kern	CA 93301
Phone Number (included area code)		661-324-3262		Fax Number (include area code) 661-637-2137
Employer Identification Number (EIN) or Tax Identification Number (TIN)		95-2702101		
DUN & Bradstreet Number (DUNS):		075286914		Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No
North American Industry Classification System (NAICS) Code				
Congressional District of Business Address		20		
Congressional District of Primary Service Area		20, 22		

Zip Code(s) of Primary Service Area(s)	93301 – 93309, 93280 93263 93555 93251 93206 93312 93276 93224 93525 93243 93560 93523 93501 93505 93561 93518 93283 93255 93205 93238 93225 93287 93215 93226 93240 93261 93250		
City (ies) and County (ies) of Primary Service Area(s)	All cities in Kern County	Entire county of Kern	
Total HOPWA Subcontract Amount for this Organization	\$165,000		

2

Subrecipient Name Housing Authority of the County of Kern (subrecipient of Kern County)		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Stephen Pelz, Executive Director		
Email Address	Spelz@kernha.org		
Business Address	601 – 24 th Street		
City, County, State, Zip	Bakersfield	Kern	CA 93301
Phone Number (included area code)	661-631-8500	Fax Number (include area code) 661-631-9500	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	95-6001629		
DUN & Bradstreet Number (DUNS):	077979128	Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	20		
Congressional District of Primary Service Area	20, 22		
Zip Code(s) of Primary Service Area(s)	93301 – 93309, 93280 93263 93555 93251 93206 93312 93276 93224 93525 93243 93560 93523 93501 93505 93561 93518 93283 93255 93205 93238 93225 93287 93215 93226 93240 93261 93250		
City (ies) and County (ies) of Primary Service Area(s)	All cities in Kern County	Entire County of Kern	
Total HOPWA Subcontract Amount for this Organization	\$165,000		

3

Subrecipient Name Madera County Action Partnership (sub-recipient of Madera County)		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Mary Long, Program Manager		
Email Address	n/a		
Business Address	1225 Gill Avenue		
City, County, State, Zip	Madera	Madera	CA 93638
Phone Number (included area code)	559-673-9173	Fax Number (include area code) 559-453-7827	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	23-7368450		
DUN & Bradstreet Number (DUNS):	034241133	Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	19		
Congressional District of Primary Service Area	19		
Zip Code(s) of Primary Service Area(s)	93637 93638 63610 63614 63644 63636		

City (ies) and County (ies) of Primary Service Area(s)	All cities in Madera County	Entire county of Madera
Total HOPWA Subcontract Amount for this Organization	\$35,711	

4

Subrecipient Name Stockton Shelter for the Homeless (sub-recipient of San Joaquin County)		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency	John Reynolds, Executive Director		
Email Address	shelterdirector@aol.com		
Business Address	411 S. Harrison Street		
City, County, State, Zip	Stockton	San Joaquin	CA 95203
Phone Number (included area code)	209-465-3612	Fax Number (include area code) 209-939-9733	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	68-0095693		
DUN & Bradstreet Number (DUNS):	188171904	Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	11		
Congressional District of Primary Service Area	11 and 18		
Zip Code(s) of Primary Service Area(s)	95201 95202 95203 95204 95205 95206 95207		
City (ies) and County (ies) of Primary Service Area(s)	Stockton	San Joaquin County	
Total HOPWA Subcontract Amount for this Organization	\$164,332		

5

Subrecipient Name Westcare, Inc. (subrecipient of Fresno County)		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Maurice Lee, Regional Vice President		
Email Address	Maurice.lee@westcare.com		
Business Address	4944 E. Clinton Way, Suite 101		
City, County, State, Zip	Fresno	Fresno	CA 93727
Phone Number (included area code)	559-245-4800	Fax Number (include area code) 559-453-7827	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	23-7368450		
DUN & Bradstreet Number (DUNS):	054612767	Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No	
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	19		
Congressional District of Primary Service Area	19		
Zip Code(s) of Primary Service Area(s)	93705		
City (ies) and County (ies) of Primary Service Area(s)	City of Fresno		
Total HOPWA Subcontract Amount for this Organization	\$45,952		

6

Subrecipient Name Redwood Rural Health Center (sub-recipient of Humboldt County)		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Gail Eastwood, MSW, Case Manager		
Email Address	geastwood@rrhc.org		
Business Address	101 Westcoast Road		
City, County, State, Zip	Redway	Humboldt	CA 95560
Phone Number (included area code)	707-923-2783	Fax Number (include area code) 707-923-2543	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2337367		
DUN & Bradstreet Number (DUNS):	N/A		Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	1		
Congressional District of Primary Service Area	1		
Zip Code(s) of Primary Service Area(s)	95560 95542 95553		
City (ies) and County (ies) of Primary Service Area(s)	Unincorporated areas of Humboldt County		
Total HOPWA Subcontract Amount for this Organization	\$9,914		

7

Subrecipient Name St. Joseph Home Care Network – Humboldt County		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency	Robert Stanley, Program Manager		
Email Address	RobertStanley@stjoe.org		
Business Address	721 E. Street		
City, County, State, Zip	Eureka	Humboldt	CA 95501
Phone Number (included area code)	707-444-1998	Fax Number (include area code) 707-441-0192	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	98-0331084		
DUN & Bradstreet Number (DUNS):	111721481		Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No
North American Industry Classification System (NAICS) Code			
Congressional District of Business Address	1		
Congressional District of Primary Service Area	1		
Zip Code(s) of Primary Service Area(s)	All zip codes in Humboldt and Del Norte Counties		
City (ies) and County (ies) of Primary Service Area(s)	All cities in the reporting counties		Humboldt & Del Norte
Total HOPWA Subcontract Amount for this Organization	\$17,632		

A. Grantee and Community Overview

California has been an eligible state for Housing Opportunities for Persons With AIDS (HOPWA) since inception of the program in 1992. The Governor designated the California Department of Public Health, Office of AIDS (OA), to be the grantee for the State.

In 2009-10, OA awarded a total of \$3,771,944, which included 2009-10 HOPWA grant funds as well as unspent or recaptured prior year funds. The OA distributed funds on a non-competitive formula basis to 25 contractors serving 40 counties located outside HUD-designated HOPWA Eligible Metropolitan Statistical Areas (EMSAs). HOPWA funds are provided on an annual basis to non-profit organizations and county health departments who either provide services or allocate the funds to other housing and AIDS service organizations. These organizations provide housing and supportive services to HOPWA-eligible clients based on their specific housing and service needs. In addition, OA assumed the grantee responsibilities of the newly designated EMSAs of Kern and Fresno Counties. Funds for Kern and Fresno Counties were allocated based on the HUD allocations for FY 2009-10 as well as funds carried forward from prior year 2008-09 for Kern County.

Program goals were: 1) to allocate the funds to meet the most urgent HIV/AIDS housing needs of the clients, and alleviate or prevent homelessness among persons living with HIV/AIDS; and 2) to assist sponsors in establishing linkages with other mainstream resources through technical assistance and other HOPWA resources. In addition, OA established a goal to meet the national HOPWA objective that 80 percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.

During FY 2009-10, all project sponsors provided short term rent, mortgage and/or utility assistance payments to persons living with HIV/AIDS and their families to prevent homelessness. In addition 12 project sponsors provided tenant-based rental assistance programs or continued operating transitional or permanent housing facilities to help clients maintain stable housing.

Sponsors also provide supportive services and permanent housing placement assistance such as security deposits, and housing information services to persons who are homeless or at risk of becoming homeless. The supportive service agencies are required to develop individual housing plans to help households eliminate the barriers that create unstable living situations. 68 percent of the HOPWA contractors use approximately XX percent of the HOPWA funds to provide case management services in conjunction with HOPWA housing activities.

The fiscal agents and sponsors representing the 42-county area expended funds by activity as follows:

- xx percent - short-term rental, mortgage and utility assistance
- xx percent - supportive services
- x percent – permanent housing placement assistance (e.g., security deposits)
- x percent - facility based housing assistance
- x percent - tenant based rental assistance programs
- x percent - housing information services and resource identification.

OA is a partner with 16 county health departments, one housing authority, and eight community-based nonprofit organizations to carry out these activities.

OA provides program oversight through progress reports, review of expenditures, on-site monitoring, and ongoing technical assistance.

B. Annual Performance under the Action Plan

1. Outputs Reported

The State Consolidated Plan for 2005-2010 identifies four over-arching goals for use of the program funds:

- 1) Meet the housing needs of low-income renter households, including providing homeownership opportunities for first-time homebuyers.
- 2) Meet the housing needs of low-income homeowner households.
- 3) Meet the housing, supportive housing and accessibility needs of the homeless and other special needs groups, including the prevention of homelessness.
- 4) Mitigate impediments to fair housing.

The following are program accomplishments related to these over-all objectives as well as the specific goals of the HOPWA program. See Part 3 – Planned Goals and Actual Outputs, 2009-10, for a comparison of actual accomplishments to proposed goals. The Proposed Household and Unit Outputs stated in the 2009-10 Annual Action Plan were based on actual households and units assisted as well as funding levels from prior years. The estimated proposed outputs in the Annual Action Plan were also based on hotel/motel voucher assistance being reported as a supportive service and not facility based transitional/short-term housing. To obtain more accurate estimates, OA requested all HOPWA contractors submit estimated number of households or units to be assisted by activity during the HOPWA application process, which occurred after submittal of the HOPWA 2009-10 Annual Action Plan. The proposed outputs obtained from project sponsors during the application process were also entered into IDIS. To be consistent with IDIS data, the aggregated proposed accomplishments by activity reported in this CAPER are based on those reported in IDIS rather than those identified in the 2009-10 Annual Action Plan.

Sponsors are required to periodically assess the housing and supportive service needs of their clients and base their housing activities on meeting the most urgent needs of clients and their families. The overall goal was to assist approximately 2,052 households with housing assistance during the program year. A total of XXXX households were assisted.

The following is a summary of the housing and supportive service activities provided to the 42-county area during the program year:

- All sponsors and/or their sub-recipients use HOPWA funds to provide short-term emergency rent, mortgage and utility assistance (STRMU) constituting XX percent of the HOPWA allocation to prevent homelessness.
- Four sponsors operate tenant-based rental assistance programs to assist clients in maintaining stable housing.
- Over half the sponsors offer some type of permanent housing placement assistance, including housing information and referral services, or security deposits, while assisting clients in locating housing.
- XX sponsors support existing transitional or permanent facility-based housing (including project based rental assistance or master leasing and motel/hotel voucher assistance). During the program year, a total of \$XXX was expended for motel/hotel vouchers and approximately XXX households were assisted.
- XX sponsors provide case management, or other supportive services using HOPWA funds.

From 1998 through 2001, stewardship units of housing were created through acquisition and rehabilitation with HOPWA Funds with minimum use periods of 10 years. Four housing units are still operating under the 10-year use periods. See attached Stewardship Certifications for those counties with stewardship units. No new stewardship units were created during FY 2009-10.

To increase opportunities for affordable stable housing, counties receiving funds in excess of \$100,000 and reporting more than 100 AIDS cases to OA HIV/AIDS Case Registry, were strongly encouraged to use at least 15 percent of their funding allocation for longer-term housing assistance activities such as tenant based rental assistance, project based rental assistance or housing development or supportive services associated with the development of housing.

The eleven counties meeting these criteria made every effort to establish new housing assistance programs or housing units or ensure that existing housing facilities or rental assistance programs remained available for clients living with HIV/AIDS. Kern County, John XXIII AIDS Ministry in Monterey County, Sonoma County and Solano County have been successful in establishing small tenant based rental assistance programs. San Luis Obispo, San Joaquin, Santa Cruz, and Santa Barbara Counties continue to provide operating subsidies to existing transitional and permanent housing facilities. John XXIII AIDS Ministry and Stanislaus Community Assistance Project continue to provide operating subsidies and supportive services for their transitional housing projects with HOPWA funds and continuously pursue additional housing for persons living with HIV/AIDS with other funding sources. Fresno County contracts with Westcare, Inc. to master lease transitional housing units. Ventura County collaborates with its local housing authorities to ensure persons living with HIV/AIDS receive priority on the rental subsidy waiting lists.

OA acts as partner with 16 county health departments, one housing authority, and eight community-based nonprofit organizations to carry out the activities described above. These contractors may carry out the HOPWA activities directly or subcontract with service providers or housing agencies to perform the work. The sponsors work collaboratively with the Ryan White Programs planning and advisory groups to assess the housing needs and prioritize the use of HOPWA funds in their communities. The funds are distributed to the 40 counties located outside the HOPWA Eligible Metropolitan Statistical Areas (EMSA) through a non-competitive formula allocation process.

OA assumed the responsibility of administering the Bakersfield EMSA HOPWA grant totaling \$472,234. One hundred fifty households received housing assistance and 143 households received HOPWA supportive services. In addition to the 2009-10 grant, Kern County had unexpended funds from 2008-09. The 2008-09 funds in the amount of \$58,653 were allocated for tenant based rental assistance and expended. Tenant based rental assistance is an ongoing program, and funds remaining at the end of FY 2009-10 will be carried forward and expended in 2010-11 for tenant based rental assistance.

OA also assumed the responsibility of administering the City of Fresno EMSA HOPWA grant totaling \$315,000. XXX households received housing assistance and XXX received supportive services. Fresno County has a balance of \$xxx remaining which is carried forward to FY 2010-11 and will be used to fund ongoing housing assistance and supportive service activities.

2. Outcomes Assessed.

Housing Stability Outcomes: Part 5 indicates that XX percent of households served were living in stable housing upon exit or at the end of the program year; XX percent of the

households were in a temporary living situation which includes housing stability with continued HOPWA STRMU assistance, and X percent of the households were in unstable living conditions. As a comparison, in 2008-09, 24.5 percent of households served were living in stable housing upon exit or at the end of the program year; 68 percent of the households were in a temporary living situation, and six percent of the households were in unstable living conditions. In 2007-08, 23 percent of households served were living in stable housing upon exit or at the end of the program and 69 percent of the households were in a temporary living situation which includes housing stability with continued HOPWA STRMU assistance.

Access to Care and Support: Section 3 measures households' access to care and support through HOPWA resources during the program year.

OA continues to work with its Contractors to increase permanent housing resources or subsidies for HOPWA households including allocating HOPWA funds for tenant based rental assistance rather than STRMU where possible. As the web-based client level data system matures, the use of ARIES will assist project sponsors with program accountability, analysis of trends in client needs, and determination of unmet housing needs.

3. Coordination.

Sponsors reported \$XXX,XXX in leveraged funds for housing assistance activities and \$X,XXX,XXX in leveraged funds for supportive service or other non-housing assistance resources (refer to Part 2). The proposed Non-HOPWA goals identified in Part 2 were obtained from HOPWA contractors at the application stage. OA administers the Ryan White Part B Program that includes the 42 counties in which HOPWA operates. OA program funds are integrated to allow a seamless approach to the delivery of housing and care services. These services, when used in conjunction with HOPWA-funded housing, provide the level of assistance needed to prevent homelessness and address the emergency needs of these clients. OA allocated approximately XX million to the 42 HOPWA-eligible counties for a variety of primary health care (including the AIDS drug assistance program) and supportive services through the Ryan White Part B Program.

During FY 2008-09 funding became available through the American Recovery and Reinvestment Act of 2009 – Homeless Prevention and Rapid Re-housing Program (HPRP). OA sent out notification to all its HOPWA providers emphasizing the importance of applying for the funding or collaborating with the agencies in their communities that will be receiving this funding. Most Contractors report that they have either received an HPRP award or are working collaboratively with the agencies in their community that did receive the funding.

The HOPWA program is administered by local health jurisdictions and nonprofit organizations that must include input from the community and consumers in their HIV/AIDS planning process. These planning bodies establish needs and priorities and provide OA with ongoing input regarding the use and administration of HOPWA funds. These Sponsors are involved with the Ryan White Program service delivery planning process that requires a plan to reach hard-to-serve or underserved populations. In addition, OA receives advisory recommendations from the California Planning Group, comprised of public health officials, representatives of AIDS service organizations, State representatives, consumers, and other interested parties.

The majority of project sponsors participate in their local Continuum of Care Planning Group to ensure that the HIV/AIDS population is represented in the planning process for funding opportunities.

By strengthening collaboration between HIV service providers, community based organizations, faith-based organizations and drug and alcohol recovery facilities, and correctional facilities. HOPWA has provided a wider range of referral services to clients. Collaboration has also helped decrease client fraud and misuse of services.

4. Technical Assistance.

No technical assistance is being requested.

C. Barriers and Trends Overview

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Other, please explain further		

1. Grant Management Oversight

OA administers the HOPWA Program for 42 counties in California. Contractors submit applications annually which include an implementation plan including goals and budget detail of activities. All project sponsors submit invoices to OA for reimbursement of expenses on a monthly or quarterly basis. HOPWA is responsible for the programmatic and fiscal administration of the Integrated Disbursement and Information System (IDIS). Approximately XX percent of funds awarded were spent by year-end. As part of the risk analysis for monitoring, agencies with patterns of slow spending or unspent funds are rated higher on the list for monitoring.

In FY 2009-10, the State budget crisis impacted on-site monitoring. However, an updated risk analysis of projects as well as a monitoring schedule was developed for FY 2009-10 and site visits are being completed.

2. Barriers

The most frequently discussed barrier to the HOPWA program is the lack of funding due, in part, to the current OA HOPWA formula-driven process. The formula used to allocate HOPWA funds to the 40 non-EMSA counties is based on the number of reported AIDS cases in these counties. When the formula is run, the approximate annual funding for each person is \$584. Many recipients are HIV-positive; they receive case management services and medical care to help delay the progression to an AIDS diagnosis. During the FY 2010-11 program year, OA is planning to restructure the existing formula and funding distribution process.

Counties reported the need for more affordable housing as a consistent barrier. California has several of the most expensive housing markets in the United States. Persons with HIV/AIDS are forced to compete with other individuals with disabilities and senior citizens for stable affordable housing. Clients at greatest risk of homelessness often have poor credit histories, and/or mental health or substance abuse issues that mark them as undesirable to prospective landlords. Clients that qualify for Section 8 face

landlords' reluctance to participate in Section 8, and Section 8 waiting lists are typically closed for years at a time. Agencies report that they work diligently with households that can qualify to obtain rental subsidies or move to more affordable housing. The STRMU program continues to be a vital resource for those clients that are ineligible for mainstream housing assistance due to the multitude of barriers mentioned in this report. Approval of a shallow-rent subsidy for HOPWA would be beneficial in areas identified as high-cost of living areas within California.

Agencies continue to report an increase in requests for mortgage assistance, which is consistent with the high foreclosure rate in California. Agencies are also reporting a lower rental vacancy rate and higher rents because many rental investments properties are in foreclosure thus reducing the rental housing stock available, and HOPWA households must also compete with households that have moved to rental housing due to a foreclosure. The rate of infection and disability in the undocumented community continues to rise. Serving the undocumented population continues to be a challenge. Ineligible for other governmental assistance, they apply for HOPWA services regularly. Counties do not have sufficient funds to assist these clients at the level needed to ensure access to housing and health care. Counties have encountered families with both heads of household infected and unable to work. Undocumented clients have been denied services when their 21-week time limits are reached.

Mental health problems and substance abuse are predominant among the target population. Agencies need to collaborate to serve the many clients with dual or multiple diagnoses. This presents even greater challenges in finding clients housing. Many facilities are ill equipped to serve this population. This is especially true for HIV/AIDS clients with mental health issues. Placing clients in housing where substance abuse continues puts those in recovery at risk. This contributes to the increasing difficulty in locating housing for multi-diagnosed clients.

California has the third largest criminal justice system in the world, and higher numbers of persons are leaving prison with an HIV/AIDS diagnosis. Collaborative efforts with other agencies serving this population are essential to provide supportive housing and reduce recidivism.

Due to the lack of affordable housing, clients are moving to rural areas where fewer services are available. Clients face increased difficulty in obtaining specialized HIV medical care, social support networks, and access to transportation.

Partnerships with experienced housing developers, HIV/AIDS services providers and other mainstream service agencies must be formed to develop affordable housing for low-income persons with special needs. Many of the 42 counties, especially remote rural counties, have been unable to create these partnerships due to lack of capacity, resources, and geographical and political barriers.

Capacity barriers are being addressed by providing technical assistance by OA staff to its project sponsors to develop other resources. Education regarding other housing programs is made available to its partners, including periodic funding alerts regarding other HUD and state funding opportunities. Sponsors are encouraged to become involved in the Continuum of Care planning process for their jurisdiction. OA continues to refer interested agencies to Building Changes, the HOPWA technical assistance provider, for technical assistance in the development of affordable HIV/AIDS housing.

Due to the lack of resources and capacity in most rural counties under the jurisdiction of the state HOPWA grantee, accurate and timely reporting is difficult to obtain. With the decrease in State HIV/AIDS funding available, these counties have had to further reduce

staffing thus increasing the workload of existing staff. As agencies become more proficient in tracking leveraged fund levels and identified resources, reported leveraged funds will more than likely increase over time. OA is developing more streamlined methods of obtaining necessary data. The AIDS Regional Information and Evaluation System (ARIES), a web-based data system now has HOPWA client assessment screens and a HOPWA Annual Performance Report (APR) available. Beginning July 1, 2009, all HOPWA contractors were encouraged to start entering client data and HOPWA services. It is anticipated that contractors will be able to create accurate reports in ARIES within the next two years.

Currently, there is no statewide assessment of housing need for persons with HIV/AIDS. Since OA has jurisdiction of a 42-county area, it is difficult to obtain county-by-county documentation of HIV/AIDS housing needs. Most agencies only provide short-term rent, mortgage and utility assistance and have not maintained a waiting list. Effective FY 2008-09, OA requested that every contractor develop and maintain a waiting list in order to gather unmet housing need data. Seven contractors reported unmet housing need data totaling 286 households.

The due date of the CAPER report to HUD has always posed an administrative problem. All new contracts now include a 45-day final invoice submittal deadline rather than 90 days. However, the necessary data from the counties is not received by OA until July 31, which leaves little time to evaluate the information, input into IDIS, or aggregate information for the CAPER. OA anticipates that ARIES will assist in streamlining the data collection process to ensure the most accurate and timely reporting. Once IDIS has been updated to correspond with the reporting requirements, OA anticipates more streamlined reporting as well.

3. Trends

The California budget crisis has impacted the level of HIV/AIDS program funding and how HIV/AIDS programs are being implemented at the state and local level. With reduced State HIV/AIDS funding available to provide case management and other supportive services, more agencies have requested HOPWA funds for supportive services than in the past. Innovative models of care are being developed by local health jurisdictions and service agencies to ensure that clients in need of services are able to obtain them.

Local health jurisdictions and HIV/AIDS services agencies are reporting that more clients with HIV/AIDS are requesting assistance through the health clinics and Ryan White Programs due to loss of employment and health insurance coverage.

4. Evaluations or Studies of HOPWA Available to the Public

None available

Unmet Housing Needs: An Assessment of Unmet Housing Needs

1. Assessment of Unmet Need for HOPWA-eligible Households

1. Total number of households that have unmet housing needs	= 226
<i>From Item 1, identify the number of households with unmet housing needs by type of housing assistance</i>	
a. Tenant-Based Rental Assistance (TBRA)	= 58
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	= 158
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	= 10

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
= Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

PART 2: Sources of Leveraging

Table 30 – Sources of Leveraging

[1] Sources of Leveraging		Total Leveraged Dollars (for this operating year)	
		[2] Housing Assistance	[3] Supportive Services and other non-direct housing costs
1.	Program income	\$XXXXXX	\$XXXXXX
2.	Federal Programs (Please Specify)		
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX

		\$XXXXXX	\$XXXXXX
3.	State Programs (Please Specify)		
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
4.	Local Government Programs (Please Specify)		
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
5.	Foundations and other private cash resources (please specify)		
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
		\$XXXXXX	\$XXXXXX
6.	In-kind Resources	\$XXXXXX	\$XXXXXX
7.	Resident rent payments in rental, facilities, and leased units	\$XXXXXX	\$XXXXXX
8.	Grantee/project sponsor (Agency) cash	\$XXXXXX	\$XXXXXX
9.	TOTAL (Sum of 1-8)	\$XXXXXX	\$XXXXXX

PART 3: Accomplishment Data - Planned Goals and Actual Outputs

1. HOPWA Performance Planned Goals and Actual Outputs

Table 31 – Planned Goals and Actual Outputs, 2009-10

	HOPWA Performance Planned Goal and Actual	Output Households				Funding	
		HOPWA Assistance		Non-HOPWA		e. HOPWA Budget	f. HOPWA Actual
		a.	b.	c.	d.		
		Goal	Actual	Goal	Actual		
	Housing Subsidy Assistance	Output Households					
1.	Tenant-Based Rental Assistance	86	XXXX	XXXX	XXXX	\$XXXXXX	\$XXXXXX
2a.	Households in permanent housing facilities that receive operating subsidies/leased units	4	XXXX	XXXX	XXXX	\$XXXXXX	\$XXXXXX
2b.	Households in transitional/short-term housing facilities that receive operating subsidies/leased units	234	XXXX	XXXX	XXXX	\$XXXXXX	\$XXXXXX
3a.	Households in permanent housing facilities developed with capital funds and placed in service during the program year	0	XXXX	XXXX	XXXX	\$XXXXXX	\$XXXXXX
3b.	Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year	0	XXXX	XXXX	XXXX	\$XXXXXX	\$XXXXXX
4.	Short-Term Rent, Mortgage and Utility Assistance	1728	XXXX	XXXX	XXXX	\$XXXXXX	\$XXXXXX
5.	Adjustments for duplication (subtract)		XXXX	XXXX	XXXX		
6.	Total Housing Subsidy Assistance	2052	XXXX	XXXX	XXXX	\$XXXXXX	\$XXXXXX
	Housing Development (Construction and Stewardship of facility based housing)	Output Units					
7.	Facility-based units being developed with capital funding but not opened (show units of housing planned)	0	XXXX	XXXX	XXXX	\$XXXXXX	\$XXXXXX

8.	Stewardship Units subject to 3 or 10 year use agreements	4	XXXX	XXXX	XXXX		
9	Total Housing Developed						
	Supportive Services					Output Households	
10a.	Supportive Services provided by project sponsors also delivering HOPWA housing assistance	1586	XXXX			\$XXXXXX	\$XXXXXX
b.	Supportive Services provided by project sponsors serving households who have other housing arrangements	0	XXXX			\$XXXXXX	\$XXXXXX
11.	Adjustment for duplication (subtract)		XXXX				
12.	Total Supportive Services	1586	XXXX			\$XXXXXX	\$XXXXXX
	Housing Placement Assistance Activities						
13.	Housing Information Services	1003	XXXX			\$XXXXXX	\$XXXXXX
14.	Permanent Housing Placement Services	99	XXXX			\$XXXXXX	\$XXXXXX
15.	Adjustment for duplication		XXXX			\$XXXXXX	\$XXXXXX
16.	Total Housing Placement Assistance	1102	XXXX			\$XXXXXX	\$XXXXXX
	Grant Administration and Other Activities						
17.	Resource Identification to establish, coordinate and develop housing assistance resources					\$XXXXXX	\$XXXXXX
18.	Technical Assistance (if approved in grant agreement)					\$XXXXXX	\$XXXXXX
19.	Grantee Administration (maximum 3% of total HOPWA grant)					\$XXXXXX	\$XXXXXX
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$XXXXXX	\$XXXXXX
	Total Expenditures for program year (Sum of rows 6, 9, 12, 16, 17, 18, 19 and 20)						

2. Listing of Supportive Services

Table 32
HOPWA Supportive Services

Supportive Services		Number of Households Receiving HOPWA	Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	XX	XXXXXX
2.	Alcohol and drug abuse services	XX	XXXXXX
3.	Case management/client advocacy/ access to benefits & services	XX	XXXXXX
4.	Child care and other child services	XX	XXXXXX
5.	Education	XX	XXXXXX
6.	Employment assistance and training	XX	XXXXXX
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	XX	XXXXXX
8.	Legal services	XX	XXXXXX
9.	Life skills management (outside of case management)	XX	XXXXXX
10.	Meals/nutritional services	XX	XXXXXX
11.	Mental health services	XX	XXXXXX
12.	Outreach	XX	XXXXXX
13.	Transportation	XX	XXXXXX
14.	Other Activity : Hotel/motel vouchers	XX	XXXXXX
	Other Activity:	XX	XXXXXX
15.	Adjustment for Duplication (subtract)	XX	
16.	TOTAL Households receiving Supportive Services (unduplicated)	XX	XXXXXX

Part 4: Summary of Performance Outcomes

HOPWA Long-term Performance Objective: *Eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

Section 1: Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

Table 33
HOPWA Housing Stability Outcomes, 2009-10
Assessment of Households in Permanent and Transitional Housing

[A] Permanent Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year)		[3] Assessment: Number of Exited Households and Housing Status	
Tenant-Based Rental Assistance	= XX		= XX	1 Emergency Shelter/Streets	= 0
				2 Temporary Housing	= X
				3 Private Housing	= X
				4 Other HOPWA	= X
				5 Other Subsidy	= X
				6 Institution	= X
				7 Jail/Prison	= X
				8 Disconnected/Unknown	= X
				9 Death	= X
Permanent Supportive Housing Facilities/Units	= XX		= XX	1 Emergency Shelter/Streets	= X
				2 Temporary Housing	= X
				3 Private Housing	= X
				4 Other HOPWA	= X
				5 Other Subsidy	= X
				6 Institution	= X
				7 Jail/Prison	= X
				8 Disconnected/Unknown	= X
				9 Death	= X
[B] Transitional Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Of the Total Number of Households Receiving Housing Assistance this Operating Year		[3] Assessment: Number of Exited Households and Housing Status	
Transitional/Short-Term Supportive Facilities/Units	= XX	Total number of households that will continue in residences:	= XX	1 Emergency Shelter/Streets	= XX
		2 Temporary Housing		= X	
		3 Private Housing		= XX	
		4 Other HOPWA		= X	
		Total number of households whose tenure exceeded 24 months:	= XX	5 Other Subsidy	= X
		6 Institution		= X	
		7 Jail/Prison		= X	
		8 Disconnected/unknown		= X	
		9 Death		= X	

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)

Table 34
Assessment of Households Receiving STRMU Assistance

[1] STRMU Housing Assistance	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
= 1,313	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	= XXX	Stable/Permanent Housing (PH)
	Other Private Housing without subsidy	= XX	
	Other HOPWA support (PH)	= XX	
	Other housing subsidy (PH)	= XX	
	Institution (e.g. residential and long-term care)	= XX	
	Likely to maintain current housing arrangements, with additional STRMU assistance	= XXX	Temporarily Stable, with Reduced Risk of Homelessness
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	= XX	
	Temporary/non-permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	= XX	
	Emergency Shelter/street	= XX	Unstable Arrangements
	Jail/Prison	= XX	
	Disconnected	= XX	
	Death	= X	Life Event
1a. Total number of households that received STRMU assistance in the prior operating year that also received STRMU assistance in the current operating year.			= XXX
1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years that also received STRMU assistance in the current operating year.			= XXX

Section 3. HOPWA Outcomes on Access to Care and Support

Table 35

1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management

Categories of Services Accessed	Households Receiving Housing Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	xxx	Support for Stable Housing
2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan..	xxx	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan,	xxx	Access to Health Care

4. Has accessed and can maintain medical insurance/assistance.	XX	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	XX	<i>Sources of Income</i>

1B. Number of Households Obtaining Employment

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	XX	<i>Sources of Income</i>

Table 36

2A. Status of Households Accessing Care and Support through HOPWA-funded Services receiving Housing Assistance from Other Sources

Categories of Services Accessed	Households Receiving HOPWA Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	xxx	<i>Support for Stable Housing</i>
2. Successfully accessed or maintained qualification for sources of income.	xxx	<i>Sources of Income</i>
3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan.	xxx	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	xxx	<i>Access to Health Care</i>
5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client's individual service plan.	xxx	<i>Access to Support</i>

2B. Number of Households Obtaining Employment

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	xxx	<i>Sources of Income</i>

Part 5. Worksheet – Determining Housing Stability Outcomes

Table 37
Housing Stability Outcomes

Permanent Housing Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	XX	X	XX	XX
Permanent Facility-Based Housing Assistance/Units	XX	X	XX	XX
Transitional/Short-Term Facility-Based Housing Assistance/Units	XX	X	XX	XX
Total Permanent HOPWA Housing Assistance	XXX	X	XX	X
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable with Reduced Risk of Homelessness	Unstable Arrangements	Life Event
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	XXX	XXX	XX	XX
Total HOPWA Housing Assistance	XXX	XXX	XX	XX

PART 6: Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

Grantees that use HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten years. If non-substantial rehabilitation funds were used they are required to operate for at least three years. Stewardship begins once the facility is put into operation. This Annual Certification of Continued HOPWA Project Operations is to be used in place of other sections of the CAPER, in the case that no additional HOPWA funds were expended in this operating year at this facility that had been acquired, rehabilitated or constructed and developed in part with HOPWA funds.

1. General information

HUD Grant Number(s) CA-H99-F999	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name State of California	Date Facility Began Operations (mm/dd/yy) 6/20/2000 and 7/3/2000

2. Number of Units and Leveraging

Housing Assistance	Number of Units Receiving Housing Assistance with HOPWA funds	Amount of Leveraging from Other Sources Used during the Operating Year
Stewardship units (developed with HOPWA funds but no current operations or other HOPWA costs) subject to 3 or 10 year use periods	2	\$31,372

3. Details of Project Site

Name of HOPWA-funded project site	HOPWA Permanent Housing – Scattered Sites
Project Zip Code(s) and Congressional District(s)	95407 and 95401 , 6th district
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input checked="" type="checkbox"/> Not confidential; information can be made available to the public.
If the site address is not confidential, please provide the contact name, phone, email, and physical address, if different from business address.	2191 Sunleaf Lane, Santa Rosa, CA 95407 205 Stony Point Road, Apt. C, Santa Rosa, CA 95401

1. General information

HUD Grant Number(s) CA-H98-F999	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input checked="" type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name State of California	Date Facility Began Operations (mm/dd/yy) 3/2001

2. Number of Units and Leveraging

Housing Assistance	Number of Units Receiving Housing Assistance with HOPWA funds	Amount of Leveraging from Other Sources Used during the Operating Year
Stewardship units (developed with HOPWA funds but no current operations or other HOPWA costs) subject to 3 or 10 year use periods	2	\$7,770

3. Details of Project Site

Name of HOPWA-funded project site	Safe Shelter
Project Zip Code(s) and Congressional District(s)	93907, 17th District
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site address is not confidential, please provide the contact name, phone, email, and physical address, if different from business address.	

Table 38
CAPER/IDIS Beneficiary Verification Worksheets

Summary Overview of Grant Activities: Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Assistance

Chart a. Individuals Served with Housing Assistance	Total
Total number of individuals with HIV/AIDS who received HOPWA housing assistance .	XXXX

Chart b. Special Needs	Total
Number of HOPWA eligible individuals served with Housing Assistance who are veterans?	XXX
Number of HOPWA eligible individuals served with Housing Assistance who were chronically homeless?	XXX

Chart c. Prior Living Situation:

Category		Number of HOPWA Eligible Individuals Served with Housing Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	N/A
New Individuals who received HOPWA Housing Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	XXX
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	XXX
4.	Transitional housing for homeless persons	XXX
5.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	XXX
6.	Psychiatric hospital or other psychiatric facility	XXX
7.	Substance abuse treatment facility or detox center	XXX
8.	Hospital (non-psychiatric facility)	XXX
9.	Foster care home or foster care group home	XXX
10.	Jail, prison or juvenile detention facility	XXX
11.	Rented room, apartment, or house	XXX
12.	House you own	XXX
13.	Staying or living in someone else's (family and friends) room, apartment, or house	XXX
14.	Hotel or motel paid for without emergency shelter voucher	XXX
15.	Other	XXX
16.	Don't Know or Refused	XXX
17.	TOTAL (sum of items 1-16)	XXX

Table 39
HOPWA Information on Beneficiaries and Households

a. Total Number of HOPWA Beneficiaries Served with Housing Assistance

Individuals and Families Served with Housing Assistance	Total Number
1. Number of individuals with HIV/AIDS who received HOPWA housing assistance (Chart a page 4)	XXXX
2. Number of other persons residing with the above eligible individuals in HOPWA-assisted housing	XXXX
3. TOTAL number of <u>beneficiaries</u> served with Housing Assistance (Rows 1 + 2)	XXXX

b. Age and Gender

Category		Male	Female
1.	Under 18	XXX	XXX
2.	18 to 30 years	XXX	XXX
3.	31 to 50 years	XXX	XXX
4.	51 years and Older	XXX	XXX

c. Race and Ethnicity*

	Category	Total Beneficiaries Served with Housing Assistance	Total Beneficiaries also identified as Hispanic or Latino		Category	Total Beneficiaries Served with Housing Assistance	Total Beneficiaries also identified as Hispanic or Latino
1.	American Indian/ Alaskan Native	XXX	XXX	6.	American Indian/ Alaskan Native & White	XXX	XXX
2.	Asian	XXX	XXX	7.	Asian & White	XXX	XXX
3.	Black/African American	XXX	XXX	8.	Black/African American and White	XXX	XXX
4.	Native Hawaiian/Other Pacific Islander	XXX	XXX	9.	American Indian/ Alaskan Native & Black/African American	XXX	XXX
5.	White	XXX	XXX	10.	Other Multi-Racial	XXX	XXX

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Household Income**a. Household Area Median Income.**

Percentage of Area Median Income		Households Served with Housing Assistance
1.	0-30% of area median income (extremely low)	XXX
2.	31-50% of area median income (very low)	XXX
3.	51-60% of area median income (low)	Not Available
4.	61-80% of area median income (low)	XXX

Part 2: Summary of Project Sponsor Information- Facility-based Housing Assistance**1. Project Sponsor Agency Name**

San Joaquin County Public Health
Subrecipient of Sponsor – Stockton Shelter for the Homeless (owner)

2a. Project Site Information for Capital Development of Projects (For Capital Development Projects only)

Type of Development	HOPWA Funds Expended	Non-HOPWA funds Expended	Type of Facility [Check <u>only one</u> box.]
<input type="checkbox"/> New construction	\$	\$	<input type="checkbox"/> Permanent housing
<input type="checkbox"/> Rehabilitation	\$	\$	<input checked="" type="checkbox"/> Short-term Shelter or Transitional housing
<input checked="" type="checkbox"/> Acquisition	\$	\$ unknown	<input type="checkbox"/> Supportive services only facility
a.	Purchase/lease of property:		Date (mm/dd/yy): 6/2000, 7/5/00, 8/2000, 5/2001, 12/2001 (condos – all purchased separately so acquisition dates varied)
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: 8/2000 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 7/2000 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 5 Total Units = 5
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year 1
g.	What is the address of the facility (if different from business address)?		Confidential Site
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Type of Capital Development Project Units (For Capital Development Projects only)

	Designated for the chronically homeless	Designated for assist the homeless	Energy-Star Compliant	504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired with or without rehab		5			30
Rental units rehabbed					
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

- ☐ Permanent Supportive Housing Facility/Units
☒ Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Type of housing facility operated by the project sponsor		Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/0 bdrm	1 bdrm	2bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence – individual apt units			5			

c.	Project-based rental assistance units or leased units						
d.	Other housing facility. Specify:						

1. Project Sponsor Agency Name

San Joaquin County Public Health – Project Sponsor
Stockton Shelter for the Homeless – Subrecipient of Sponsor (owner)

2a. Project Site Information for Capital Development of Projects (For Capital Development Projects only)

Type of Development	HOPWA Funds Expended	Non-HOPWA funds Expended	Type of Facility [Check <u>only one</u> box.]
<input type="checkbox"/> New construction	\$	\$	<input type="checkbox"/> Permanent housing
<input type="checkbox"/> Rehabilitation	\$n/a	\$n/a	<input checked="" type="checkbox"/> Short-term Shelter or Transitional housing
<input checked="" type="checkbox"/> Acquisition	\$	\$n/a	<input type="checkbox"/> Supportive services only facility
a.	Purchase/lease of property:		Date (mm/dd/yy): 08/1998
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: 11/1998 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 11/1998 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 1 (5+ br house) Total Units = 5+ br house
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year 0
g.	What is the address of the facility (if different from business address)?		Confidential Site
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Type of Capital Development Project Units (For Capital Development Projects only)

	Designated for the chronically homeless	Designated for assist the homeless	Energy-Star Compliant	504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired with or without rehab					10
Rental units rehabbed					
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

- ☐ Permanent Supportive Housing Facility/Units
☒ Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Type of housing facility operated by the project sponsor	Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/0 bdrm	1 bdrm	2bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						

b.	Community residence						1
c.	Project-based rental assistance units or leased units						
d.	Other housing facility. Specify:						

1. Project Sponsor Agency Name

San Luis Obispo County AIDS Support Network

2a. Project Site Information for Capital Development of Projects (For Capital Development Projects only)

Type of Development	HOPWA Funds Expended	Non-HOPWA funds Expended	Type of Facility [Check <u>only one</u> box.]
<input type="checkbox"/> New construction	\$	\$	<input checked="" type="checkbox"/> Permanent housing
<input type="checkbox"/> Rehabilitation	\$	\$	<input type="checkbox"/> Short-term Shelter or Transitional housing
<input checked="" type="checkbox"/> Acquisition	\$	\$	<input type="checkbox"/> Supportive services only facility
a.	Purchase/lease of property:		Date (mm/dd/yy): 5/1999
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy 7/1999 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 7/1999 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 4 Total Units = 4
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year 0
g.	What is the address of the facility (if different from business address)?		Confidential Site
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Type of Capital Development Project Units (For Capital Development Projects only)

	Designated for the chronically homeless	Designated for assist the homeless	Energy-Star Compliant	504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired with or without rehab					20
Rental units rehabbed					
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

a. Check one only.

- ☒ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Type of housing facility operated by the project sponsor	Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/0 bdrm	1 bdrm	2bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						

b.	Community residence						
c.	Project-based rental assistance units or leased units						
d.	Other housing facility. Specify: <u>Permanent independent living units</u>	2	2				

1. Project Sponsor Agency Name

San Luis Obispo County AIDS Support Network

2a. Project Site Information for Capital Development of Projects (For Capital Development Projects only)

Type of Development	HOPWA Funds Expended	Non-HOPWA funds Expended	Type of Facility [Check <u>only one</u> box.]
<input type="checkbox"/> New construction	\$	\$	<input checked="" type="checkbox"/> Permanent housing
<input checked="" type="checkbox"/> Rehabilitation	\$	\$	<input type="checkbox"/> Short-term Shelter or Transitional housing
<input type="checkbox"/> Acquisition	\$	\$	<input type="checkbox"/> Supportive services only facility
a.	Purchase/lease of property:		Date (mm/dd/yy): 5/1996
b.	Rehabilitation/Construction Dates:		Date started: 5/04 Date Completed: 7/04
c.	Operation dates:		Date residents began to occupy: 5/1996 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 5/1996 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 9 Total Units = 9
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year <u>5</u>
g.	What is the address of the facility (if different from business address)?		Confidential Site
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Type of Capital Development Project Units (For Capital Development Projects only)

	Designated for the chronically homeless	Designated for assist the homeless	Energy-Star Compliant	504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired with or without rehab					
Rental units rehabbed					3
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

a. Check one only.

- ☒ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Type of housing facility operated by the project sponsor		Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/0 bdrm	1 bdrm	2bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						

b.	Community residence (apartment units)		9				
c.	Project-based rental assistance units or leased units						
d.	Other housing facility. Specify:						

1. Project Sponsor Agency Name

Santa Cruz AIDS Project

2a. Project Site Information for Capital Development of Projects (For Capital Development Projects only)

Type of Development	HOPWA Funds Expended	Non-HOPWA funds Expended	Type of Facility [Check <u>only one</u> box.]
<input type="checkbox"/> New construction	\$	\$	<input type="checkbox"/> Permanent housing
<input type="checkbox"/> Rehabilitation	\$	\$	<input checked="" type="checkbox"/> Short-term Shelter or Transitional housing
<input checked="" type="checkbox"/> Acquisition	\$	\$	<input type="checkbox"/> Supportive services only facility
a.	Purchase/lease of property:		Date (mm/dd/yy): 7/2001
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: 9/2001 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 9/2001 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 4 beds Total Units = 4 beds
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year: 5
g.	What is the address of the facility (if different from business address)?		Confidential Site
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Type of Capital Development Project Units (For Capital Development Projects only)

	Designated for the chronically homeless	Designated for assist the homeless	Energy-Star Compliant	504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired with or without rehab					24
Rental units rehabbed					
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

a. Check one only.

- ☐ Permanent Supportive Housing Facility/Units
☒ Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Type of housing facility operated by the project sponsor	Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/0 bdrm	1 bdrm	2bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						

b.	Community residence					1	
c.	Project-based rental assistance units or leased units						
d.	Other housing facility. Specify:						

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**Department of Community
Services and Development:
Lead Based Paint
Hazard Control Program**

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Lead Based Paint Hazard Control Program (LHCP)

Use of Funds

The LHCP Round XIII grant, covering the period November 1 2006 through October 31, 2009, has ended. LHCP is now administering HUD's \$3 million Round XV grant from the American Recovery and Reinvestment Act of 2009 (ARRA), covering the period April 15, 2009 through April 14, 2012. This CAPER's performance data will focus on Round XIII.

The Round XIII grant gave the Department of Community Services and Development (CSD) additional resources to continue and expand LHCP to an additional 336 pre-1978 low-income housing units in seven counties. The program's objectives include targeting low-income households with at least one child under age six living in the residence, lead hazard awareness education, maximizing resources by strengthening collaboration with local housing and health departments, increasing lead-safe rental opportunities for low-income households, expanding the certified abatement workforce, and developing lasting lead-safe training resources.

CSD implemented the Round XIII program in partnership with four community-based organizations (CBOs), contracted to carry out lead-hazard control services in six counties (Target Counties). All CBOs have existing weatherization contracts with CSD that have enabled them to use lead hazard control funds in combination with federal Low-Income Home Energy Assistance Program (LIHEAP) funds and Department of Energy (DOE) program funds in a majority of the projects. CBOs leverage funding from various sources to combine the benefits of LHC with weatherization and minor home repair services. CBOs are required to provide matching fund contributions of twenty percent (20%) for Round XI and twenty-four percent (24%) for Round XIII. Half the matching funds must come from nonfederal sources and the other half from federal sources. The CBOs use client data from LIHEAP/DOE weatherization programs to identify potential low-income households for enrollment in the Program.

LHCP continues to administer the Round XV grant, which has encountered some significant challenges:

- After the loss of two of the four original contractors (Fresno and Maravilla) due to new Davis Bacon labor standards and compliance requirements, CSD recruited a previous lead contractor to help fill the gap. CSD will continue to partner with Community Resource Project, Redwood Community Action Agency, and Community Action Partnership of San Bernardino for Round XV.
- Production was delayed by program restructuring and the realignment of performance standards, causing CSD to fall behind program goals. CSD is confident, however, that all goals will be met or exceeded by the end of the program.
- LEAD-ARRA contractors also administer the DOE-ARRA, DOE and LIHEAP programs and have similar contractual obligations and aggressive production

benchmarks, LEAD-ARRA providers must spend 50 percent of contract funds by March 31, 2011 or the grant will be terminated and funds redistributed to another HUD contractor.

Table 40
LHCP Funding Distribution, Round XIII

Community-Based Organization	Counties Served	Contract Amount	Used as of 10-31-09	Percentage Used as of 10-31-09
Community Resources Project	Sacramento, Sutter & Yuba	\$260,748	\$260,748	100%
Maravilla Foundation	Los Angeles	\$1,019,122	\$1,019,122	100%
Redwood Community Action Agency	Humboldt	\$329,172	\$329,172	100%
San Bernardino County Community Services	San Bernardino	\$911,918	\$911,918	100%
Total		\$2,520,960	\$2,520,960	100%

Table 41
Goals and Outcomes, Numbers of Units, Round XIII

Community-Based Organization	Counties Served	Project Unit Goals	Units Completed as of 10-31-09	Year-to-Date Percentage Completed
Community Resources Project	Sacramento, Sutter & Yuba	30	26	87%
Maravilla Foundation	Los Angeles	125	143	114%
Redwood Community Action Agency	Humboldt	50	52	104%
San Bernardino Co. Community Services	San Bernardino	100	115	115%
Total		305	336	110%

Round XIII Lead Hazard Control Program Goals

Lead-Safe Housing for Low-Income Families and Their Children

The program's primary objectives are to provide lead hazard control services to at least 610 pre-1978 housing units occupied by low-income households, targeting households with at least one child under the age of six residing in the residence, lead hazard awareness education, maximizing resources by strengthening collaboration with local housing and health departments, increasing lead-safe rental opportunities for low-income households, expanding certified workforce in the local communities, and developing lasting lead-safe training resources.

▪ Building Capacity of Community Action Agencies

After the loss of two out of four original contractors (Fresno and Maravilla) due to new Davis Bacon labor standards and compliance requirements, CSD recruited a previous lead contractor to partner with CSD. CSD will continue to partner with Community Resource Project, Redwood Community Action Agency, and Community Action Partnership of San Bernardino for Round XV. CBOs are to participate in or conduct two community events for the general public to disseminate information concerning lead hazards. CBOs will educate the public on lead-based paint awareness and prevention, and assist local housing departments with inspections/risk assessments for elevated blood lead level referrals. Several CBOs participate in national, regional and local conferences to disseminate information on the importance of lead-safe work practices

▪ Tracking of Lead-Safe Housing

CSD continues to maintain the Lead-Safe Rental Registry on its website (www.csd.ca.gov). The directory was developed by CSD staff and provides the county and address of units made lead safe under Round XIII grants. This directory is accessible to the public and community-based agencies, to increase lead hazard awareness, and demand for and availability of lead-safe housing in the target counties.

▪ Leveraged Resources

CBOs are required to provide twenty-four percent (24%) matching fund contributions for Round XIII. Half the matching funds must come from nonfederal sources such as owner contributions, and the other half from federal sources such as LIHEAP and DOE. CBOs use client data from the LIHEAP/DOE weatherization programs to identify potential low-income households for enrollment in the Program. Under Round XIII, CBOs and CSD contributed \$597,230 in matching funds. Due to the recession, leveraging resources has become a challenge for CBOs; however, they will continue to work diligently to meet their Round XV matching contribution of \$627,257.

Table 42
Goals and Outcomes, Leveraged Resources Round XIII

Community-Based Organization and CSD	Match Goal	Match Received As of 10-31-09	Percentage of Goal Amount
Community Resources Project	\$62,580	\$44,287	71%
Maravilla Foundation	\$244,590	\$255,557	104%
Redwood Community Action Agency	\$79,001	\$75,625	96%
San Bernardino County Community Services	\$218,860	\$191,723	88%
CSD	0	\$30,038	100%
Totals	\$605,031	\$597,230	99%

Monitoring

CSD continues to implement a quality assurance program that includes review and approval of lead-based paint inspection/risk assessment reports, project designs and cost estimates. CSD will continue to conduct periodic field visits to supervise work activities, and provide training and technical assistance. These visits and desk reviews will assist CSD to ensure that the CBOs are in contractual compliance. CSD has developed and implemented an on-site monitoring tool to assist CSD in the monitoring process.

Program Outreach

CBOs continue to perform community outreach through their federal and State-funded weatherization programs, referrals from local housing authorities, Childhood Lead Poisoning Prevention, and canvassing and outreach in the Target Counties. CBOs are to participate in or conduct at least two community events for the general public to disseminate information concerning lead hazards. Once a unit is identified, the CBOs commence the intake process by qualifying the occupant based on HUD current medium income guidelines and CSD qualification standards, and then by providing lead hazard control education to the occupant/owner, with an emphasis on having children under six who live in the housing unit tested for blood-lead levels. Lead hazard control education such as the Environmental Protection Agency's booklet, *Renovate Right: Important Lead Hazard Information for Families, Child Care Providers and Schools* will be given to the occupant/owner.

Assessment of Response to State Objectives

Objective 1: CSD will implement the HUD-Funded Round XV Grant

LHCP Round XIII grant, covering the period November 1, 2006 through October 31, 2009, has ended. LHCP now administers HUD's Round XV \$3 million grant from the American Recovery and Reinvestment Act of 2009 (ARRA). This grant covers the period April 15, 2009 through April 14, 2012. This CAPER's performance data will focus on Round XIII.

The two grants will provide lead hazard control services to 555 low-income units in conjunction with weatherization services; build collaborative working relationships with the local Childhood Lead Poisoning Prevention programs, housing departments, and other partners to increase the effectiveness of responses to lead hazards in local communities.

Objective 2: CSD will monitor the performance of its network of agencies that provide weatherization services to assure compliance with lead-safe work practices as outlined in CSD's Policies and Procedures Manual.

CSD implemented a quality assurance program that includes review and approval of lead-based paint inspections/risk assessments reports, project designs and cost estimates. CSD will conduct periodic field visits to supervise work activities, and perform desk reviews for all CBOs.

Objective 3: CSD will provide a Lead Hazard Control Training and Certification Program to ensure CBOs are properly trained and certified to perform the work as approved by HUD.

CSD will contract with a consultant who retains a State-accredited lead-related construction trainer approved by HUD to provide the following classes: Lead Work Certification, Inspector/Risk Assessor, Supervisor/Project Monitor, and Lead Renewal.

Objective 4: CSD will partner with other State and local government entities to control lead hazards in California's housing.

CSD will continue seeking out opportunities to work in collaboration with DHS in leveraging personnel resources in grant activities.

Objective 5: CSD will partner with HCD to ensure that the administration of HCD's federal loan and grant programs, CDBG, HOME and ESG, comply with 24 CFR Part 35 et al.

CSD will continue to partner with HCD when there are opportunities to provide lead awareness training and/or lead-related construction courses.

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**Other Actions by the
State of California
To Promote Housing and
Community Development**

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Other Actions Taken

HCD and other agencies of the State of California took many additional actions in 2009-10 that directly and substantially promote affordable housing and address the underserved housing needs of the homeless, including homeless youth, veterans, seniors, mobile home residents, the homeless with disabilities, and other lower income households. The California 2010-2015 Consolidated Plan (pages 43-53 and 62-73) outlines other recent and ongoing actions to reduce barriers to affordable housing and meet underserved housing needs.

Eliminating Barriers to Affordable Housing

Housing elements and local government housing planning (HCD Division of Housing Policy Development)

California law requires each city and county to have a housing element in its General Plan. The primary goals of California's housing element law are to increase housing supply and affordability and address existing housing needs. Additional goals include: conserving existing affordable housing stock; improving housing conditions; removing regulatory barriers to the development; improvement and maintenance of housing, expanding equal housing opportunities, and addressing the special housing needs of the State's most vulnerable residents (seniors, farmworkers, homeless and persons with disabilities). HPD's review of housing elements ensures that local governments use their zoning and land-use authority to provide opportunities for housing development and also not unduly constrain housing supply and choice. In 2009-10 HPD reviewed and issued written findings on 604 draft and adopted housing elements submitted by cities and counties.

Public Outreach – HCD Division of Housing Policy (HPD)

HPD (exclusive of the other divisions of HCD) responded to approximately 4,335 requests for information on housing issues and financial resources, data and implementation of State laws.

In addition, HPD staff made presentations related to housing or redevelopment issues at approximately 25 conferences and workshops during the year. Staff presented and attended numerous redevelopment workshops, conferences, and professional meetings such as the California Planning Roundtable's *Annual Conference*; California Energy Commission's *Energy and Local Assistance: Issues and Opportunities Workshop*; Strategic Growth Council's *Modeling Incentive Funds for Allocation of Proposition 84 Funds Workshop*; Smart Growth Council's *Urban Greening Review and Planning Grants and Incentive Program Guideline Committees*; State American Planning Association's *Annual Conference*; California League of Cities's *Annual Conference and Expo*; Non-Profit Housing's *Annual Conference*; Governor's Institute on Community Design's *Sustainable Communities Summit*; California Redevelopment Association (CRA)/Cal-ALFA's *Affordable Housing Conference*; Association of Bay Area Government's *FOCUS Forum: Parks in Our Communities*; Southern California Association of Government's (SCAG) *SB 375 Regional Workshop*; USC's *Housing Summit: Changing the Housing Paradigm: How will We House California's Dynamic Population?*; California Transportation Committee's *Land Use and*

Housing Workgroup; UC Davis' Land Use Class; UC Davis' Sustainable Community Development Focus Group; California Council on Land Trust's Annual Conference: Park Facility Funding; CRA's 2010 Annual Conference/Expo; San Mateo County's 21 Element Project Summit; Housing California's 2010 Annual Conference; SCAG's Regional Conference and General Assembly; Western Riverside Council of Government's Planning Director's Technical Assistance Committee and Orange County's Housing Trust's Fourth Annual Orange County Housing Summit.

Public Outreach – HCD Division of Financial Assistance (DFA)

During difficult and uncertain times for housing of all kinds, but especially for affordable housing, it was important for HCD to maintain contact with the many other private and public entities that make up the state's affordable housing community to share information on rapidly changing circumstances. During 2009-10, HCD's financial assistance program managers and staff led or participated in more than 120 industry conferences, meetings, training workshops, webcasts and public hearings. Typical purposes were to share best practices, give procedural training and advice on how to use new programs--especially the temporary federal community development and infrastructure stimulus programs—or accommodate amended rules for existing programs. In the aftermath of the recession, simple mutual support and encouragement was also useful.

HCD's loans and grants go almost entirely to housing developers and service providers rather than directly to lower income households, but DFA also assigns a specialist staff member to respond to inquiries from Californians seeking affordable housing (our customers). These requests come in the form of letters to HCD, or to the Governor that are forwarded to HCD for reply, or e-mail messages sent to HCD's website, or telephone calls.

Information is returned to the people inquiring through the same channels, with emphasis on paper mail and e-mail because of the size of the housing resource lists and packages that are often sent. They may cover landlord-tenant rights and obligations, State and local housing agencies to contact, local first-time-homebuyer assistance programs, and/or affordable rental housing projects located in each county. In 2009-10 the Department responded to 4,325 phone, email and written inquiries.

State Bond Financing (Proposition 46 and Proposition 1C)

The Legislature, Governor and voters approved Proposition 46 in November 2002, which authorized \$2.1 billion in State bonds for a variety of new housing investments, of which \$1.81 billion was allocated to HCD programs. Since 2006-07, HCD has invested nearly all of these amounts with hundreds of State and local, public and private organizations to create or preserve approximately xxx housing units.

California voters approved Proposition 1C on the November, 2006 statewide ballot, thereby extending America's largest State-funded affordable housing assistance effort. Proposition 1C authorized \$2.85 billion more in General Obligation bonds to continue several important bond-funded housing assistance programs, and launch new infrastructure programs that support housing. As of June 30, 2010, HCD had invested

over \$xxx billion in Proposition 1C funds, which are expected to help develop or preserve over xxx housing units.

Below are links to pages on the Governor's website that track the expenditure of Proposition 1C bond funds by program and by agency. The overview page (first link) shows the funds available, awarded, and remaining. The accomplishments page (second link) includes the number of awards and the projected outcomes by number and type of housing units:

<http://www.bondaccountability.hcd.ca.gov/ba.hcd?id=index>

<http://www.bondaccountability.hcd.ca.gov/ba.hcd?id=accomplishments>

Working Through the State's Fiscal Challenges

For many years, financial assistance programs that were powered by California General Obligation (GO) bond proceeds, including HCD's Proposition 46 and Proposition 1C-funded programs (listed on the Funding Source table below), could award the funds according to each program's priorities and schedules. The State would borrow short-term financing from the Pooled Money Investment Account (PMIA) to cover the awards, and pay off this short-term debt when bonds were sold (of the primary sources of funds deposited into the PMIA, this short-term borrowing was only from funds deposited into the PMIA from the State's General Fund and special funds held by State agencies).

This flexible process was disrupted at the end of 2008 when the State Pooled Money Investment Board (PMIB), which governs the PMIA, "froze" borrowing from the PMIA and the resultant GO bond program disbursements due to the difficult market for bond sales at the bottom of the recession. The freeze affected bonds for transportation, levees, schools – and affordable housing. It resulted in HCD delaying issuance of new NOFAs and new awards. In addition, conditions were attached to some of the new awards that made it difficult for developers to obtain supplemental private financing.

Proceeds of the limited sales could not meet all demands, and priority was given by the State to projects already underway, leaving funding for new awards inconsistent and difficult to anticipate. HCD received permission in January, 2009, to release NOFAs for the new bond-financed Transit Oriented Development (TOD) and Infill Infrastructure Grant (IIG) programs, offering a total of over \$290 million. In March 2009 there was a successful bond sale, which increased the cash available to HCD to cover its commitments.

In April 2010, as a result of the successful bond sales, the State Department of Finance (DOF) announced a Bond Proceeds Allocation Plan to allocate future bond sales through Fiscal Year 2012-13. This allows bond programs to plan future activities on a more predictable basis – though agencies are warned that the plan will be reevaluated and updated at least twice a year, and actual allocations may vary due to future market conditions. HCD was authorized to issue new NOFAs, make awards, and remove the

conditions from existing awards that had limited developers' access to private debt. As a result, the Department is back to business as usual.

Operation of HCD's State-Funded Housing Financial Assistance Programs:

HCD's awards during 2008-09 were drawn from the following fund sources (2009-10 data were not complete as of the closing date of this CAPER):

Funding Source	Total Awards
Proposition 1C State bond funds: programs funded include BEGIN, CalHome, CSHHP, part of EHAP-CD, IIG, Serna, MHP, MHP-HY, MHP-SH and TOD	\$665,143,588
Proposition 46 State bond funds: part of EHAP-CD, and GHI	\$6,314,530
Federal funds: EDBG, FESG, HOME, HAP and STBG	\$107,662,184
State General Fund: OMS	\$8,971,703
Revolving funds: MPROP, PDLF	\$4,425,000
Total	\$792,517,005

For more information on the programs identified above by acronyms, including full names and financial awards data, see recent HCD "Financial Assistance Programs Annual Reports" via links at the bottom of the webpage at <http://www.hcd.ca.gov/fa/>. For more information on the purposes, operating and eligibility criteria of these programs, see the posted information in the alphabetical listing of programs at <http://www.hcd.ca.gov/fa/>, or the "Financial Assistance Program Directory" via the link [Loan and Grant Program Directory](#) at the top right of the same webpage.

HUD federal fund allocations to HCD in 2008-09 totaled a substantial and welcome \$102,168,153, and HCD's commitments of future funds meant total federal fund awards in 2008-09 of \$107,662,184. Even so, HCD was able to award State-funded loans and grants totaling more than six times as much. Altogether, these funds are expected to accomplish the following:

<u>No. Awards</u>	<u>Amount Awarded</u>	<u>Units Assisted or Regulated</u>	<u>New Housing Units</u>
495	\$792,517,005	8,923	12,364

These awards also helped to bring more than \$4 billion from other sources into the projects assisted.

Foreclosure Prevention and Remediation

Unfortunately, California metropolitan areas have often led the nation in foreclosures in recent years. For the first quarter of calendar 2010, the number of trustees' deeds (TDs) recorded, which reflects the number of houses or condominium units lost to the foreclosure process, totaled 42,441. This number was down 16.2 percent from 50,654 in the prior quarter, and down 1.6 percent from 43,127 in the first quarter of 2009. The

all-time peak was 79,996 in the third quarter of 2008. Governor Schwarzenegger addressed the foreclosure problem when it was growing by launching a public awareness campaign to educate homeowners about options that can help them avoid losing their homes to foreclosure. The \$1.2 million campaign was funded through existing consumer education efforts within the Business, Transportation and Housing Agency and the State and Consumer Services Agency, in order to:

- Inform borrowers about their options;
- Urge borrowers to work with lenders before foreclosure;
- Encourage the use of nonprofit housing counselors; and
- Partner with local leaders and trusted organizations, like churches and community groups, to further the goals of the campaign.

As part of the Governor's efforts, the following resources are also available to homeowners:

- The "HOPE Hotline" (1-888-995-HOPE or <http://www.995hope.org>), provides free mortgage counseling 24 hours a day, seven days a week.
- A website with helpful information for prospective homebuyers, as well as homeowners who are experiencing difficulty in keeping payments current: <http://www.yourhome.ca.gov/> and the Spanish language version: <http://www.sucasa.ca.gov/>.

Additionally, the Governor negotiated an agreement with four lenders, representing 25 percent of the sub-prime loan market in California, to announce their commitment to principles that will help preserve homeownership for tens of thousands of homeowners at risk of default due to hybrid adjustable rate mortgages (ARM) sub-prime mortgages resetting to higher interest rates. The agreement consists of three basic principles directing mortgage lenders to:

- Reach out proactively to borrowers well before their loans reset;
- Streamline the processes by which they determine whether borrowers may reasonably be expected to be able to make the reset payment; and
- Maintain at the starter rate for a sustainable period of time the homeowner who is current on payments, where a lender has determined the borrower's resources are insufficient to make the reset payment.

Through the federally funded Neighborhood Stabilization Program (NSP) HCD has awarded funds to purchase foreclosed and abandoned houses for rehabilitation and resale to low and moderate income families (at or below 120 percent of area median income, with 25 percent of allocated NSP funding reserved for households at or below 50 percent). HCD received \$145 million through NSP, of which \$126 million was allocated before the end of calendar 2009 to localities to help recovery from the housing recession (see page 19).

The State is also collecting information on local strategies to address foreclosure issues through the review of local government housing elements. The Department is preparing a listing of these strategies and programs which will be posted on its website as part of its Building Blocks for Effective Housing Elements.

Reducing Obstacles to Meeting Underserved Housing Needs

Furthering Fair Housing

The Department is currently completing an update of its Analysis of Impediments to Fair Housing (AI). The completed AI is proposed to be published concurrently with the State's 2011-2012 Annual Plan Update in early 2011. As part of the update the Department is coordinating with state and local advocacy groups, fair housing organizations and local non-entitlement jurisdictions. The updated AI will address State laws and policies, lending and complaint patterns, and other issues including the recent *Palmer v City of Los Angeles* decision for impacts to fair housing choice. In addition, the State will work with State HOME and CDBG recipients to analyze local actions affecting fair housing choice including an analysis of disproportionate needs and siting of housing affordable to lower-income households for evidence of discriminatory patterns or areas of concentration. As a result of this analysis, the AI will commit to a plan of action to be taken by the State and/or local governments to address any identified impediments to fair housing choice.

Governor's Homeless Initiative (GHI)

On August 31, 2005, Governor Schwarzenegger announced an initiative to address long-term homelessness in California. He directed HCD, the California Housing Finance Agency (CalHFA), and DMH to develop an integrated joint funding package to finance permanent supportive housing for chronically homeless persons with severe mental illness. Residents of this housing will receive supportive services from county mental health departments, using MHSA funds (see below).

The three agencies collaborated and produced procedures for the integrated effort. On November 15, 2005, a Notice of Funding Availability was released announcing approximately \$40 million in development funding. HCD's first two awards as part of GHI were made near the end of FY 2005-06 for approximately \$2.2 million. During FY 2006-07, HCD awarded five more GHI projects for a total of \$20.86 million. One GHI project was awarded in FY 2007-08 for \$1.5 million, and four more in FY 2009-10 for \$11.75 million. The 12 funded developments will provide 420 new and rehabilitated low-income housing units, including 297 units with social services for the mentally ill and other chronically homeless people.

Demand for GHI funds was not initially as strong as expected. However, Proposition 63 was passed by the voters in November 2004, to establish a personal income tax surcharge on higher-income taxpayers to expand county mental health programs, and the new MHSA housing program (see immediately below) got underway. The linkage between mental illness and homelessness is well established; GHI funding was used to

co-fund MHSA projects in a cooperative effort with the DMH and CalHFA, and all GHI funds have now been awarded.

Mental Health Services Act (MHSA) Housing Program

The MHSA housing program offers permanent financing loans and capitalized operating subsidies for the development of permanent supportive housing, including both rental and shared housing, for persons with serious mental illness who are homeless or at risk of homelessness. The design of MHSA is based on the earlier Governor's Housing Initiative. Like GHI, it is jointly administered by CalHFA and DMH, and is aimed at serving the same client group. HCD has provided supplemental funding for many MHSA projects.

County mental health agencies also receive shares of MHSA funding to develop and operate supportive housing. CalHFA administers the real estate and capital development components of county projects, while DMH oversees supportive services plans for county projects.

The greatest difference between GHI and MHSA is the scale of funding. Whereas GHI received a one-time infusion of \$40 million in redirected funds, MHSA is backed by Proposition 63 of 2004, which imposes an additional 1 percent tax on taxpayers with personal incomes above \$1 million. A total of \$400 million has been set aside for initial funding of the program, with each county mental health department in California receiving a share. MHSA is organized to support the expansion of a variety of State and local mental health services and facilities, with the housing program expected to get a substantial share.

By the end of March 2009, 21 applications had been received, with seven more under development. Fourteen applications have been approved for a total of \$41,697,001, expected to produce 224 MHSA units in housing developments totaling 710 units.

Public Housing Resident Initiatives

The State does not own or operate public housing; public housing is administered directly through local Public Housing Authorities (PHAs). Therefore, the State has no involvement with public housing residents. HCD's Housing Assistance Program (HAP) acts as a PHA to administer the Housing Choice Voucher (Section 8) program in twelve rural counties that do not have their own PHAs (Alpine, Amador, Calaveras, Colusa, Glenn, Inyo, Modoc, Mono, Sierra, Siskiyou, Trinity and Tuolumne). HAP is not, however, involved with public housing.

Other Agencies

Institutional Structure and Intergovernmental Cooperation

Several State agencies administer financial assistance to improve housing and

community development: HCD and CalHFA invest State and federal funds through a variety of programs, and in the Treasurer's Office, TCAC and the California Debt Limit Allocation Committee (CDLAC) allocate California's share of, respectively, federal low income housing tax credits and federal-tax-exempt mortgage revenue bonds. During 2009-10 as in prior years, these agencies and others collaborated extensively with one another and with local public agencies that implement many of these programs.

The following examples list some of HCD's intergovernmental cooperation arrangements, but do not necessarily reflect the full range of State intergovernmental arrangements that promote housing and community development:

- **HCD and CalHFA** continue to use a Universal Application for project development funding that the agencies developed jointly several years ago.
- **HCD's** Director serves on the board of **CalHFA**, and also serves as an ex officio member of **TCAC and CDLAC**, as does the Director of CalHFA.
- **HCD, the Department of Public Health, and the Department of Community Services and Development** administers the five federal assistance programs which are reported on in this CAPER. These agencies collaborate on this document, and on the State Consolidated Plan and the Annual Plan. They also coordinate with other program providers, local, other State, and federal governmental entities, non- and for-profit entities, professional organizations, interest groups, and other parties interested in the implementation of federal programs.
- HCD sponsors annual workshops at regional locations regarding program application procedures and grant management requirements for the various federal programs. HCD staff participate in meetings with professional associations, including the **League of California Cities, the Rural Builders Council of California, the California County Commissioners Association, the California County Planning Directors Association, the Building Industry Association, the California Redevelopment Association, the American Planning Association, the California Coastal Commission, Southern California Association of Governments** and other entities interested in State implementation of HUD programs.
- Beginning with Proposition 46 and continuing with Proposition 1C, **California voters and the Legislature** have created more than a dozen new State-bond-funded housing and community development programs. Each program requires the development of an administrative design and operating criteria. HCD typically begins these processes by convening stakeholders meetings around the State, open to all interested parties, to discuss how to implement new programs. These meetings typically include representatives of city and county governments and nonprofit and for-profit developers
- Proposition 63 has revitalized and expanded the provision of housing and supportive services to the homeless mentally ill. Implementation has involved **HCD, CalHFA and the Department of Mental Health** (see details under the Governor's Homeless Initiative and the Mental Health Services Act housing program, above).

- HCD has a statutory role to advise the State **Department of General Services** (DGS) on how much to reduce the prices of parcels of surplus State land when they are purchased from DGS to be used for affordable housing developments. In past instances, HCD has recommended reduced site prices based on the subsidy value to be provided by the proposed development in the form of below-market rents. HCD also recently advised DGS and the Department of Developmental Services (DDS) on the use of State surplus land at the former Fairview Developmental Center for affordable housing.
- Working with the California Department of Transportation (Caltrans), HCD has provided information on surplus State lands to affordable housing developers. State surplus lands can be made available for affordable housing projects at a reduced price, helping to make the project rents lower.
- Before most HCD loan and grant decisions are made, the staff recommendations are reviewed by the **Local Assistance Loan and Grant Committee**, an appointed panel of public officials, developers and lenders that meets periodically to advise HCD's Director on loan and grant decisions. The Committee adds an additional, valuable perspective on the financial, technical and policy issues of the proposals it reviews.
- Since the mid-1990s, HCD has had a contractual arrangement with the State **Department of Developmental Services** to assist DDS with the development and operation of housing for developmentally disabled persons. The interagency agreement began with HCD reviewing development applications on behalf of DDS, making the awards, preparing and executing the contracts, etc. Since about 2000, HCD has provided expert technical assistance and asset management services, including periodic documentary and onsite monitoring of the physical, fiscal and operating management of 52 assisted units in 13 projects.
- HCD's Chief Deputy Director is HCD's representative on the State's diverse, intergovernmental **Olmstead Advisory Committee**, created by the Governor in 2004 to advise the State **Health and Human Services Agency (HHSA)** on how to better give persons with disabilities appropriate access to, and choices of, community-based services and placement options in lieu of unnecessary institutionalization, consistent with the U.S. Supreme Court's Olmstead decision.
- HCD's Division of Codes and Standards oversees several State building and housing codes, affecting conventional, manufactured and employee housing, that are administered in partnership with **city and county building officials**.
- HCD's Division of Housing Policy Development (HPD) reviews and comments on the **housing elements of city and county General Plans**, to determine their compliance with criteria in State law. This regulatory role is supplemented by technical assistance to local officials on housing planning and redevelopment law, and on best practices in these fields. (for details, see above)
- HPD also is charged with annually compiling and publishing total statewide tax revenue deposits and expenses in each of the Low and Moderate Income Housing Funds (the Low-Mod Fund) in California's 397 active redevelopment agencies. *The*

Annual Housing Activities Report describes the current financial condition and affordable housing production generated by Low-Mod Funds, which represents the single largest source of funds for the development of housing affordable to low income households in the state. The Low-Mod Fund is derived from 20 percent of the tax increment (property taxes) collected from redevelopment agencies. The report is available on the Department's website at <http://www.hcd.ca.gov/rda/>. HPD also coordinates redevelopment reporting and training with the State Controller's Office (SCO), the agency responsible for publishing The Community Redevelopment Agencies Annual Report, which is an annual consolidated financial transaction report.

- The California Enterprise Zone Program (EZ) was transferred to HCD by law in 2004. The program stimulates business investment and job creation in State-designated economically distressed zones, by granting State income tax credits to individuals and corporations that hire disadvantaged individuals in designated zones. HCD EZ representatives participate in meetings of the **California Association of Enterprise Zones**, which has a board made up of eleven local government EZ officials and three business advisors, and provides feedback to HCD on its administration of the program.
- **Caltrans** helped **HCD** design the HCD-administered Transit-Oriented Development (TOD) housing program, and loaned HCD a staff person to help review the second round of funding applications.

The financing of affordable rental housing developments now typically requires funds from two or more sources. This is true of most HCD rental loan and grant programs. In 2006-07, for example, \$XXX million in HCD loans and grants were partnered with \$XXX billion – three times as much – in funds from other sources. Other funds for HCD-assisted projects frequently come from the **CalHFA and TCAC** (see below), the **U.S. Department of Housing and Urban Development (HUD)**, the **U.S. Department of Agriculture's Rural Development arm (RD)**, local government redevelopment and housing agencies, and private nonprofit and for-profit lenders.

California Housing Finance Agency (CalHFA)

CalHFA was created in 1975 as the State's affordable housing bank. Currently with more than \$9 billion in pledged assets, CalHFA is the third largest State-chartered bank in California. CalHFA's current \$14 billion five-year business plan is expected to produce 75,000 jobs, finance 7,000 newly constructed homes, and create affordable housing for 105,000 Californians.

CalHFA differs from HCD in generating loan funds primarily through the issuance of revenue bonds, and in focusing primarily, but not exclusively, on the conventional mortgage financing of single-family homeownership. CalHFA also operates a Multifamily Division, and works with HCD and DMH to address chronic homelessness through GHI and MHSA (see above).

General CalHFA information is available at: <http://www.bth.ca.gov/depts/calhfa.asp>. CalHFA's recent annual reports are available at

Tax Credit Allocation Committee (TCAC)

The California Tax Credit Allocation Committee (“Committee” or “TCAC,” an arm of the State Treasurer’s office) administers two low income housing tax credit programs – a federal program and a State program. Both programs were authorized to encourage private investment in affordable rental housing for households meeting certain income requirements.

When a new tax credit allocation is received by the State from the federal government, distribution commences along with State low-income housing tax credits, which are often awarded in conjunction with federal tax credits. The Qualified Allocation Plan (QAP) and TCAC regulations govern the administration of federal and State tax credits. The QAP promotes the coordination of federal and State tax credits with other housing programs including HOME (reported on in this CAPER). For example, priorities for allocating State credits include the following:

- HUD HOME program funds are a source of funds, with eligible basis limited to the amount of unadjusted basis; or,
- HUD HOME program funds are a source of funds and a State credit is needed to satisfy HOME match requirements. The local jurisdiction or CHDO provides an explanation of why other sources are not available to provide matching funds.

In calendar 2009, TCAC awarded nearly \$91.1 million in competitive nine percent (9%) federal credits to 79 proposed housing projects, along with \$72.5 million in State credits to 19 competitive 9 percent projects, and \$6.7 million in State credits to 3 projects receiving four-percent (4%) tax credits with tax-exempt bond funds. A federal tax credit is in effect for ten years, which means the eventual total value of federal credits awarded in California in 2009 is \$911 million. The \$79.2 million total for State tax credits covers a four-year period of effect.

More information about TCAC is available at: <http://www.treasurer.ca.gov/ctcac/>. The tax credit programs are outlined at: <http://www.treasurer.ca.gov/ctcac/tax.asp>.

Governor and Legislature: Notable Housing Legislation

During 2009 the California Legislature passed and the Governor signed into law several bills (listed below in bill number order) to promote affordable, safe and environmentally appropriate housing:

Chapter 455, Statutes of 2009 (AB 570, Arambula): Amends the State’s Local Housing Trust Fund Program to lower the minimum grant amounts for newly established housing trust funds in small counties, to modify the matching grant requirement for new funds, and to base the definition of a small county on the 2000 U.S. Census.

Chapter 123, Statutes of 2009 (AB 767, Ammiano): Extends the reversion dates by which unencumbered funds appropriated by the Housing and Emergency Shelter Trust Fund Act of 2006 (Proposition 1C) to HCD for the Multifamily Housing Program’s

homeless youth element (MHP-HY) and the Building Equity and Growth in Neighborhoods (BEGIN) program, will revert to the general MHP program and the CalHome program, respectively.

Chapter 524, Statutes of 2009 (AB 1330, Salas): Authorizes the California Department of Veterans Affairs (CalVet), in conjunction with HCD, to establish a pilot project to evaluate CalVet-supported cooperative housing as an element of the State's program to help veterans become homeowners.

Chapter 533, Statutes of 2009 (AB 1459, Davis): Deletes the requirement in existing law that a supportive housing development restricting occupancy to veterans meeting specified conditions must be located on property owned or leased by the federal Department of Veterans Affairs (VA) or by the California Department of Veterans Affairs (CalVet), and further permits the conversion of a vacant unit in any existing supportive housing project to a veteran-only unit, under specified conditions.

Chapter 172, Statutes of 2009 (SB 224, Correa): Adds the installation or retrofit of ignition-resistant exterior materials on existing manufactured homes and mobilehomes, including accessory structures, in high fire-hazard areas to the types of home rehabilitation that are eligible for funding under the CalHome program, and prohibits CalHome grant recipients from denying funding or applying different underwriting guidelines to a housing program or housing project based solely on the fact that a program or a project benefits manufactured homes, mobilehomes, or a home located in a mobilehome park or in a manufactured housing community.

For more information on the financial accomplishments of programs identified above see recent HCD "Financial Assistance Programs Annual Reports" via links at the bottom of the webpage at <http://www.hcd.ca.gov/fa/>. For more information on the purposes, operating and eligibility criteria of these programs, see the posted information in the alphabetical listing of programs at <http://www.hcd.ca.gov/fa/>, or the "Financial Assistance Program Directory" via the link Loan and Grant Program Directory at the top right of the same webpage.

**Tenant Assistance / Relocation
Provisions of the
HOME Program**

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APPENDIX A

HOME Program: Tenant Assistance/Relocation Provisions

Following are descriptions of how HOME addresses four tenant relocation and assistance requirements:

Steps taken to minimize displacement in projects assisted by HOME

Application and contract management meetings continue to emphasize the importance of selecting projects that are available for construction or rehabilitation without relocating residents. The costs of relocation are highlighted so that potential applicants understand the need to consider these costs when determining project feasibility. To minimize displacement of residential tenants, contractors are encouraged to purchase only property that is vacant, including single family residences that are vacant for at least three months, to plan for rehabilitation to minimize or eliminate temporary or permanent relocation, and to budget adequately for relocation costs

Steps taken to (a) identify in a timely manner all persons who occupy the site of a project assisted by HOME, (b) determine whether they will be permanently displaced as a result of the project; (c) ensure issuance of timely information notices to them, and (d) identify the entity issuing notices in connection with projects carried out by a third party (e.g., private-owner rehabilitation).

The State requires contractors, whose activities may trigger relocation to submit relocation plans, describing the relocation needs of the projects at application stage and again prior to setting up the projects. HOME reviews all material submitted by CHDOs and State Recipients for actions that may involve relocation, including copies of General Information Notices, Eligibility Notices, and other required relocation forms. Recipients are advised of any additional requirements. At contract management meetings held after awards are made and contracts executed, HOME contractors are informed about relocation law, including the timing of notices. The meetings are supported by a Contract Management Manual which contains detailed, updated information regarding relocation and other Federal overlay issues. Notices of relocation requirements are issued by CHDOs and State Recipients where projects are carried out by third parties.

Steps taken to determine (a) causes of any displacement (e.g., acquisition, rehabilitation) of households, businesses and nonprofit organizations indicated in Part V of Form HUD-40107, that occurred during the reporting period, (b) whether the financial assistance was at Uniform Relocation Act levels, the levels under section 104(d) of the Housing and Community Development Act of 1974, as amended, or at levels provided under an optional relocation policy (if the latter, attach a copy of optional policies), and (c) the extent to which assistance was provided through tenant-based rental assistance (e.g., Section 8 Rental Certificates or Vouchers).

Projects are monitored to determine whether (a) any tenant displacement is caused by the acquisition or rehabilitation of units with HOME funds; (b) relocation financial assistance was provided at Uniform Relocation Act levels or Section 104(d) levels, when applicable, based on information available from monitoring contractors; and (c)

the extent to which Section 8 or Housing Choice Voucher (HCV) rental assistance was provided by contractors. If the project receives HOME funds, the requirements of 24 CFR 92.353 must also be met.

Steps taken to coordinate housing assistance with the delivery of services to occupants of project sites, whether or not displaced, including a description of special services provided.

Monitoring during the reporting period may confirm permanent displacement, temporary displacement or other situations that require relocation noticing or other special services. HOME recommends that contractors provide the following services: housing information to help displaced persons or entities find another suitable and affordable dwellings; financial assistance to ensure that temporary or permanent replacement housing is affordable and attainable; temporary benefits such as reimbursement of hotel and meal costs for temporary displacement during rehabilitation; and information about the availability of special services, such as childcare, special educational opportunities and supportive services. To ensure all relocation laws are followed, HOME requires accurate records of notices, claim forms, tenant contact information, and other required data to be kept available for relocation monitoring and verification.

**Geographic Distribution of
CDBG, ESG, HOME and HOPWA Program
Awards, 2009-10**

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Appendix B1

Geographic Distribution of CDBG, ESG, HOME and HOPWA Program Awards 2009-10

Geographic Distribution by Region 2009-2010 Program Contractors	CDBG Award	ESG Award	HOME Award	HOPWA Award	All Program Awards
Region One: Los Angeles Metropolitan Region					
City of Calipatria	\$70,000	\$0	\$0	\$0	\$70,000
City of El Centro	\$800,000	\$0	\$0	\$0	\$800,000
City of Holtville	\$370,000	\$0	\$0	\$0	\$370,000
City of Imperial	\$408,740	\$0	\$0	\$0	\$408,740
County of Imperial	\$3,293,267	\$0	\$0	\$0	\$3,293,267
Imperial Valley Housing Authority	\$0	\$0	\$0	\$56,869	\$56,869
Neighborhood House of Calexico, Inc.	\$0	\$197,012	\$0	\$0	\$197,012
Total Imperial County	\$4,942,007	\$197,012	\$0	\$56,869	\$5,195,888
City of Gardena	\$0	\$0	\$800,000	\$0	\$800,000
1736 Family Crisis Center	\$0	\$199,755	\$0	\$0	\$199,755
Southern California Alcohol & Drug Programs, Inc.	\$0	\$200,000	\$0	\$0	\$200,000
Whittier Area First Day Coalition	\$0	\$200,000	\$0	\$0	\$200,000
Total Los Angeles County	\$0	\$599,755	\$800,000	\$0	\$1,399,755
Interval House	\$0	\$200,000	\$0	\$0	\$200,000
Total Orange County	\$0	\$200,000	\$0	\$0	\$200,000
City of Coachella	\$35,000	\$0	\$0	\$0	\$35,000
Total Riverside County	\$35,000	\$0	\$0	\$0	\$35,000
City of Upland	\$0	\$0	\$800,000	\$0	\$800,000
Total San Bernardino County	\$0	\$0	\$800,000	\$0	\$800,000
City of Thousand Oaks	\$0	\$0	\$800,000	\$0	\$800,000
County of Ventura	\$0	\$0	\$0	\$260,335	\$260,335
Many Mansions	\$0	\$193,236	\$0	\$0	\$193,236
Total Ventura County	\$0	\$193,236	\$800,000	\$260,335	\$1,253,571
Region One Totals:					
Los Angeles Metropolitan Region	\$4,977,007	\$1,190,003	\$2,400,000	\$317,204	\$8,884,214
Region Two: Bay Area Metropolitan Region					
Emergency Shelter Program	\$0	\$160,359	\$0	\$0	\$160,359
Total Alameda County	\$0	\$160,359	\$0	\$0	\$160,359
Shelter Inc. of Contra Costa County	\$0	\$199,999	\$0	\$0	\$199,999
Total Contra Costa County	\$0	\$199,999	\$0	\$0	\$199,999

Geographic Distribution by Region 2009-2010 Program Contractors	CDBG Award	ESG Award	HOME Award	HOPWA Award	All Program Awards
Homeward Bound of Marin	\$0	\$200,000	\$0	\$0	\$200,000
Total Marin County	\$0	\$200,000	\$0	\$0	\$200,000
City of Napa	\$0	\$0	\$800,000	\$0	\$800,000
County of Napa	\$0	\$0	\$0	\$48,046	\$48,046
Catholic Charities of the Diocese of Santa Rosa	\$0	\$400,000	\$0	\$0	\$400,000
Community Action of Napa Valley	\$0	\$298,000	\$0	\$0	\$298,000
Total Napa County	\$0	\$698,000	\$800,000	\$48,046	\$1,546,046
Total San Mateo County	\$0	\$0	\$0	\$0	\$0
Total Santa Clara County	\$0	\$0	\$0	\$0	\$0
City of Dixon	\$70,000	\$0	\$0	\$0	\$70,000
City of Suisun	\$70,000	\$0	\$0	\$0	\$70,000
County of Solano	\$0	\$0	\$0	\$388,781	\$388,781
Community Housing Opportunities	\$0	\$0	\$0	\$11,143	\$11,143
Total Solano County	\$140,000	\$0	\$0	\$399,924	\$539,924
County of Sonoma	\$0	\$0	\$0	\$422,960	\$422,960
Catholic Charities of the Diocese of Santa Rosa	\$0	\$371,600	\$0	\$0	\$371,600
Interfaith Shelter Network, Inc.	\$0	\$824,023	\$0	\$0	\$824,023
Total Sonoma County	\$0	\$1,195,623	\$0	\$422,960	\$1,618,583
Region Two Totals:					
Bay Area Metropolitan Region	\$140,000	\$2,453,981	\$800,000	\$870,930	\$4,264,911
Region Three: Sacramento Metropolitan Region					
City of South Lake Tahoe	\$335,000	\$0	\$0	\$0	\$335,000
County of El Dorado	\$335,000	\$0	\$0	\$0	\$335,000
The Center for Violence-Free Relationships	\$0	\$70,000	\$0	\$0	\$70,000
Total El Dorado County	\$670,000	\$70,000	\$0	\$0	\$740,000
City of Colfax	\$35,000	\$0	\$0	\$0	\$35,000
County of Placer	\$400,000	\$0	\$0	\$0	\$400,000
The Lazuras Project	\$0	\$175,270	\$0	\$0	\$175,270
Total Placer County	\$435,000	\$175,270	\$0	\$0	\$610,270
City of Live Oak	\$49,661	\$0	\$0	\$0	\$49,661
City of Yuba City	\$0	\$0	\$800,000	\$0	\$800,000
Caring Choices	\$0	\$0	\$0	\$10,426	\$10,426
The Salvation Army, a California Corp.	\$0	\$99,800	\$0	\$0	\$99,800
Total Sutter County	\$49,661	\$99,800	\$800,000	\$10,426	\$959,887
City of West Sacramento	\$370,000	\$0	\$0	\$0	\$370,000
United Christian Centers of the Greater Sacramento Area, Inc.	\$0	\$141,507	\$0	\$0	\$141,507
Total Yolo County	\$370,000	\$141,507	\$0	\$0	\$511,507

Geographic Distribution by Region 2009-2010 Program Contractors	CDBG Award	ESG Award	HOME Award	HOPWA Award	All Program Awards
Caring Choices	\$0	\$0	\$0	\$15,182	\$15,182
The Salvation Army, a California Corp.	\$0	\$400,000	\$0	\$0	\$400,000
Total Yuba County	\$0	\$400,000	\$0	\$15,182	\$415,182
Region Three Totals:					
Sacramento Metropolitan Region	\$1,524,661	\$886,577	\$800,000	\$25,608	\$3,236,846
Region Four: Central Valley Metropolitan Region					
City of Clovis	\$0	\$0	\$800,000	\$0	\$800,000
City of Firebaugh	\$70,000	\$0	\$0	\$0	\$70,000
City of Huron	\$0	\$0	\$800,000	\$0	\$800,000
City of Parlier	\$352,895	\$0	\$800,000	\$0	\$1,152,895
County of Fresno	\$0	\$0	\$0	\$306,350	\$306,350
Total Fresno County	\$422,895	\$0	\$2,400,000	\$306,350	\$3,129,245
City of Delano	\$0	\$0	\$800,000	\$0	\$800,000
City of Maricopa	\$140,000	\$0	\$0	\$0	\$140,000
City of Taft	\$335,000	\$0	\$0	\$0	\$335,000
City of Wasco	\$900,000	\$0	\$0	\$0	\$900,000
County of Kern	\$0	\$0	\$0	\$516,817	\$516,817
Total Kern County	\$1,375,000	\$0	\$800,000	\$516,817	\$2,691,817
City of Avenal	\$600,000	\$0	\$800,000	\$0	\$1,400,000
City of Corcoran	\$600,000	\$0	\$800,000	\$0	\$1,400,000
County of Kings	\$600,000	\$0	\$0	\$52,425	\$652,425
Champions Recovery Alternative Programs, Inc.	\$0	\$197,260	\$0	\$0	\$197,260
Total Kings County	\$1,800,000	\$197,260	\$1,600,000	\$52,425	\$3,649,685
City of Chowchilla	\$300,000	\$0	\$0	\$0	\$300,000
City of Madera	\$0	\$0	\$800,000	\$0	\$800,000
County of Madera	\$370,000	\$0	\$0	\$49,013	\$419,013
Self-Help Enterprises	\$0	\$0	\$2,100,000	\$0	\$2,100,000
Total Madera County	\$670,000	\$0	\$2,900,000	\$49,013	\$3,619,013
City of Atwater	\$70,000	\$0	\$0	\$0	\$70,000
City of Livingston	\$0	\$0	\$800,000	\$0	\$800,000
Merced County Community Action Board, Inc.	\$0	\$0	\$0	\$37,383	\$37,383
Total Merced County	\$70,000	\$0	\$800,000	\$37,383	\$907,383
County of Mariposa	\$300,000	\$0	\$0	\$0	\$300,000
County of Madera for Mariposa County	\$0	\$0	\$0	\$3,503	\$3,503
Total Mariposa County	\$300,000	\$0	\$0	\$3,503	\$303,503
City of Lodi	\$0	\$0	\$800,000	\$0	\$800,000
County of San Joaquin	\$0	\$0	\$0	\$272,894	\$272,894

Geographic Distribution by Region 2009-2010 Program Contractors	CDBG Award	ESG Award	HOME Award	HOPWA Award	All Program Awards
Total San Joaquin County	\$0	\$0	\$800,000	\$272,894	\$1,072,894
City of Riverbank	\$70,000	\$0	\$0	\$0	\$70,000
Stanislaus Community Assistance Project	\$0	\$0	\$0	\$183,338	\$183,338
Total Stanislaus County	\$70,000	\$0	\$0	\$183,338	\$253,338
City of Dinuba	\$670,000	\$0	\$800,000	\$0	\$1,470,000
City of Lindsay	\$335,000	\$0	\$0	\$0	\$335,000
City of Tulare	\$0	\$0	\$800,000	\$0	\$800,000
City of Woodlake	\$400,000	\$0	\$0	\$0	\$400,000
County of Tulare	\$1,100,000	\$0	\$0	\$76,842	\$1,176,842
Total Tulare County	\$2,505,000	\$0	\$1,600,000	\$76,842	\$4,181,842
Region Four Totals:					
Central Valley Metropolitan Region	\$7,212,895	\$197,260	\$10,900,000	\$1,498,565	\$19,808,720
Region Five: San Diego Metropolitan Region					
Catholic Charities, Diocese of San Diego	\$0	\$199,040	\$0	\$0	\$199,040
North County Solutions for Change	\$0	\$197,340	\$0	\$0	\$197,340
Total San Diego County	\$0	\$396,380	\$0	\$0	\$396,380
Region Five Totals:					
San Diego Metropolitan Region	\$0	\$396,380	\$0	\$0	\$396,380
Region Six: Central Coast Metropolitan Region					
City of Gonzales	\$300,000	\$0	\$0	\$0	\$300,000
City of King City	\$400,000	\$0	\$0	\$0	\$400,000
City of Marina	\$300,000	\$0	\$0	\$0	\$300,000
John XXIII AIDS Ministry	\$0	\$0	\$0	\$207,741	\$207,741
Shelter Outreach Plus	\$0	\$200,000	\$0	\$0	\$200,000
Total Monterey County	\$1,000,000	\$200,000	\$0	\$207,741	\$1,407,741
County of San Benito	\$400,000	\$0	\$0	\$0	\$400,000
Total San Benito County	\$400,000	\$0	\$0	\$0	\$400,000
County of San Luis Obispo	\$0	\$0	\$0	\$162,906	\$162,906
Total San Luis Obispo County	\$0	\$0	\$0	\$162,906	\$162,906
County of Santa Barbara	\$0	\$0	\$0	\$181,706	\$181,706
Transition House	\$0	\$200,000	\$0	\$0	\$200,000
Total Santa Barbara County	\$0	\$200,000	\$0	\$181,706	\$381,706
City of Capitola	\$70,000	\$0	\$0	\$0	\$70,000
County of Santa Cruz	\$798,218	\$0	\$800,000	\$137,948	\$1,736,166
Total Santa Cruz County	\$868,218	\$0	\$800,000	\$137,948	\$1,806,166

Geographic Distribution by Region 2009-2010 Program Contractors	CDBG Award	ESG Award	HOME Award	HOPWA Award	All Program Awards
Region Six Totals:					
Central Coast Metropolitan Region	\$2,268,218	\$400,000	\$800,000	\$690,301	\$4,158,519
Region Seven: Northern California Metropolitan Region					
City of Gridley	\$0	\$0	\$800,000	\$0	\$800,000
City of Oroville	\$970,000	\$0	\$800,000	\$0	\$1,770,000
Town of Paradise	\$0	\$0	\$800,000	\$0	\$800,000
County of Butte	\$335,000	\$0	\$0	\$0	\$335,000
Caring Choices	\$0	\$0	\$0	\$69,501	\$69,501
Chico Community Shelter Partnership	\$0	\$200,000	\$0	\$0	\$200,000
Community Housing Improvement Program, Inc.	\$0	\$0	\$2,100,000	\$0	\$2,100,000
Total Butte County	\$1,305,000	\$200,000	\$4,500,000	\$69,501	\$6,074,501
City of Colusa	\$0	\$0	\$800,000	\$0	\$800,000
Caring Choices	\$0	\$0	\$0	\$584	\$584
Total Colusa County	\$0	\$0	\$800,000	\$584	\$800,584
City of Orland	\$0	\$0	\$800,000	\$0	\$800,000
Caring Choices	\$0	\$0	\$0	\$6,324	\$6,324
Total Glenn County	\$0	\$0	\$800,000	\$6,324	\$806,324
City of Anderson	\$635,000	\$0	\$0	\$0	\$635,000
City of Shasta Lake	\$70,000	\$0	\$800,000	\$0	\$870,000
County of Shasta	\$0	\$0	\$800,000	\$0	\$800,000
Caring Choices	\$0	\$0	\$0	\$28,555	\$28,555
Total Shasta County	\$705,000	\$0	\$1,600,000	\$28,555	\$2,333,555
County of Tehama	\$35,500	\$0	\$0	\$0	\$35,500
Caring Choices	\$0	\$0	\$0	\$10,126	\$10,126
Total Tehama County	\$35,500	\$0	\$0	\$10,126	\$45,626
Region Seven Totals:					
Northern California Metropolitan Region	\$2,045,500	\$200,000	\$7,700,000	\$115,090	\$10,060,590
All California Metropolitan Regions, Totals:	\$18,168,281	\$5,724,201	\$23,400,000	\$3,517,698	\$50,810,180
Non-Metropolitan Areas: Northern California					
City of Crescent City	\$250,000	\$0	\$0	\$0	\$250,000
County of Del Norte	\$2,570,000	\$0	\$800,000	\$0	\$3,370,000
County of Humboldt for Del Norte County	\$0	\$0	\$0	\$12,312	\$12,312
Total Del Norte County	\$2,820,000	\$0	\$800,000	\$12,312	\$3,632,312
City of Arcata	\$1,125,933	\$0	\$2,324,166	\$0	\$3,450,099
County of Humboldt	\$1,100,000	\$0	\$800,000	\$55,003	\$1,955,003
Arcata House Transitional Housing Program	\$0	\$200,000	\$0	\$0	\$200,000
Total Humboldt County	\$2,225,933	\$200,000	\$3,124,166	\$55,003	\$5,605,102

Geographic Distribution by Region 2009-2010 Program Contractors	CDBG Award	ESG Award	HOME Award	HOPWA Award	All Program Awards
City of Lakeport	\$335,000	\$0	\$800,000	\$0	\$1,135,000
County of Lake	\$370,000	\$0	\$800,000	\$0	\$1,170,000
Catholic Charities of the Diocese of Santa Rosa	\$0	\$200,000	\$0	\$0	\$200,000
Community Care Management Corp.	\$0	\$0	\$0	\$36,302	\$36,302
Total Lake County	\$705,000	\$200,000	\$1,600,000	\$36,302	\$2,541,302
County of Lassen	\$35,000	\$0	\$0	\$0	\$35,000
County of Plumas for Lassen County	\$0	\$0	\$0	\$19,419	\$19,419
Total Lassen County	\$35,000	\$0	\$0	\$19,419	\$54,419
City of Fort Bragg	\$70,000	\$0	\$0	\$0	\$70,000
City of Ukiah	\$400,000	\$0	\$0	\$0	\$400,000
County of Mendocino	\$215,488	\$0	\$0	\$0	\$215,488
Mendocino Co. AIDS Volunteer Network	\$0	\$0	\$0	\$43,025	\$43,025
United Native Housing Development Corp.	\$0	\$0	\$800,000	\$0	\$800,000
Total Mendocino County	\$685,488	\$0	\$800,000	\$43,025	\$1,528,513
County of Modoc	\$300,000	\$0	\$0	\$0	\$300,000
Total Modoc County	\$300,000	\$0	\$0	\$0	\$300,000
City of Grass Valley	\$35,000	\$0	\$500,000	\$0	\$535,000
Town of Truckee	\$370,000	\$0	\$0	\$0	\$370,000
County of Nevada	\$835,000	\$0	\$0	\$33,334	\$868,334
Foothill House of Hospitality	\$0	\$200,000	\$0	\$0	\$200,000
Total Nevada County	\$1,240,000	\$200,000	\$500,000	\$33,334	\$1,973,334
City of Portola	\$300,000	\$0	\$0	\$0	\$300,000
County of Plumas	\$800,000	\$0	\$0	\$2,970	\$802,970
Total Plumas County	\$1,100,000	\$0	\$0	\$2,970	\$1,102,970
Total Sierra County	\$0	\$0	\$0	\$0	\$0
City of Dunsmuir	\$600,000	\$0	\$0	\$0	\$600,000
City of Etna	\$400,000	\$0	\$0	\$0	\$400,000
City of Mount Shasta	\$900,000	\$0	\$0	\$0	\$900,000
City of Weed	\$300,000	\$0	\$0	\$0	\$300,000
City of Yreka	\$140,000	\$0	\$0	\$0	\$140,000
Town of Fort Jones	\$400,000	\$0	\$0	\$0	\$400,000
County of Siskiyou	\$300,000	\$0	\$0	\$0	\$300,000
County of Plumas for Siskiyou County	\$0	\$0	\$0	\$11,095	\$11,095
Total Siskiyou County	\$3,040,000	\$0	\$0	\$11,095	\$3,051,095
County of Trinity	\$300,000	\$0	\$800,000	\$0	\$1,100,000
Caring Choices	\$0	\$0	\$0	\$1,802	\$1,802
Total Trinity County	\$300,000	\$0	\$800,000	\$1,802	\$1,101,802

Geographic Distribution by Region 2009-2010 Program Contractors	CDBG Award	ESG Award	HOME Award	HOPWA Award	All Program Awards
Northern California Non-Metropolitan Region Totals:	\$12,451,421	\$600,000	\$7,624,166	\$215,262	\$20,890,849
Non-Metropolitan Areas: Central-Southern					
Total Alpine County	\$0	\$0	\$0	\$0	\$0
City of Lone	\$7,684	\$0	\$0	\$0	\$7,684
County of Amador	\$400,000	\$0	\$0	\$0	\$400,000
Sierra Health Resources	\$0	\$0	\$0	\$13,885	\$13,885
Total Amador County	\$407,684	\$0	\$0	\$13,885	\$421,569
City of Angels Camp	\$70,000	\$0	\$0	\$0	\$70,000
Sierra Health Resources	\$0	\$0	\$0	\$5,875	\$5,875
Total Calaveras County	\$70,000	\$0	\$0	\$5,875	\$75,875
City of Bishop	\$400,000	\$0	\$0	\$0	\$400,000
Sierra Health Resources, Inc.	\$0	\$0	\$0	\$3,204	\$3,204
Total Inyo County	\$400,000	\$0	\$0	\$3,204	\$403,204
County of Mono	\$105,000	\$0	\$800,000	\$0	\$905,000
Sierra Health Resources, Inc.	\$0	\$0	\$0	\$1,068	\$1,068
Total Mono County	\$105,000	\$0	\$800,000	\$1,068	\$906,068
City of Sonora	\$400,000	\$0	\$0	\$0	\$400,000
Amador-Tuolumne Community Action Agency	\$0	\$200,000	\$0	\$0	\$200,000
Sierra Health Resources	\$0	\$0	\$0	\$14,952	\$14,952
County of Tuolumne	\$835,000	\$0	\$800,000	\$0	\$1,635,000
Total Tuolumne County	\$1,235,000	\$200,000	\$800,000	\$14,952	\$2,249,952
Central-Southern Non-Metropolitan Region Totals:	\$2,217,684	\$200,000	\$1,600,000	\$38,984	\$4,056,668
All California Non-metropolitan Regions Totals:	\$14,669,105	\$800,000	\$9,224,166	\$254,246	\$24,947,517
All California Regions, Totals:	\$32,837,446	\$6,524,201	\$32,624,166	\$3,771,944	\$75,757,757

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**Geographic Distribution of
Accelerated HOME and CDBG Awards of
2010-11 funds in 2009-10**

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Appendix B2

Geographic Distribution of Accelerated CDBG and HOME Awards of 2010-11 Funds in 2009-10

Geographic Distribution by Region Accelerated Awards - 2010-2011 Allocations	CDBG Award	HOME Award	All Program Awards
Region One: Los Angeles Metropolitan Region			
City of El Cajon	\$0	\$2,100,000	\$2,100,000
Total Imperial County	\$0	\$2,100,000	\$2,100,000
Total Los Angeles County	\$0	\$0	\$0
Total Orange County	\$0	\$0	\$0
Total Riverside County	\$0	\$0	\$0
Total San Bernardino County	\$0	\$0	\$0
Many Mansions	\$0	\$2,100,000	\$2,100,000
Total Ventura County	\$0	\$2,100,000	\$2,100,000
Region One Totals: Los Angeles Metropolitan Region	\$0	\$4,200,000	\$4,200,000
Region Two: Bay Area Metropolitan Region			
Total Alameda County	\$0	\$0	\$0
Total Marin County	\$0	\$0	\$0
Total Napa County	\$0	\$0	\$0
Total San Mateo County	\$0	\$0	\$0
Total Santa Clara County	\$0	\$0	\$0
Total Solano County	\$0	\$0	\$0
Total Sonoma County	\$0	\$0	\$0
Region Two Totals: Bay Area Metropolitan Region	\$0	\$0	\$0
Region Three: Sacramento Metropolitan Region			
City of South Lake Tahoe	\$0	\$2,100,000	\$2,100,000
Total El Dorado County	\$0	\$2,100,000	\$2,100,000
County of Placer	\$0	\$2,100,000	\$2,100,000
Total Placer County	\$0	\$2,100,000	\$2,100,000
City of Live Oak	\$0	\$2,100,000	\$2,100,000
Total Sutter County	\$0	\$2,100,000	\$2,100,000
Total Yolo County	\$0	\$0	\$0

Geographic Distribution by Region Accelerated Awards - 2010-2011 Allocations	CDBG Award	HOME Award	All Program Awards
Total Yuba County	\$0	\$0	\$0
Region Three Totals: Sacramento Metropolitan Region	\$0	\$6,300,000	\$6,300,000
Region Four: Central Valley Metropolitan Region			
City of Clovis	\$0	\$2,831,998	\$2,831,998
Total Fresno County	\$0	\$2,831,998	\$2,831,998
Total Kern County	\$0	\$0	\$0
City of Lemoore	\$0	\$975,000	\$975,000
Total Kings County	\$0	\$975,000	\$975,000
City of Chowchilla	\$0	\$2,100,000	\$2,100,000
Total Madera County	\$0	\$2,100,000	\$2,100,000
City of Livingston	\$0	\$2,000,000	\$2,000,000
Total Merced County	\$0	\$2,000,000	\$2,000,000
Total Mariposa County	\$0	\$0	\$0
Total San Joaquin County	\$0	\$0	\$0
City of Riverbank	\$0	\$1,600,000	\$1,600,000
Total Stanislaus County	\$0	\$1,600,000	\$1,600,000
City of Tulare	\$0	\$2,100,000	\$2,100,000
County of Tulare	\$0	\$2,100,000	\$2,100,000
Total Tulare County	\$0	\$4,200,000	\$4,200,000
Region Four Totals: Central Valley Metropolitan Region	\$0	\$13,706,998	\$13,706,998
Region Five: San Diego Metropolitan Region			
Total San Diego County	\$0	\$0	\$0
Region Five Totals: San Diego Metropolitan Region	\$0	\$0	\$0
Region Six: Central Coast Metropolitan Region			
Total Monterey County	\$0	\$0	\$0
South County Housing Corporation	\$0	\$3,635,771	\$3,635,771
Total San Benito County	\$0	\$3,635,771	\$3,635,771
Total San Luis Obispo County	\$0	\$0	\$0
Total Santa Barbara County	\$0	\$0	\$0
Total Santa Cruz County	\$0	\$0	\$0

Geographic Distribution by Region Accelerated Awards - 2010-2011 Allocations	CDBG Award	HOME Award	All Program Awards
Region Six Totals: Central Coast Metropolitan Region:	\$0	\$3,635,771	\$3,635,771
Region Seven: Northern California Metropolitan Region			
Town of Paradise	\$0	\$2,100,000	\$2,100,000
Total Butte County	\$0	\$2,100,000	\$2,100,000
Total Colusa County	\$0	\$0	\$0
Total Glenn County	\$0	\$0	\$0
Total Shasta County	\$0	\$0	\$0
Total Tehama County	\$0	\$0	\$0
Region Seven Totals: Northern California Metropolitan Region:	\$0	\$2,100,000	\$2,100,000
All California Metropolitan Regions, Totals:	\$0	\$29,942,769	\$29,942,769
Non-Metropolitan Areas: Northern California			
County of Del Norte	\$0	\$1,900,000	\$1,900,000
Total Del Norte County	\$0	\$1,900,000	\$1,900,000
Total Humboldt County	\$0	\$0	\$0
Total Lake County	\$0	\$0	\$0
Total Lassen County	\$0	\$0	\$0
Total Mendocino County	\$0	\$0	\$0
Total Modoc County	\$0	\$0	\$0
Total Nevada County	\$0	\$0	\$0
Total Plumas County	\$0	\$0	\$0
Total Sierra County	\$0	\$0	\$0
Total Siskiyou County	\$0	\$0	\$0
Total Trinity County	\$0	\$0	\$0
Northern California Non-Metropolitan Region Totals:	\$0	\$1,900,000	\$1,900,000
Non-Metropolitan Areas: Central-Southern			
Total Alpine County	\$0	\$0	\$0
Total Amador County	\$0	\$0	\$0
Total Calaveras County	\$0	\$0	\$0
Total Inyo County	\$0	\$0	\$0

Geographic Distribution by Region Accelerated Awards - 2010-2011 Allocations	CDBG Award	HOME Award	All Program Awards
Total Mono County	\$0	\$0	\$0
Total Tuolumne County	\$0	\$0	\$0
Central-Southern Non-Metropolitan Region Totals:	\$0	\$0	\$0
All California Non-metropolitan Regions, Totals:	\$0	\$1,900,000	\$1,900,000
All California Regions, Totals:	\$0	\$31,842,769	\$31,842,769

**Department of Housing and
Community Development
Proposition 46
Housing Programs**

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DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT
Cumulative Proposition 46 Bond Awards
Through June 30, 2010

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**Department of Housing and
Community Development
Proposition 1C
Housing Programs**

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DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT
Cumulative Proposition 1C Bond Awards
Through June 30, 2010

To be entered by DFA Admin

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¹Total funds available do not include transfers to CalHFA, the California Pollution Control Financing Agency (CPCFA), or administrative costs.

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**California Housing
Finance Agency
(CalHFA)
Proposition 46
Housing Programs**

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CALIFORNIA HOUSING FINANCE AGENCY
Cumulative Proposition 46 Bond Awards
Through July 31, 2010

PROGRAMS as of July 31, 2010	Total Funds Available	NOFAs released to date	Awards		Total Projected Production				
			Number	Dollars	Housing Units	Incentive Units	Shelter Spaces	Dormitory Spaces	TOTAL
Mortgage Insurance	\$9,207,882			\$9,207,882	528				528
School Facility Fee Down Payment Assistance Program (SFF)	\$47,500,000			\$32,640,145	7,591				7,591
Extra Credit Teacher Home Purchase Program (ECTP)	\$23,750,000			\$21,822,854	1,817				1,817
Homeownership In Revitalization Areas Program (HIRAP)	\$11,900,000			\$8,288,525	484				484
California Homebuyer's Downpayment Assistance Program (CHDAP)	\$111,625,000			\$147,317,081	18,558				18,558
Preservation	\$10,933,000			\$10,933,000	408				408
Residential Development Loan Program (RDLP)	\$44,578,555	5	12	\$35,898,082	604				604
TOTALS:	\$259,494,437¹	5	12	\$266,107,569	29,990	0	0	0	29,990

¹ Total Funds Available reflects original allocation less 5% administrative fees, except RDLP

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**California Housing
Finance Agency
(CalHFA)
Proposition 1C
Housing Programs**

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**CALIFORNIA HOUSING FINANCING AGENCY
Cumulative Proposition 1C Bond Awards
Through July 31, 2010**

To be entered by DFA Admin

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Public Notices

A P P E N D I X G

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DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT**Division of Financial Assistance**

1800 Third Street, Suite 390
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Sacramento, CA 94252-2054
(916) 322-1560 / FAX (916) 322-6660
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August 28, 2010

FOR IMMEDIATE POSTING FOR COMMENT

**Draft 2009-10 Consolidated Annual Performance & Evaluation Report (CAPER) of
the State of California's Consolidated Plan and Issues for the Annual Update**

The State of California, Department of Housing and Community Development (HCD) is soliciting public review and comment on the following:

- 1) The Draft Consolidated Annual Performance and Evaluation Report 2009-10 hereinafter referenced as the "CAPER," and
- 2) Issues to be considered in the next annual update of the State's Consolidated Plan.

Both of these address how more than \$117 million in federal funds received by the State are allocated by the Community Development Block Grant (CDBG), Home Investment Partnership (HOME), Housing Opportunities for Persons with AIDS (HOPWA), Emergency Shelter Grant (ESG) and Lead Hazard Control programs annually. These funds are available to local governments or eligible developers for assistance to lower-income households, for activities including housing construction or rehabilitation, rental or ownership subsidies, special needs housing assistance, community economic development or public facilities or services, and lead hazard control.

The CAPER, which is being prepared for submittal to the Department of Housing and Urban Development (HUD), reports only on specified federal housing and economic assistance allocated by the State for the period July 2009 through June 2010. The State CAPER does not address funds distributed directly to local governments (entitlement jurisdictions) by the federal government. The public review period for the CAPER and annual plan amendments is 15 days, and begins August 30, 2010. HCD must receive all comments on the Draft CAPER by September 14, 2010.

The current 2010-11 Annual Plan and 2010-15 Consolidated Plans are posted on HCD's website (see below). Comments are solicited for priority housing and community development needs to be considered in the future allocation of funds from these programs.

The Draft CAPER for FY 2009-10 will be available for public review on HCD's website (<http://www.hcd.ca.gov/hpd/hrc/rep/fed/>) as of August 28, 2010, and in Sacramento at HCD's Housing Resource Center in Room 430; at planning departments of counties with at least one non-entitlement jurisdiction, and the following libraries:

<u>Library</u>	<u>City</u>	<u>Phone</u>
California State Archives	Sacramento	(916) 653-7715
California State Library, Information Resources and Government Publications	Sacramento	(916) 654-0069
California State University, Chico, Merriam Library, Government Publications Department	Chico	(530) 898-6502
Fresno County Free Library, Government Publications	Fresno	(559) 488-3195
Los Angeles Public Library, Serials Division	Los Angeles	(213) 612-3200
Dr. Martin Luther King Jr. Library, Government Publications, San Jose State University	San Jose	(408) 808-2100
San Diego Public Library, Science and Industry Department	San Diego	(619) 236-5813
San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division	San Diego	(619) 594-5834
San Francisco Public Library, Government Information Center	San Francisco	(415) 557-4500
Green Library, Receiving, Stanford University Libraries	Palo Alto	(650) 723-9372
University of California, Berkeley, Government Documents Technical Services	Berkeley	(510) 642-1472
University of California, Davis, Shields Library, Government Information and Maps Department	Davis	(530) 752-1624
University of California, Los Angeles, Reference & Instructional Services Department, Young Research Library	Los Angeles	(310) 825-3135
University of California, San Diego, Government Documents Unit	San Diego/ La Jolla	(858) 534-3336
University of California, Santa Barbara, Library, Serials Receiving	Santa Barbara	(805) 893-8803

A limited number of copies of the CAPER are also available to entities or individuals unable to access one of the above sources. The Technical Appendix of the Financial Summary Reports will be available upon request. Written comments can be submitted via facsimile (916-327-6660), electronic mail (caper@hcd.ca.gov), or mailed to the following address:

Department of Housing and Community Development,
Division of Financial Assistance
P.O. Box 952054
Sacramento, California 94252-2054
Attention: Ann Hornbeck

In addition, public review hearings will be held in the following locations:

<i>Location</i>	<i>Address</i>	<i>Date/Time</i>	<i>Phone No.</i>
Sacramento	Department of Housing and Community Development 1800 3 rd Street, Room 390 Sacramento, CA	September 9th (Thursday) 8:00 a.m. – 12:00 noon	(916) 322-1560
Riverside County	Department of Housing & Community Development Division of Codes and Standards Registration and Titling Program 3737 Main Street, Suite 400 Riverside, CA	September 9th (Thursday) 8:00 a.m. – 12:00 noon	(916) 322-1560
Shasta County	Department of Housing and Community Development Division of Codes and Standards Registration and Titling Program 2986 Bechelli Lane, Suite 201 Redding, CA	September 1 st (Wednesday) 8:00 a.m. – 12:00 noon	(916) 322-1560

If you have any questions, would like addresses or phone numbers for the county planning departments or are in need of translators or special services, please contact this Department, prior to the review dates at (916) 322-1560. For translator or special services needs, please advise the Department within five working days of the review period in order to facilitate the request.

This proposal has been determined to be EXEMPT from California Environmental Quality Act (CEQA) (Public Resources Code Section 21080.10(b)) and CATEGORICALLY EXCLUDED from the National Environmental Policy Act (NEPA) (Title 24 Code of Federal Regulations 50.20(o)(2)).

DEPARTAMENTO DE VIVIENDA Y DESARROLLO COMUNITARIO**División de Financial Assistance**

1800 Third Street, Room 390
P. O. Box 952054
Sacramento, CA 94252-2054
(916) 322-1560 / FAX (916) 322-6660
www.hcd.ca.gov



28 de agosto de 2010

PARA COLOCAR INMEDIATAMENTE PARA DAR COMENTARIO

Propuesto Informe Anual Consolidado del Desempeño y Evaluación (CAPER)
Correspondiente al Año Fiscal 2009-10 del Plan Consolidado del Estado de California y
Temas para la Actualización Anual

El Departamento de Vivienda y Desarrollo Comunitario del Estado de California (HCD) solicita que el público revise y comente acerca de lo siguiente:

- 1) El propuesto del Informe Anual Consolidado del Desempeño y Evaluación correspondiente al ejercicio 2009-10, de aquí en adelante mencionado como el "CAPER", y
- 2) Temas que serán considerados en la próxima actualización anual del Plan Consolidado del Estado.

Ambos indican la manera en que más de \$117 millones en fondos federales que recibe el Estado son adjudicados anualmente por los programas Community Development Block Grant (CDBG), Home Investment Partnership (HOME), Housing Opportunities for Persons with AIDS (HOPWA), Emergency Shelter Grant (ESG) y Controlar el Peligro de Plomo. Estos fondos están a disposición de los gobiernos locales o de constructores, que cumplen con ciertos requisitos, para ayudar a familias de bajos ingresos, para actividades que incluyen la construcción o rehabilitación de viviendas, para subsidios de alquileres o de adquisición de viviendas, para ayudar con las viviendas de personas con necesidades especiales, para el desarrollo económico comunitario o para facilidades o servicios públicos, y al controlar el peligro de plomo.

El CAPER, que se preparó para ser presentado al Department of Housing and Urban Development (HUD), informa solamente sobre ayuda federal específica para la vivienda y económica adjudicada por el Estado en el período que se extiende desde julio de 2009 hasta junio de 2010. El CAPER del Estado no se dirige a los fondos que el gobierno federal distribuyó directamente a los gobiernos locales (jurisdicciones de ayuda social). El período de revisión pública del CAPER y de enmiendas anuales del plan es de 15 días y comienza el 30 de agosto 2010. El HCD debe recibir todos los comentarios sobre el borrador del CAPER hasta el 14 de septiembre de 2010.

El Plan Anual del ejercicio 2010-11 y el Plan Consolidado de 2010-15 actualmente lo encuentra en el sitio "web" del HCD (se puede ver más abajo).

El Borrador del CAPER correspondiente el ejercicio 2009-10 estará disponible para la revisión publica en el sitio web del HCD (<http://www.hcd.ca.gov/hpd/hrc/rep/fed/>) a partir del 28 de agosto, y en Sacramento en el Centro de Recursos de Vivienda del HCD, en la Sala

Aviso CAPER
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430, así como en los departamentos de planificación de condados con al menos una jurisdicción de ayuda social, y en las siguientes bibliotecas:

<u>Bibliotecas</u>	<u>Cuidad</u>	<u>Número de teléfono</u>
California State Archives	Sacramento	(916) 653-7715
California State Library, Information Resources and Government Publications	Sacramento	(916) 654-0069
California State University, Chico, Merriam Library, Government Publications Department	Chico	(530) 898-6502
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University of California, San Diego, Government Documents Unit	San Diego/ La Jolla	(858) 534-3336
University of California, Santa Barbara, Library, Serials Receiving	Santa Barbara	(805) 893-8803

También hay un número limitado de copias del CAPER a disposición de entidades o individuos sin acceso a ninguna de las fuentes que anteceden. El Apéndice Técnico de los Informes Financieros Resumidos estará disponible bajo pedido. Los comentarios por escrito pueden ser enviados por fax (916-327-6660), correo electrónico (caper@hcd.ca.gov), o por correo a la siguiente dirección:

Department of Housing and Community Development,
Division of Financial Assistance
P.O. Box 952054
Sacramento, California 94252-2054
Attention: Ann Hornbeck

Además, se celebrarán audiencias públicas en los siguientes lugares:

<i>Ubicación</i>	<i>Dirección</i>	<i>Fecha/Hora</i>	<i>Teléfono</i>
Sacramento	Department of Housing and Community Development 1800 3rd Street, Room 390 Sacramento, CA	9 de septiembre de 2010 (jueves) 8:00 de la mañana a 12:00 de la tarde	(916) 322-1560
Riverside County	Department of Housing and Community Development Division of Codes and Standards Registration and Titling 3737 Main Street, Suite 400 Riverside, CA	9 de septiembre de 2010 (jueves) 8:00 de la mañana a 12:00 de la tarde	(916) 322-1560
Shasta County	Department of Housing and Community Development Division of Codes and Standards Registration and Titling 2986 Bechelli Lane, Suite 201 Redding, CA	1 de septiembre de 2010 (miercoles) 8:00 de la mañana a 12:00 de la tarde	(916) 322-1560

Si tiene alguna pregunta o desea obtener las direcciones o los números de teléfono de los departamentos de planificación de los condados, póngase en contacto con el Departamento llamando al (916) 322-1560. Además, si necesita servicios de traducción o servicios para atender necesidades especiales, indíquese al Departamento dentro de los cinco días laborables previos a la fecha de la audiencia, para permitirnos cumplir con su pedido.

Se ha determinado que esta propuesta está EXENTA de California Environmental Quality Act (CEQA) (Sección 21080.10(b) del Código de Recursos Públicos) y CATEGÓRICAMENTE EXCLUIDA de National Environmental Policy Act (NEPA) (Título 24 del Código de Reglamentaciones Federales 50.20(o)(2)).